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Second and third trimesters of pregnancy. Biliary obstructive disorders. Severe hepatic impairment. The concomitant use of Telmisartan with aliskiren containing products is contraindicated in patients with diabetes mellitus or renal impairment (GFR <60 mL/min/1.73 m<sup>2</sup>). **Warnings And Precautions - Fetal Toxicity:** Use of drugs that act on the renin-angiotensin system during the second and third trimesters of pregnancy reduces fetal renal function and increases fetal and neonatal morbidity and death. Resulting oligohydramnios can be associated with fetal lung hypoplasia and skeletal deformations. Potential neonatal adverse effects include skull hypoplasia, anuria, hypotension, renal failure, and death. When pregnancy is detected, discontinue Telmisartan as soon as possible. **Hypotension:** In patients with an activated renin-angiotensin system, such as volume- or salt-depleted patients (e.g., those being treated with high doses of diuretics), symptomatic hypotension may occur after initiation of therapy with Telmisartan. Either correct this condition prior to administration of Telmisartan, or start treatment under close medical supervision with a reduced dose. If hypotension does occur, the patient should be placed in the supine position and, if necessary, given an intravenous infusion of normal saline. A transient hypotensive response is not a contraindication to further treatment, which usually can be continued without difficulty once the blood pressure has stabilized. **Hyperkalemia:** may occur in patients on ARBs, particularly in patients with advanced renal impairment, heart failure, on renal replacement therapy, or on potassium supplements, potassium-sparing diuretics, potassium-containing salt substitutes or other drugs that increase potassium levels. Periodic determinations of serum electrolytes to detect possible electrolyte imbalances should be considered particularly in patients at risk. **Impaired Hepatic Function:** As the majority of Telmisartan is eliminated by biliary excretion, patients with biliary obstructive disorders or hepatic insufficiency can be expected to have reduced clearance. Telmisartan should be initiated at low doses and titrated slowly in these patients. **Impaired Renal Function:** As a consequence of inhibiting the renin-angiotensin-aldosterone system, changes in renal function should be anticipated in susceptible individuals. In patients whose renal function may depend on the activity of the renin-angiotensin-aldosterone system (e.g., patients with severe congestive heart failure or renal dysfunction), treatment with angiotensin-converting enzyme (ACE) inhibitors and angiotensin receptor antagonists has been associated with oliguria and/or progressive azotemia and (rarely) with acute renal failure and/or death. Similar results have been reported with Telmisartan. In studies of ACE inhibitors in patients with unilateral or bilateral renal artery stenosis, increases in serum creatinine or blood urea nitrogen were observed. There has been no long term use of Telmisartan in patients with unilateral or bilateral renal artery stenosis, but an effect similar to that seen with ACE inhibitors should be anticipated. **Dual Blockade of the Renin-Angiotensin-Aldosterone System:** Dual blockade of the RAS with angiotensin-receptor blockers, ACE inhibitors, or aliskiren is associated with increased risks of hypotension, hyperkalemia, and changes in renal function (including acute renal failure) compared to monotherapy. In most patients no benefit has been associated with using two RAS inhibitors concomitantly. In general, combined use of drugs from different classes of RAS inhibitors should be avoided. Blood pressure, renal function and electrolytes in patients on Telmisartan and other agents that affect the RAS should be closely monitored. Aliskiren must not be co-administered with Telmisartan in patients with diabetes. Concomitant use of aliskiren with Telmisartan in patients with renal impairment (GFR <60 mL/min/1.73 m<sup>2</sup>) must be avoided. **Nonclinical Toxicology:** Carcinogenesis, Mutagenesis, Impairment of Fertility: There was no evidence of carcinogenicity when Telmisartan was administered in the diet to mice and rats for up to 2 years. The highest doses administered to mice (1000 mg/kg/day) and rats (100 mg/kg/day) are, on a mg/m<sup>2</sup> basis, about 59 and 13 times, respectively, the maximum recommended human dose (MRHD) of Telmisartan. These same doses have been shown to provide average systemic exposures to Telmisartan >100 times and >25 times, respectively, the systemic exposure in humans receiving the MRHD (80 mg/day). Genotoxicity assays did not reveal any Telmisartan-related effects at either the gene or chromosome level. These assays included bacterial mutagenicity tests with Salmonella and E. coli (Ames), a gene mutation test with Chinese hamster V79 cells, a cytogenetic test with human lymphocytes, and a mouse micronucleus test. No drug-related effects on the reproductive performance of male and female rats were noted at 100 mg/kg/day (the highest dose administered), about 13 times, on a mg/m<sup>2</sup> basis, the MRHD of Telmisartan. This dose in the rat resulted in an average systemic exposure (Telmisartan AUC as determined on day 6 of pregnancy) of at least 50 times the average systemic exposure in humans at the MRHD (80 mg/day). **Use in Specific Populations: Nursing Mothers:** It is not known whether Telmisartan is excreted in human milk, but Telmisartan was shown to be present in the milk of lactating rats. **Pediatric Use:** Safety and effectiveness of Telmisartan in pediatrics has not been established. Thus, the drug is not recommended in pediatrics. **Geriatric Use:** No dose adjustment is needed in elderly patients





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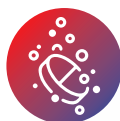
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Abbreviations: ARB, angiotensin II receptor blocker, ACE, angiotensin-converting enzyme, BP, blood pressure, MACE, major adverse cardiovascular events

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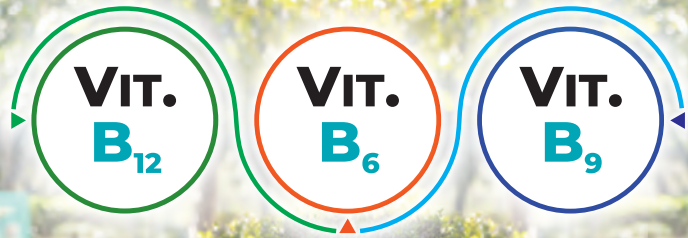
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# Human Metapneumovirus—Is It a Cause for Concern?

Shobha Manish Itollikar<sup>1\*</sup>, Milind Nadkar<sup>2</sup>

## EMERGING INFECTIONS AND THE NEW WORLD

*“Infectious disease is one of the few genuine adventures left in the world.... however secure and well regulated civilized life may become, bacteria, protozoa, viruses, infected fleas, lice, ticks, mosquitoes and bedbugs will always lurk in the shadows and pounce upon us when our defenses are down.”*<sup>1</sup> These words by microbiologist Hans Zinsser in 1934 could be considered even more relevant and relatable today, nearly a century after he made these observations. Emerging infectious diseases continue to be a threat to our progress even though civilization and mankind have taken giant leaps toward development.

Just when we thought we could breathe easy having seen the end of the COVID-19 pandemic, we are holding our breath again in apprehension of a similar situation. The end of 2024 announced the outbreak of human metapneumovirus (HMPV) cases in China, raising global concerns. The virus that made headlines and is reported to have spread to other parts of the world is rather a well-known virus that has been in the seasonal flu landscape for several decades and has marked its presence in nearly every continent in the world. Since it has been in circulation for many years, experts are well aware of its genetic makeup and disease characteristics, unlike the COVID-19 virus, which was a novel coronavirus about which very little was known.

## THE ANTHROPO-ZOONOTIC INTERFACE

The evolution of present-day birds from dinosaurs took several million years to yield the thousands of avian species that are the epicenter of emerging infections today. By virtue of their migratory behaviors as well as environmental exposure to other biodiversity, birds tend to acquire viruses from multiple sources. These viruses tend to render the hosts into viral reservoirs. When anthropogenic man ventures into ornithogenic territory, the bird–man interface is breached, and zoonotic transfer occurs. Thus, the virus finds a new host in humans, and we witness frequent outbreaks of different respiratory pathogens from time to time.<sup>2</sup> In this way, HMPV has evolved from its avian counterpart, the avian pneumovirus

(APV). Though first detected in the year 2001 in nasopharyngeal aspirates from 28 Dutch children, there is evidence that the virus has been circulating among the human population for at least half a century.<sup>3</sup> With 60% of known infections and 75% of emerging infections in humans being zoonotic, it is of utmost importance that we understand how these illnesses behave and how to empower ourselves with the knowledge to fight them.<sup>4</sup>

## HMPV CURRENT SCENARIO

China, from where the initial outbreak was reported in December 2024, has stated that cases of HMPV are in decline as of late January 2025. Although India has reported few cases of mild HMPV infection in some of its states, the situation is not alarming as the numbers do not portend a lurking epidemic. As per government directives, states have stepped up surveillance of respiratory illnesses, and measures are being taken to spread awareness about how to prevent the transmission of HMPV.<sup>5</sup>

## DECODING HMPV

**Virus characteristics:** HMPV is a large ribonucleic acid (RNA) virus such as influenza, parainfluenza, respiratory syncytial virus (RSV), and coronavirus. After its discovery, genetic studies were used to classify it as the first mammalian member of the genus *Metapneumovirus*, which until then had only APV as the sole species. Belonging to the recently assigned Pneumoviridae family of viruses, the lesser-known HMPV bears resemblance to its more famous cousin, the RSV, in more ways than one.<sup>6</sup>

**Prevalence:** Today, HMPV is quickly emerging as a significant respiratory pathogen in humans. Second to RSV, it is the cause of both upper and lower respiratory tract infections in all age groups with a more significant impact on children, the elderly, and immunocompromised individuals. Statistics show that HMPV accounts for about 5–10% of all pediatric respiratory infections during any given flu season in the United States. Data available from the National Institute of Virology, Pune, suggests an overall prevalence rate of HMPV to be 8% in India and 3% globally. Seroprevalence studies indicate that there is almost universal

infection with HMPV in children by the age of 5 years. Yet reinfections can occur throughout an individual's life due to waning immunity levels.<sup>7,8</sup>

**Mode of transmission:** This virus primarily spreads through aerosols and secretions from infected persons and can survive outside the host for several hours, thus enabling transmission through fomites. Additionally, many nosocomial infections have been documented.<sup>6</sup>

**Clinical features:** Distinguishing HMPV infections from other respiratory viruses based on symptomatology alone is virtually impossible. It shares a similar constellation of symptoms and radiologic features with other viruses such as influenza virus, parainfluenza virus, RSV, and novel coronavirus. Clinical manifestations of HMPV range from mild flu-like illness to more serious pneumonia and acute respiratory distress syndrome. Patients with an upper respiratory tract infection will present with fever, cough, nasal congestion, rhinorrhea and sore throat along with other constitutional symptoms. Clinical features of a lower respiratory tract infection will also include wheezing, dyspnea, chest discomfort and hypoxia. HMPV is associated with acute exacerbations of asthma and chronic obstructive pulmonary disease (COPD) in predisposed individuals, which may necessitate hospitalization. Extrapulmonary complications described in literature are otitis media and HMPV encephalitis.<sup>3</sup>

**Prognosis:** Most of the cases (95%) are mild self-limiting influenza-like illnesses.<sup>3</sup> There is increased mortality in patients with hematological malignancies, especially in hematopoietic stem cell transplant recipients. The risk factors postulated to predict poor outcomes are the presence of lymphopenia, neutropenia, low monocyte counts, use of high dose steroids, history of smoking and the presence of coinfections.<sup>9</sup>

<sup>1</sup>Consultant Physician, Department of Medicine, Fortis Hospital, Mulund; <sup>2</sup>Professor and Head, Department of Internal Medicine, Seth Gordhandas Sunderdas Medical College and King Edward Memorial Hospital, Mumbai, Maharashtra, India; <sup>3</sup>Corresponding Author

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**Management:** Though HMPV infection does not require confirmatory testing and a clinical diagnosis is sufficient in a mild flu-like condition, reverse transcriptase polymerase chain reaction (RT-PCR) from nasopharyngeal swabs remains the most widely accepted investigation of choice.<sup>3</sup> The mainstay of treatment is symptom-based care with antipyretics, antihistamines, and hydration. Critically ill cases warrant early intensive management with oxygen support and pharmacotherapy. Although there is no specific antiviral therapy against HMPV, there has been some success reported with the use of either ribavirin alone or in combination with polyclonal intravenous immunoglobulins.<sup>10</sup> Futuristically, monoclonal antibodies against fusion proteins of HMPV might turn out to be game changers in the therapeutic armamentarium.

### How is HMPV DIFFERENT FROM COVID?

Human metapneumovirus has already been in circulation in most parts of the world; hence,

there is more herd immunity against it than there would be against a novel virus, such as COVID-19 at the beginning of the pandemic. Moreover, it is less contagious and milder than the COVID-19 virus.

### CONCLUSION

The fear over the spread of HMPV has led to worldwide fear that it might trigger a health situation similar to the COVID-19 pandemic. Although vigilance is advised, there is no reason to panic at the moment. A more cautious approach is advised in high-risk patients. There is no better time than this to advocate the importance of prophylactic measures in the form of stringent hand hygiene, cough etiquette, masking up, and contact isolation.

Additionally, such frequent health scares should foster anthropological research focusing on the human–animal link that forms the etiological site of zoonotic infection in order to redefine contemporary practices of global health.

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# Urinary Levels of Neutrophil Gelatinase-associated Lipocalin and Cystatin C in Patients with Hepatitis B on Tenofovir Treatment

Shivani Rani<sup>1</sup>, Himanshu Dandu<sup>2</sup>, Ambuj Yadav<sup>3\*</sup>, Mahak Lamba<sup>4</sup>, Amit Goel<sup>5</sup>, Wahid Ali<sup>6</sup>, Virendra Atam<sup>7</sup>, Sumit Rungta<sup>8</sup>, Medhavi Gautam<sup>9</sup>, Atin Singhai<sup>10</sup>

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## ABSTRACT

**Introduction:** Tenofovir disoproxil fumarate (TDF) is a third-generation nucleoside analogue commonly used as a first-line therapy for chronic hepatitis B virus (HBV) infection. However, it is associated with nephrotoxicity, which can occur through mechanisms such as mitochondrial deoxyribonucleic acid (DNA) depletion and damage to renal tubules. This nephrotoxic effect typically manifests as a mild increase in serum creatinine and a decrease in serum phosphate, usually within 4–12 months after starting treatment. Recent studies suggest that novel biomarkers, such as urinary neutrophil gelatinase-associated lipocalin (NGAL) and cystatin C, may predict acute kidney injury (AKI) earlier and more accurately than traditional markers like serum creatinine. In line with this, we investigated NGAL and cystatin C levels in HBV patients receiving TDF therapy to assess their potential in monitoring kidney function.

**Materials and methods:** The study included 350 cases in total. Each participant underwent a thorough assessment, including a detailed medical history, clinical examination, and routine blood tests, as outlined in the study's working proforma. Based on the above details, 226 cases fulfilling the inclusion criteria were enrolled for the second step of the study, where a 5 mL urine sample from each case was taken and sent to the pathology laboratory for estimation of cystatin C and NGAL by the ELISA method with the help of a kit.

**Results:** The average age of the participants was 37.93 years, with 124 individuals falling within the 18–35-year age-group. After accounting for confounding factors, 87 cases were identified, predominantly young males, who exhibited elevated levels of both urinary NGAL and cystatin C.

**Conclusion:** In this cross-sectional study, after controlling for confounding factors, we observed that TDF treatment was linked to elevated levels of urinary NGAL and cystatin C in 87 (38.4%) of the cases. Notably, increased levels of these biomarkers were also found in the younger age-group (18–35 years). These findings suggest the need for further prospective studies with larger sample sizes to better understand the direct impact of TDF on kidney function in hepatitis B patients.

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## INTRODUCTION

Hepatitis B virus (HBV) continues to be a major global health concern, affecting around 3.5% of the population with chronic infection.<sup>1</sup> Tenofovir disoproxil fumarate (TDF), a third-generation nucleoside analogue, is used as a first-line treatment for chronic HBV. While effective, TDF is associated with nephrotoxicity, which can occur through various mechanisms, including mitochondrial deoxyribonucleic acid (DNA) depletion and damage to renal tubules.<sup>2–4</sup> Nephrotoxicity is often marked by mild increases in serum creatinine and a reduction in serum phosphate, typically manifesting 4–12 months after initiating TDF therapy.<sup>5</sup> Although renal impairment related to TDF is more frequently observed in HIV patients, there have been reports of significant kidney dysfunction in individuals with chronic hepatitis B as well.<sup>6–8</sup>

Acute kidney injury (AKI) is a clinical condition marked by a sudden deterioration in kidney function, often resulting from changes in blood flow or damage to the kidney's structures.<sup>9</sup> Directly measuring the glomerular filtration rate (GFR) is widely regarded as the most precise method for identifying alterations in kidney function. Serum creatinine is commonly considered a delayed biomarker, as it typically begins to increase only after a significant decline of approximately 50% in kidney function. The diagnosis of AKI traditionally relies on elevated serum creatinine levels or reduced urine output, both of which have limitations. As a result, extensive research over recent decades has focused on identifying and validating new biomarkers for the early detection of AKI. Evidence suggests that these novel biomarkers can predict AKI development and outcomes more effectively and sooner than serum creatinine. For instance, cystatin C and

neutrophil gelatinase-associated lipocalin (NGAL) have been identified as independent early predictors of AKI.<sup>9</sup> NGAL, in particular, is useful for the early detection and risk assessment of kidney damage caused by tenofovir.<sup>10</sup> Both NGAL and cystatin C levels tend to rise in response to proximal tubular injury, such as that induced by tenofovir. Looking at the literature, we tested the presence of urinary NGAL and cystatin C molecules in HBV patients who had been taking TDF.

## MATERIALS AND METHODS

This cross-sectional study was conducted at the Department of Internal Medicine, King George's Medical University (KGMU), in collaboration with the Departments of Gastroenterology and Pathology at KGMU, as well as the Department of Gastroenterology at Sanjay Gandhi Postgraduate Institute of Medical Sciences, Lucknow. Ethical clearance was approved by the Institutional Ethics Committee, KGMU (reference code VII-PGTSC-IIA/P4). After receiving approval, cases were enrolled from June to December 2022. This study included all patients above the age of 18 years with Hepatitis B-induced chronic liver disease on tenofovir treatment for 4 months or more. Patients not giving consent,

<sup>1</sup>Senior Resident; <sup>2</sup>Professor; <sup>3,4</sup>Assistant Professor, Department of Internal Medicine, King George's Medical University; <sup>5</sup>Professor, Department of Gastroenterology, Sanjay Gandhi Postgraduate Institute of Medical Sciences; <sup>6</sup>Professor, Department of Pathology; <sup>7</sup>Professor, Department of Internal Medicine; <sup>8</sup>Professor, Department of Gastroenterology; <sup>9</sup>Associate Professor, Department of Internal Medicine; <sup>10</sup>Professor, Department of Pathology, King George's Medical University, Lucknow, Uttar Pradesh, India; \*Corresponding Author

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those with a history of hypothyroidism and hyperthyroidism, renal disease or deranged renal function as per AKIN (Acute Kidney Injury Network) criteria, history of dialysis in the past, diabetes mellitus, hypertension, and chronic obstructive pulmonary disease (COPD) were excluded. Sample size was calculated using the formula  $n = (z_{1-\alpha/2})^2 \times p \times q / d^2$ , where  $n$  = sample size,  $z_{1-\alpha/2}$  = value of normal deviate at a 95% confidence interval,  $p$  = prevalence of AKI,  $q = 1 - prevalence$ ,  $d$  = absolute error, and the final sample size came to 233.

The study included 350 cases in total. Each case underwent a thorough evaluation, including a detailed history, clinical examination, and routine blood tests, in accordance with the established study protocol. Based on the results of the above details, 226 cases fulfilling the inclusion criteria were enrolled for the second step of the study, where a 5 mL urine sample from each case was taken and sent to the pathology laboratory for estimation of

urinary cystatin C and urinary NGAL by the kit (Elabscience Biotechnology Inc.) via the ELISA method. Those cases with a raised level of NGAL and cystatin C were referred to a nephrologist for further monitoring and treatment of the patient. The cutoff for both NGAL and cystatin C levels was >12.5 ng/dL after dilution.

Categorical variables were presented as numbers and percentages, while continuous variables were reported as means with standard deviations (SD). Comparisons of quantitative variables between two groups were performed using the Mann-Whitney *U* test, and between three groups using the Kruskal-Wallis *U* test. Qualitative variables were analyzed using the Chi-squared test or Fisher's exact test, as appropriate. The Spearman correlation coefficient was employed to evaluate the strength of the association between two continuous variables. A *p*-value of <0.05 was considered statistically significant.

## RESULTS

In this study, 226 cases were enrolled. Of these, 184 were male and 42 were female (Table 1). The average age was 37.93 years, with the majority of participants falling within the 18–35 year age-group (54.9%). This was followed by 22.6% in the 36–50 year range, 18.6% in the 51–65 year range, and 4% aged over 65 years. The mean age was  $37.69 \pm 14.65$  years with a median of 33 years. The minimum age was 19 years, and the maximum age was 81 years (Table 2). Clinical features of the study population showed pallor in 63 cases (27.9%), icterus in 25 (11.1%) cases, pedal edema in 77 (34.1%) cases, ascites in 44 (19.5%) cases, and hepatomegaly in 129 (57.1%) cases. It was observed that the maximum number of cases (74.8%) were in class A, 23% in class B, and 2.2% in class C of the Child-Pugh Score. After excluding confounding factors, out of 226 cases, 87 had raised levels of both urinary NGAL and cystatin C. Of these 87 cases, the majority were male and were in the age-group of 18–35 years (Tables 3 and 4).

**Table 1:** Sex distribution in study distribution

Sex	Frequency	Percent
Male	184	81.4
Female	42	18.6
Total	226	100.0

**Table 2:** Age distribution in study population

Age intervals	Frequency	Percent
18–35 years	124	54.9
36–50 years	51	22.6
51–65 years	42	18.6
>65 years	9	4.0
Total	226	100.0

**Table 3:** Association of raised level of both urinary NGAL and cystatin C with age intervals and sex

		Urinary NGAL and cystatin C				<i>p</i> -value
		≥12.5		<12.5		
		<i>N</i>	%	<i>N</i>	%	
Age intervals	18–35 years	48	55.2%	76	54.7%	0.780
	36–50 years	20	23.0%	31	22.3%	
	51–65 years	17	19.5%	25	18.0%	
	>65 years	2	2.3%	7	5.0%	
Sex	Male	78	89.7%	106	76.3%	0.012
	Female	9	10.3%	33	23.7%	

After removing maximum confounding factors, we found that 87 cases had raised levels of urinary NGAL and cystatin C and out of these 87, 78 were male. We found a significant association of raised level of urinary NGAL and cystatin C with sex with *p*-value of 0.012. We also found that 48 patients out of 87 were belong to age-group of 18–35 years

**Table 4:** Association of raised level of both urinary NGAL and cystatin C with duration of tenofovir

Duration of tenofovir	Urinary NGAL and cystatin C			
	≥12.5		<12.5	
	<i>N</i>	%	<i>N</i>	%
4–12 month	70	80.5%	117	84.2%
12–24 months	7	8.0%	6	4.3%
>24 months	10	11.5%	16	11.5%

Above table shows that out of 87 cases with raised level of both urinary NGAL and cystatin C 70 (80.5%) cases had 4–12 months treatment duration, 7 cases (8.0%) in 12–24 months duration belong, 10 (11.5%) cases >24 months duration

## DISCUSSION

Studies indicate that renal dysfunction is associated with TDF. However, renal impairment occurs more frequently in HIV patients than in chronic hepatitis B patients receiving TDF treatment.<sup>8,11</sup> Multivariate analysis identified several risk factors linked to a decline in estimated glomerular filtration rate (eGFR) among patients treated with TDF, including advanced age, preexisting renal failure, comorbid conditions, a history of transplant, use of concurrent nephrotoxic drugs, advanced HIV coinfection, and male gender.<sup>10,12–14</sup>

A literature review conducted by Yang and Choi, available on PubMed, found that patients treated with TDF experienced a more significant reduction in eGFR compared to those on entecavir (ETV), with the decline occurring in a time-dependent manner.<sup>15</sup> According to the European Association for the Study of the Liver (EASL) guidelines, patients starting TDF should have their serum creatinine levels tested prior to treatment. Additionally, baseline renal risk should be assessed, considering factors such as decompensated cirrhosis, creatinine clearance below 60 mL/minute, poorly controlled hypertension, proteinuria, uncontrolled diabetes, use of nephrotoxic drugs, active glomerulonephritis, and solid-organ transplantation.<sup>16</sup> These confounding factors, which can cause raised levels of NGAL and cystatin C, have been excluded via exclusion criteria in our study.

Our study is a cross-sectional study in which 226 cases were enrolled. Of 226 cases,

184 (81.4%) were male, and 42 (18.6%) were female. In these 226 cases, the mean age was 37.93, and 124 (54.9%) were in the age-group of 18–35 years.

Urinary biomarkers of kidney damage could serve as potential indicators for detecting subclinical injury caused by TDF toxicity.<sup>17</sup> Urinary levels of NGAL and cystatin C can be influenced by various factors. Cystatin C tends to be lower in African populations, and elevated levels are linked to higher CRP, elevated white blood cell counts, and lower albumin levels.<sup>16</sup> Urinary NGAL levels are typically increased in patients with conditions like COPD, sepsis, and cardiac dysfunction. In our study, we accounted for these potential confounding factors during participant enrollment. We found that out of the total cases, 90 exhibited elevated urinary NGAL, while 113 showed increased cystatin C levels. Notably, 87 cases (38.49%) had elevated levels of both NGAL and cystatin C. Among these 87 cases, 78 were male and 9 were female, with 48 cases falling within the age-group of 18–35 years. Additionally, we observed a significant association between urinary NGAL and cystatin C levels and sex, with higher levels in males. However, other studies have reported urinary NGAL levels tend to be higher in males, whereas cystatin C levels are not influenced by age or sex.<sup>18,19</sup>

Our study found a positive correlation between urinary NGAL and cystatin C with the duration of treatment, but it was weak. NGAL and cystatin C had a correlation coefficient of 0.01 and 0.021, respectively. We also observed that out of 87 cases, 70 cases with raised levels of both urinary NGAL and cystatin C belonged to the treatment group of early duration (4–12 months), which means renal injury is not time dependent. TDF can even cause renal dysfunction in the initial duration of treatment (Table 4).

Data indicate that elevated urinary levels of NGAL and cystatin C may serve as predictors of acute-on-chronic liver failure (ACLF) and its impact on mortality in patients with chronic liver disease.<sup>20</sup> In our study, we didn't include those patients who were fulfilling the ACLF criteria. Further studies can be done to see the correlation between levels of NGAL and cystatin C with mortality outcome in patients with ACLF.<sup>21,22</sup>

## CONCLUSION

In this cross-sectional study, after accounting for confounding factors, we found that TDF use is linked to increased urinary NGAL and cystatin C in 87 (38.4%) patients, including those aged 18–35. Given that these biomarkers are indicators of AKI, further prospective studies with larger samples are needed to evaluate the direct impact of TDF in hepatitis B patients.

As NGAL and cystatin C are elevated in patients on tenofovir, we recommend regular kidney function testing, including urine protein and serum creatinine levels. If kidney damage is detected, TDF dosage may need adjustment or a switch to another antiviral. Other potential causes of renal dysfunction, such as dehydration or nephrotoxic drugs, should also be considered. Further research with larger sample sizes is needed for confirmation.

Since NGAL and cystatin C can rise before serum creatinine, they could serve as early markers for kidney injury, but more studies are required to assess their role in diagnosing AKI. These biomarkers are currently available only in specific centers but may become more accessible and useful for early detection in the future.

Regular follow-ups are essential for monitoring kidney function, and patients should promptly report symptoms like edema or reduced urine output. With proper management, the risk of kidney injury in patients on tenofovir can be minimized.

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# Impact of Blood Glucose Level on Hematological Indices in Patients with Type 2 Diabetes Mellitus



C L Nawal<sup>1</sup>, Aradhana Singh<sup>2</sup>, Hazari Lal Saini<sup>3</sup>, Govind Rankawat<sup>4\*</sup>

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## ABSTRACT

**Background:** The severity and complications of type 2 diabetes mellitus (T2DM) are the most important preventable health burdens. This study was undertaken to assess the impact of hyperglycemia on hematological indices in patients with T2DM.

**Materials and methods:** This study was conducted on 300 patients with T2DM, which included group A having controlled diabetes [hemoglobin A1c (HbA1c) <7%] and group B having uncontrolled diabetes (HbA1c >7%). Information concerning medical history, physical examination, diabetic parameters, hematological indices, and laboratory findings was extracted for interpretation and association between both groups.

**Results:** About 115 patients (38.33%) had HbA1c <7% and 185 patients (61.67%) had HbA1c >7%. Total leukocyte count (TLC), monocytes, basophils, red cell distribution width (RDW-CV), platelet distribution width (PDW), mean platelet volume (MPV), platelet large cell ratio (P-LCR), plateletcrit (PCT), neutrophil-to-lymphocyte ratio (NLR), and platelet-to-lymphocyte ratio (PLR) were significantly higher among patients with uncontrolled T2DM compared to those with controlled T2DM ( $p < 0.05$ ), while red blood cells (RBC) count, hemoglobin, mean corpuscular volume (MCV), and mean corpuscular hemoglobin (MCH) were significantly lower in the uncontrolled diabetic group compared to the controlled diabetic group ( $p < 0.05$ ). HbA1c had a significant positive correlation coefficient with TLC, neutrophil count, basophil count, PDW, MPV, PCT, PLR, and NLR, while RBC count, hemoglobin, hematocrit (HCT), and MCV showed significant negative correlation coefficients ( $p < 0.05$ ).

**Conclusion:** This study concluded that inflammation, tendency to coagulation, and thrombosis can be detected with easily accessible and inexpensive hematological indices. Therefore, hematological indices can be used as a direct measure to detect the severity of T2DM and its complications.

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## INTRODUCTION

The term "diabetes mellitus" describes a metabolic ailment of a couple of etiology characterized by persistent hyperglycemia due to defective secretion and/or action of insulin, which leads to an imbalance in carbohydrate, fat, and protein metabolism. Diabetes mellitus is one of the most common chronic illnesses in almost all nations. It is increasing rapidly in every part of the world, to the extent that it has now assumed an epidemic proportion.

It is estimated that 54 crore adults in the age-group of 20–79 years are living with (T2DM). This number is expected to rise to 64.5 crore by 2030 and 78.4 crore by 2045.<sup>1</sup> DM caused 6.7 million deaths in 2021.<sup>1</sup> The prevalence of type 2 DM varies significantly from one geographical location to another due to environmental and lifestyle risk factors.<sup>2</sup> Several sedentary lifestyle-related factors are responsible for the increasing prevalence of T2DM in developing countries, including overweight [body mass index (BMI) = 25 kg/m<sup>2</sup>], obesity (BMI = 30 kg/m<sup>2</sup>), physical inactivity, and increased caloric

intake. These are all shown to be primary risk factors for the onset of T2DM, irrespective of age and sex.<sup>3</sup>

Diabetes mellitus is a very common metabolic disease worldwide and, particularly in India, where it has been called "The Diabetes Capital of the World." Diabetes mellitus itself results in a range of complications affecting all major systems of the body.

Diabetes mellitus is associated with macrovascular as well as microvascular complications. Type 2 diabetic patients are at a higher risk of developing cardiovascular diseases (CVDs) and stroke. T2DM is the most common risk factor for the development of coronary artery disease (CAD), along with other risk factors like hypertension, increased low-density lipoprotein cholesterol (LDL), and weight gain.<sup>4,5</sup>

Inflammation is closely related to the secretory defect of beta cells as well as insulin resistance. Circulating markers of inflammation can reduce the function of beta cells through secretory dysfunction or uncontrolled apoptosis.<sup>6</sup> A classical inflammatory marker includes total leukocyte count (TLC); it alters carbohydrate

metabolism and increases insulin resistance, which predisposes individuals to T2DM and various cardiovascular risks.<sup>7</sup> In addition to atheroma formation, the combination of hypercoagulability, impaired fibrinolysis, and impaired vasodilation may further increase the risk of vascular occlusion and cardiovascular events in diabetes.<sup>8</sup>

Large platelets are more active than smaller platelets and carry more pro-thrombotic factors, including thromboxane A<sub>2</sub>, thromboxane B<sub>2</sub>, platelet factor 4, serotonin, and platelet-derived growth factor.<sup>9</sup> This suggests that changes in platelet count and mean platelet volume (MPV) reflect the state of thrombogenesis. It has been shown that MPV is significantly higher in diabetic populations.<sup>10</sup> Platelet activity and aggregation ability, which are important in atherogenesis and thrombogenesis, can be easily predicted through various hematological indices, such as MPV, plateletcrit (PCT), and platelet count as part of a complete blood count.

Within the closing many years, platelet-to-lymphocyte ratio (PLR) and neutrophil-to-lymphocyte ratio (NLR) were introduced as capability markers to determine inflammation in cardiac and noncardiac issues.<sup>11</sup> Starting from this point, we hypothesized that the elevated hazard of diabetic vascular complications in sufferers with bad glycemic manage can be associated with impaired numerous hematological indices, which can be recovered through tight glycemic control. Consequently, we need to evaluate the association among hematological indices, glycemic control, and microvascular complications in type 2 diabetic sufferers.

<sup>1</sup>Senior Professor, Department of General Medicine; <sup>2</sup>Professor, Department of Rheumatology; <sup>3,4</sup>Assistant Professor, Department of General Medicine, SMS Medical College, Jaipur, Rajasthan, India; \*Corresponding Author

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## MATERIALS AND METHODS

### Study Design

This cross-sectional, observational, comparative analysis was conducted on a total of 300 patients with T2DM from January 2020 to December 2022, who visited for outpatient department (OPD) or inpatient department (IPD) at SMS Medical College and Hospitals, Jaipur, India. This study was permitted by the Institutional Ethics Committee of this institute. In this study, apparently healthy diabetic sufferers were included. About 115 sufferers with type 2 DM and controlled sugar [hemoglobin A1c (HbA1c) <7%] were considered in group A, and 185 patients with uncontrolled T2DM (HbA1c >7%) were considered in group B. Informed consent was received from each subject included in the study.

Patients with a history of hematological disease and thrombotic disease, on anticoagulant medications, chronic kidney disease, chronic liver disease, malignancy including leukemia and lymphoma, male patients with Hb <12.5 gm/dL, female patients with Hb <11.5 gm/dL, current or former smokers, pregnancy, and acute infectious disease altering these indices were excluded from this study.

### Methods

Patients presenting or diagnosed with T2DM coming to the outpatient or emergency department were identified and enrolled in the study. Any patients with an HbA1c level >6.5 gm% or a history of taking any oral hypoglycemic agents and/or insulin were considered diabetic patients.

Data including blood pressure, height and weight measurements, age, gender, accompanying disease history, smoking habits, medication, and medical history were recorded. The Quetelet index formula was used to calculate BMI. Complete blood count from the advanced hematology lab, biochemical profile including blood glucose, creatinine, total lipid profile, HbA1c, and spot urinary albumin creatinine ratio were obtained. NLR and PLR were calculated as the ratio of neutrophils to lymphocytes and platelets to lymphocytes, respectively.

Sufferers with DM have been evaluated concerning metabolic regulation and nephropathy on the premise of morning spot urinary albumin/creatinine ratio. All of the data were gathered on a predesigned proforma. Information thus accrued has been entered in the form of a master chart. The information has been categorized and analyzed according to the objectives and

goals of the study. Inferences were drawn using suitable tests of significance.

### Statistical Analysis

Collected data were tabulated in Microsoft Excel and arranged for analysis. Quantitative data were expressed as mean  $\pm$  SD, and qualitative data as percentages. For the comparison of quantitative and qualitative data, the *t*-test and Chi-squared test were used, respectively. The Pearson correlation coefficient was used to determine correlations between variables. A *p*-value of <0.05 was considered significant.

## RESULTS

A total of 300 patients enrolled in this study. On the basis of their glycemic control, the study population was divided into two groups, that is, group A with controlled sugar (HbA1c <7%) and group B with uncontrolled sugar (HbA1c >7%). Among the selected study population, 115 patients (38.33%) have controlled blood sugar with HbA1c <7 gm%, and 185 (61.67%) patients have uncontrolled blood sugar with HbA1c >7 gm%.

The mean age was significantly higher in the uncontrolled diabetic group (55.56  $\pm$  15.58 years) as compared to the controlled diabetic group (50.94  $\pm$  11.4 years) with a *p*-value of 0.0063 (Table 1). Men are able to control their diabetes efficiently as compared to their female counterparts (*p* < 0.001). The duration of diabetes and systolic blood pressure were found to be significantly higher in the uncontrolled diabetic group as compared to the controlled diabetic group (*p* < 0.001).

### Laboratory Findings

As per indicators of glycemic control, blood glucose and HbA1c were found to be significantly higher among patients with poorly controlled T2DM as compared to those with well-controlled T2DM (*p* < 0.001). Diabetic risk factors like total cholesterol, triglycerides, and LDL cholesterol were also found to be significantly higher among patients with poorly controlled T2DM as compared to those with well-controlled T2DM (*p* < 0.05). Diabetes-related microvascular complications like urine albumin-to-creatinine ratio (UACR) were significantly higher among patients with poorly controlled T2DM as compared to those with well-controlled T2DM (*p* < 0.001). Among white blood cell indices, inflammatory markers like total WBC count, monocyte count, and basophil count were significantly higher in patients with poorly controlled T2DM as compared to those with well-controlled T2DM (*p* < 0.05) (Table 1).

Red blood cell indices like RBC count, hemoglobin, mean corpuscular volume (MCV), and mean corpuscular hemoglobin (MCH) were significantly lower among the uncontrolled diabetic group as compared to the controlled diabetic group (*p* < 0.05), while red cell distribution width (RDW-CV) was found to be significantly higher among the uncontrolled diabetic group (14.24  $\pm$  2.87%) as compared to the controlled diabetic group (13.12  $\pm$  2.56%) (*p* = 0.0007). Other indices like platelet distribution width (PDW), MPV, platelet large cell ratio (P-LCR), PCT, NLR, and PLR were also significantly higher among patients with poorly controlled T2DM as compared to those with well-controlled T2DM (*p* < 0.001).

### Association of Hematological Indices with Parameters of Glycemic Index

Fasting blood glucose has a significant positive correlation coefficient with TLC, neutrophil count, monocyte count, basophil count, RDW-CV, RDW-SD, PDW, MPV, P-LCR, PCT, PLR, and NLR, while RBC count, hemoglobin, hematocrit (HCT), MCV, and MCH showed significant negative correlation coefficients (*p* < 0.05). HbA1c showed a significant positive correlation coefficient with TLC, neutrophil count, basophil count, PDW, MPV, PCT, PLR, and NLR, while RBC count, hemoglobin, HCT, and MCV showed significant negative correlation coefficients (*p* < 0.05). BMI showed a significant positive correlation coefficient with TLC, neutrophil count, monocyte count, basophil count, PCT, and PLR, while RBC count, hemoglobin, HCT, MCV, and MCH showed significant negative correlation coefficients (*p* < 0.05) (Table 2).

## DISCUSSION

Diabetes mellitus is a persistent condition that could result in several complications over the years. The diabetes-related microvascular complications are highly prevalent in individuals with poor glycemic control, longer duration of T2DM, associated high blood pressure, and obesity. This leads to elevated morbidities and mortalities in T2DM.<sup>12</sup> Persistent hyperglycemia results in a series of interrelated changes that could cause evident endothelial dysfunction and diabetes-related vascular complications. The formation of advanced glycation end products, protein kinase C activation, and polyol pathway defects are the possible mechanisms through which elevated sugar levels produce vascular complications.<sup>13</sup>

Sufferers with T2DM have an elevated risk of coagulation defects and thromboembolic events. The activation, increased adhesion,

**Table 1:** Characteristics and hematological indices in patients with T2DM

Parameters	Group A	Group B	p-value
	HbA1c <7 gm/dL (n = 115)	HbA1c >7 gm/dL (n = 185)	
Age (year)	50.94 ± 11.4	55.56 ± 15.58	0.0063
Gender			
Male	102 (88.69%)	108 (58.38%)	<0.001
Female	13 (11.31%)	77 (41.62%)	
Duration of diabetes (years)	4.6 ± 1.4	6.8 ± 2.1	<0.001
BMI (kg/m <sup>2</sup> )	28.44 ± 1.29	28.57 ± 1.37	0.4146
Systolic blood pressure (mm Hg)	131.50 ± 5.10	137.29 ± 5.83	<0.001
Diastolic blood pressure (mm Hg)	88.88 ± 10.56	89.17 ± 6.35	0.7666
Fasting blood glucose (mg/dL)	103.6 ± 8.64	165.4 ± 25.76	<0.001
Postprandial blood glucose (mg/dL)	153.5 ± 10.65	214 ± 32.44	<0.001
HbA1c (%)	6.32 ± 0.64	8.94 ± 2.37	<0.001
UACR (mg/gm)	247 ± 139.06	704.24 ± 231.61	<0.001
Total cholesterol (mg/dL)	174.94 ± 15.78	183.00 ± 10.83	<0.001
Triglycerides (mg/dL)	94.55 ± 13.69	98.81 ± 14.28	0.0112
LDL-C (mg/dL)	125.06 ± 19.59	137.18 ± 22.68	<0.001
HDL-C (mg/dL)	41.88 ± 5.07	41.10 ± 6.36	0.2665
White blood cells indices			
Total WBC count (10 <sup>9</sup> /L)	6.60 ± 1.88	7.15 ± 1.91	0.0153
Neutrophil count (10 <sup>9</sup> /L)	3.74 ± 1.34	4.12 ± 2.33	0.1124
Lymphocyte count (10 <sup>9</sup> /L)	2.20 ± 1.01	2.01 ± 1.29	0.1800
Monocyte count (10 <sup>9</sup> /L)	0.42 ± 0.34	0.71 ± 0.51	<0.001
Eosinophil count (10 <sup>9</sup> /L)	0.13 ± 0.21	0.16 ± 0.12	0.1165
Basophil count (10 <sup>9</sup> /L)	0.11 ± 0.07	0.15 ± 0.13	0.0026
Red blood cells indices			
RBC count (10 <sup>12</sup> /L)	4.31 ± 1.12	3.98 ± 1.17	0.0164
Hemoglobin (gm/dL)	13.05 ± 2.33	12.3 ± 2.42	0.0086
HCT (%)	46.14 ± 9.62	44.29 ± 10.31	0.1222
MCV (fL)	89.12 ± 15.32	84.25 ± 22.87	0.0444
MCH (pg)	28.89 ± 3.91	27.98 ± 3.84	0.0484
MCHC (%)	34.50 ± 3.38	34.12 ± 3.53	0.3576
RDW-CV (%)	13.12 ± 2.56	14.24 ± 2.87	0.0007
Platelet indices			
Platelet count (10 <sup>9</sup> /L)	246.50 ± 19.52	251.87 ± 26.58	0.0618
PDW (fL)	9.32 ± 1.82	10.11 ± 1.98	0.0006
MPV (fL)	9.12 ± 2.12	9.74 ± 2.54	0.0296
P-LCR (%)	16.94 ± 4.12	19.84 ± 5.24	<0.001
PCT (%)	0.22 ± 0.04	0.25 ± 0.05	0.0037
NLR	1.70 ± 1.33	2.04 ± 1.50	0.0473
PLR	112.04 ± 19.33	125.30 ± 20.60	<0.001

BMI, body mass index; HCT, hematocrit; Hgb, hemoglobin; MCH, mean corpuscular hemoglobin; MCHC, mean corpuscular hemoglobin concentration; MCV, mean corpuscular volume; MPV, mean platelet volume; NLR, neutrophil to lymphocyte ratio; PDW, platelet distribution width; PLC-R, platelet large cell ratio; PLR, Platelet to lymphocyte ratio; RBC, red blood cells; RDW-CV, red cell distribution width coefficient of variation; RDW-SD, red cell distribution width standard deviation; SD, standard deviation; UACR, urine albumin creatinine ratio; WBC, white blood cells; p-value < 0.05, statistically significant

and aggregation of platelets due to metabolic disturbances, insulin resistance, high blood sugar, and elevated cholesterol levels have been observed in T2DM.<sup>14</sup> Various factors, including systemic inflammation, impaired calcium metabolism, reduced nitric oxide, and increased phosphorylation and glycosylation

of cell proteins, are responsible for prolonged platelet activation and increased release of pro-thrombotic factors in T2DM.<sup>15</sup>

The affiliation of elevated MPV with prediabetes, diabetes, and vascular diabetic complications is mentioned in the literature.<sup>16</sup> Furthermore, the affiliation of MPV and

impaired glucose regulation in diabetic patients is also stated.<sup>17</sup> As with MPV, elevated PDW is also reported to be related to diabetes and vascular complications.<sup>18</sup> Several studies reported no relation, while some reported positive affiliations between diabetes and platelet count.<sup>19</sup>

Increased TLC is a standard marker of inflammation, and it recruits inflammation with hyperglycemia and insulin resistance.<sup>20</sup> In recent years, PLR and NLR have been identified as novel markers of inflammation in various metabolic disorders.<sup>21</sup> Moreover, increased levels of PLR and NLR have been reported in T2DM and diabetes-related nephropathy.<sup>21</sup> Besides thromboembolic issues, associations of platelet indices with inflammation and disordered activity of anti-inflammatory factors have also been demonstrated.

In the present study, a total of 300 patients with T2DM were enrolled. Elderly people and female patients had poorly controlled diabetes, and the difference was significant.

In our study, the mean BMI among both groups did not differ significantly. This suggests that cases with poorly controlled diabetes are more obese than those in the well-controlled diabetic group, but the difference is statistically nonsignificant (*p* = 0.4146). This was similar to the study done by Demirtas et al.,<sup>22</sup> where the difference in mean BMI between the two groups was statistically nonsignificant. In our study, uncontrolled diabetics had a longer history of diabetes compared to the controlled diabetic group. Indices of glycemic control, including duration of diabetes, blood sugar level, HbA1c, and systolic blood pressure, were significantly higher among those with uncontrolled diabetes compared to the controlled diabetic group. In our study, the mean SBP of the good glycemic control group (HbA1c <7%) was 131.50 ± 5.10 mm Hg, and that of the poor glycemic control group (HbA1c >7%) was 137.29 ± 5.83 mm Hg. A significant difference was observed (<0.001) between the groups.

Diabetes-related microvascular complications, like UACR, were significantly higher in patients with poorly controlled T2DM compared to those with good control (*p* < 0.001). Thus, patients with poorly controlled diabetes had significantly more albuminuria than those with good control, further increasing the risk of nephropathy. In this study, the mean values of total cholesterol, triglycerides, and LDL levels were significantly higher in patients with poorly controlled T2DM compared to those with good control (*p* < 0.001). Therefore, patients with poorly controlled diabetes had a significantly more deranged lipid profile than those with good control,

**Table 2:** Pearson correlation coefficient “r” (p-value) of hematological indices with diabetic parameters

Hematological indices	FBG (mg/dL)	PPG (mg/dL)	HbA1c (gm/dL)	BMI (Kg/m <sup>2</sup> )
White blood cells indices				
	DBP			
Total WBC count (10 <sup>9</sup> /L)	0.21 (0.0006)	-0.013 (0.8091)	0.272 (<0.001)	0.289 (<0.001)
Neutrophil count (10 <sup>9</sup> /L)	0.198 (0.0005)	0.022 (0.6915)	0.280 (<0.001)	0.311 (<0.001)
Lymphocyte count (10 <sup>9</sup> /L)	-0.044 (0.4686)	-0.109 (0.0547)	0.035 (0.5459)	-0.042 (0.4686)
Monocyte count (10 <sup>9</sup> /L)	0.29 (<0.001)	-0.013 (0.7825)	0.091 (0.1157)	0.130 (0.0243)
Eosinophil count (10 <sup>9</sup> /L)	0.110 (0.0617)	-0.038 (0.5009)	0.095 (0.1005)	0.111 (0.05479)
Basophil count (10 <sup>9</sup> /L)	0.432 (<0.001)	0.101 (0.0837)	0.314 (<0.001)	0.329 (<0.001)
Red blood cells indices				
RBC count (10 <sup>12</sup> /L)	-0.325 (<0.001)	-0.135 (0.193)	-0.131 (0.0232)	-0.181 (0.0016)
Hemoglobin (gm/dL)	-0.438 (<0.001)	-0.175 (0.0023)	-0.185 (0.0012)	-0.279 (<0.001)
Hematocrit (%)	-0.401 (<0.001)	-0.172 (0.0028)	-0.160 (0.0054)	-0.230 (<0.001)
MCV (fL)	-0.396 (<0.001)	0.121 (0.0361)	-0.54 (<0.001)	-0.218 (<0.001)
MCH (pg)	-0.337 (<0.001)	-0.090 (0.1198)	-0.070 (0.2267)	-0.241 (<0.001)
MCHC (gm/dL)	0.068 (0.2403)	0.25 (<0.001)	0.001 (0.9862)	-0.087 (0.1327)
RDW-CV (%)	0.138 (0.0167)	0.0075 (0.897)	0.020 (0.7300)	0.151 (0.0088)
RDW-SD (fL)	0.162 (0.0049)	0.281 (<0.001)	0.086 (0.1372)	0.105 (0.0693)
Platelet indices				
Platelet count (10 <sup>9</sup> /L)	-0.28 (<0.001)	0.161 (0.0053)	0.062 (0.2844)	0.078 (0.1778)
PDW (fL)	0.271 (<0.001)	0.076 (0.1892)	0.136 (0.0184)	0.085 (0.1418)
MPV (fL)	0.295 (<0.001)	0.002 (0.9724)	0.101 (0.0807)	0.030 (0.6047)
PLC-R (%)	0.177 (0.0020)	-0.031 (0.5927)	0.071 (0.2201)	-0.035 (0.5459)
PCT (%)	0.151 (0.0088)	0.101 (0.0807)	0.102 (0.0777)	0.120 (0.0377)
NLR	0.125 (0.0304)	0.106 (0.0667)	0.156 (0.0067)	0.095 (0.1005)
PLR	0.187 (0.0011)	0.115 (0.0465)	0.123 (0.0332)	0.224 (<0.001)

further increasing the risk of atherosclerotic burden. A study by Petitti et al. suggests that hematological indices and blood sugar levels may be correlated due to diabetes-related dyslipidemia.<sup>23</sup> In this study, we found that among white blood cell indices, inflammatory markers such as total WBC count, monocyte count, and basophil count were significantly higher in patients with poorly controlled T2DM compared to those with good control ( $p < 0.05$ ).

FBG has a direct significant positive correlation coefficient with TLC ( $r = +0.21$ ,  $p = 0.0006$ ), neutrophil count ( $r = +0.198$ ,  $p = 0.0005$ ), monocyte count ( $r = +0.29$ ,  $p < 0.001$ ), and basophil count ( $r = +0.432$ ,  $p < 0.001$ ). HbA1c has a direct significant positive correlation coefficient with WBC count ( $r = +0.272$ ,  $p < 0.001$ ), neutrophil count ( $r = +0.280$ ,  $p < 0.001$ ), and basophil count ( $r = +0.314$ ,  $p < 0.001$ ). BMI has a direct significant positive correlation coefficient with WBC count ( $r = +0.289$ ,  $p < 0.001$ ), neutrophil count ( $r = +0.311$ ,  $p < 0.001$ ), monocyte count ( $r = +0.130$ ,  $p = 0.0243$ ), and basophil count ( $r = +0.329$ ,  $p < 0.001$ ). The differential WBC counts are probably deranged due to high blood sugar levels in patients with T2DM. Elevated TLC is an important marker of inflammation, and

evidence from epidemiological research studies suggests that complications of T2DM are directly correlated with WBC count.<sup>24</sup>

Insulin resistance at peripheral tissues produces continuous low-grade inflammation and secretes proinflammatory cytokines.<sup>25</sup> Moreover, in high glycemic conditions, WBCs are stimulated through oxidative stress, and simultaneously, inflammation-associated growth of cytokines produces vascular complications in diabetes. Another marker of inflammation, including neutrophils and monocytes, is also related to the development of vascular complications in T2DM.<sup>26</sup>

Red blood cell indices, like RBC count, hemoglobin, MCV, and MCH, were significantly lower in the uncontrolled diabetic group compared to the controlled diabetic group ( $p < 0.05$ ), while RDW-CV was found to be significantly higher in the uncontrolled diabetic group ( $14.24 \pm 2.87\%$ ) compared to the controlled diabetic group ( $13.12 \pm 2.56\%$ ) ( $p = 0.0007$ ). FBG has a direct significant negative correlation coefficient with RBC count ( $r = -0.325$ ,  $p < 0.001$ ), hemoglobin ( $r = -0.438$ ,  $p < 0.001$ ), HCT ( $r = -0.401$ ,  $p < 0.001$ ), MCV ( $r = -0.396$ ,  $p < 0.001$ ), and MCH ( $r = -0.337$ ,  $p < 0.001$ ), while a positive correlation coefficient is found with RDW-CV ( $r = 0.396$ ,  $p = 0.0167$ ) and RDW-SD ( $r = 0.162$ ,

$p = 0.0049$ ). HbA1c has a direct significant negative correlation coefficient with RBC count ( $r = -0.131$ ,  $p = 0.0232$ ), hemoglobin ( $r = -0.185$ ,  $p = 0.0012$ ), HCT ( $r = -0.160$ ,  $p = 0.0054$ ), and MCV ( $r = -0.54$ ,  $p < 0.001$ ). BMI, a most important risk factor of diabetes, has a direct significant negative correlation coefficient with RBC count ( $r = -0.181$ ,  $p = 0.0016$ ), hemoglobin ( $r = -0.279$ ,  $p < 0.001$ ), HCT ( $r = -0.230$ ,  $p < 0.001$ ), MCV ( $r = -0.218$ ,  $p < 0.001$ ), and MCH ( $r = -0.241$ ,  $p < 0.001$ ), while a positive correlation coefficient is found with RDW-CV ( $r = 0.151$ ,  $p = 0.0088$ ).

Every other study has found that diabetics are at risk of anemia because of decreased kidney capabilities and reduced production of erythropoietin hormone, which ultimately results in reduced RBC count within the body.<sup>27</sup> The possible explanation for this difference is probably that chronic hyperglycemia causes nonenzymatic glycosylation of RBC membrane proteins, leading to increased aging of RBCs. Enormous elevations of HCT and MCV are likely due to the type of morphological changes exhibited by RBCs and compositional changes in plasma associated with T2DM.<sup>28</sup> In diabetic patients, a number of risk factors, including hyperglycemia, hyperosmolarity, oxidative stress, inflammation, and lipid metabolic disease, may affect RBC metabolism

as they increase aggregation, reduce cell deformability, and decrease membrane fluidity. Subsequently, the overall process affects the physiological functions of RBCs, which may in turn increase diabetes-related complications.<sup>29</sup>

Indices like PDW, MPV, P-LCR, PCT, NLR, and PLR were observed to be significantly higher in the uncontrolled diabetic group compared to the controlled diabetic group ( $p < 0.001$ ). In addition, other concordant findings were reported in South Korea, India, and Turkey, where MPV, PDW, and P-LCR showed a significant increase in poorly glycemic-controlled diabetes patients.<sup>30</sup> FBG has a direct significant negative correlation coefficient with platelet count ( $r = -0.28, p < 0.001$ ), while a positive correlation coefficient is found with PDW, MPV, PLCR, PCT, NLR, and PLR. HbA1c has a direct significant positive correlation coefficient with PDW, NLR, and PLR.

We examine exhibits that inflammation, tendency to coagulation, and thrombosis may be detected with those smooth-on-hand and cheaper hematological indices. Furthermore, a number of these parameters can also assist to conscious clinicians about impaired glucose regulation and vascular diabetic complications. These assessments are easy, cheaper, and carried out routinely. They may be an alternative to other more expensive inflammatory markers, such as ILs, TNF, and cytokines. Improved glycemic control decreases these indices and can prevent microvascular complications of diabetes.

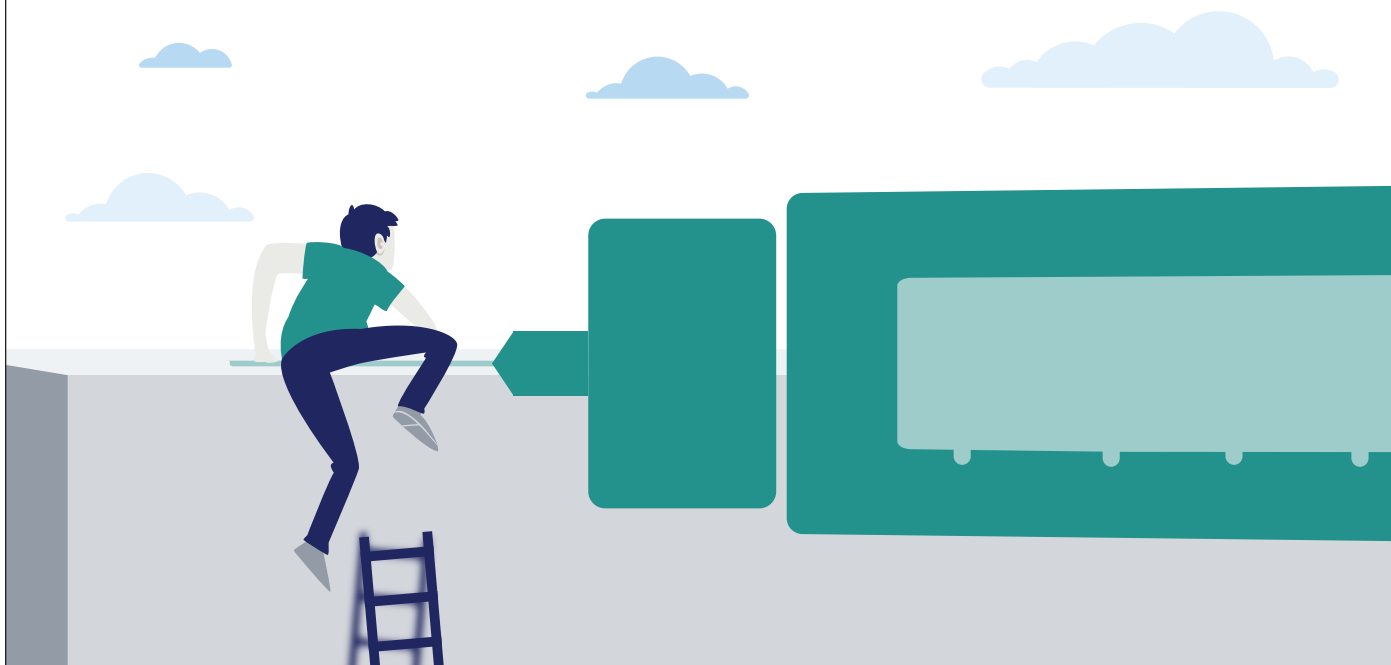
### Limitations

The sample size was limited, and for confirmation of results, we need a larger sample size. It was a single-center, observational, cross-sectional study, and if it had been multicentric, we might have had different results due to a different study population.

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# Fear of Needles is a Barrier to insulin initiation.<sup>1</sup>



## How can we not do anything about the fear of needles?

**Reference : 1.** Sharma SK *et al.* Prevalence of Primary Non-adherence with Insulin and Barriers to Insulin Initiation in Patients with Type 2 Diabetes Mellitus – An Exploratory Study in a Tertiary Care Teaching Public Hospital. *European Endocrinology.* 2020;16(2):143–7

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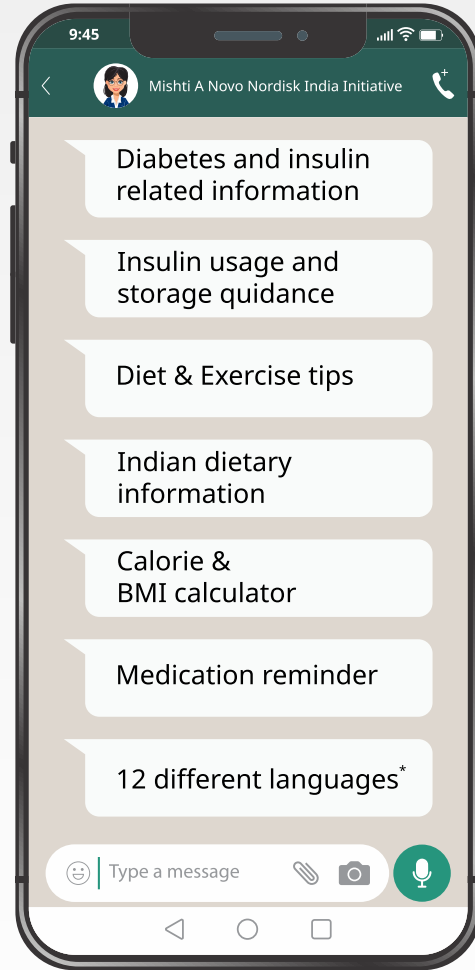
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IN24DI00045 - Last reviewed on 16 July 2024





# Clinical Profile, Prognosis, and Outcome of Acute Kidney Injury Patients Admitted in Medical Intensive Care Unit

Divendu Bhushan<sup>1\*</sup>, Vijay Kumar<sup>2</sup>, Ria Roy<sup>3</sup>, Chandrima Pattadar<sup>4</sup>, Aniketh Hegde<sup>5</sup>, Sudheer Y Verma<sup>6</sup>

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## ABSTRACT

**Background:** Acute kidney injury (AKI) is one of the poor prognosticating conditions in the intensive care unit (ICU). It increases mortality. Its pathophysiology involves various aspects, such as prerenal, renal, and postrenal components. Many times, it is a combination of one or more etiologies. Its management is a challenge, as no agent is approved for its prevention or treatment. It is the comprehensive treatment and timely institution of renal replacement therapy (RRT) that matter most. To understand the prognosis and outcome of patients with AKI, we conducted this observational analytical study.

**Materials and methods:** It was an observational study. To analyze the effect of loop diuretics, we grouped the patients into two: one group received furosemide, and the other did not.

**Results:** There was a male preponderance among AKI patients. Hypertension and diabetes were the most common comorbidities. About 44.1% of patients received diuretics. There was no significant difference among patients in the requirement for RRT or the need for vasopressors; however, the outcome of patients who received diuretics was worse.

**Conclusion:** The use of diuretics did not improve the outcome of acute kidney injury.

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## INTRODUCTION

Acute kidney injury (AKI) is one of the common complications of any critical illness. It is defined by the Kidney Disease Improving Global Outcomes (KDIGO) Society as an acute decrease in renal function, a rise in serum creatinine of 0.3 mg% or more within 48 hours, or an increase in serum creatinine to 1.5 times baseline or more within the last 7 days, or urine output <0.5 mL/kg/hour for 6 hours. The incidence varies from 20 to 70%.<sup>1,2</sup> Risk factors for developing AKI include sepsis, diabetes, hypertension, use of mechanical ventilators, and use of vasopressors. AKI in intensive care units (ICU) can be sub-grouped into two: one with those who have AKI at admission to the hospital, and the second with those who develop AKI during the hospital course. The second category has a worse prognosis.<sup>3,4</sup> In a study by Kellum et al., 16,000 patients were observed for 1 year who suffered AKI in the ICU. Classically, according to pathophysiology, AKI is divided into prerenal, renal (intrinsic), and postrenal. Prerenal, the most common form in the ICU, usually develops secondary to low blood pressure, sepsis causing vasodilation, and hypoperfusion. Intrinsic renal disease is caused by parenchymal involvement, tubular necrosis, interstitial nephritis, and glomerulonephritis. The most common cause of intrinsic AKI is acute tubular necrosis (ATN). ATN in the ICU can be divided into three categories: ischemia-reperfusion injury,

nephrotoxic, and septic. Septic ATN is unique, and it can develop in the absence of overt renal ischemia.<sup>5</sup> Postrenal causes are due to obstruction in the urinary tract.

They observed five types of outcome: patients with early recovery (<7 days), patients with late recovery (>7 days), relapse with or without altered renal function at discharge, and sustained renal failure.<sup>6</sup> Patients developing AKI in critical care units are relatively younger in age, male predominant, and have multiorgan dysfunction.

The role of diuretics in prevention and treatment is always debatable. In the AKIGUARD Trial, the investigator found a significant reduction in contrast-induced nephropathy in the group that received saline plus diuretics compared to those who received sodium bicarbonate/N-acetyl cysteine/vitamin C.<sup>7</sup> Kleinknecht et al., Shilliday et al., and van der Voort et al. did prospective placebo-controlled studies to look for the therapeutic effect of loop diuretics on AKI, and all of these found neither advantage nor disadvantage of LD on AKI outcome.<sup>8-10</sup>

In this study, we observed the clinicopathologic profile of AKI in patients admitted to the ICU and compared the outcome of patients who received diuretics with those who did not receive them.

## MATERIALS AND METHODS

It was an observational study, where medical records of patients who were admitted or

developed AKI in the medical ICU during January to June 2022 were included, and relevant data were collected. Ethical approval was taken from the Institutional Ethics Committee (AIIMS/Pat/IEC/2022/867).

## Inclusion Criteria

Patients developing or admitted with AKI in the ICU.

## Exclusion Criteria

Burn patients, surgical patients, known or diagnosed CKD, and CLD.

Appropriate statistical analysis was done using SPSS. For analysis purposes, patients were divided into two groups depending on the use of diuretics. The independent t-test and Chi-squared test of proportions were used to compare continuous and categorical variables, respectively, between the groups.

## RESULTS

A total of 77 patients were recruited; of these, five patients were excluded because they were found to have chronic kidney disease on evaluation. The mean age of patients who developed AKI was 55.3 ± 16.2 years. About 54.2% of them are males. The mean length of stay was 12.58 ± 7.63 days.

Baseline characteristics of patients are summarized in Table 1. Comorbidities like hypertension, diabetes, coronary artery disease, cerebrovascular accident (CVA), infection, malignancy, and chronic obstructive pulmonary disease (COPD) were present in 33.3, 33.3, 16.7, 20.1, 11.1, 9.7% of patients, respectively. About 44.1% of patients had diuretics use during their course of treatment. 66.7% (n = 48) of patients were

<sup>1</sup>Associate Professor, Department of Emergency Medicine; <sup>2</sup>Associate Professor, Department of General Medicine; <sup>3</sup>Senior Resident, Department of Community and Family Medicine; <sup>4-6</sup>Post Graduate Student, Department of General Medicine, AIIMS, Patna, Bihar, India; \*Corresponding Author

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oliguric/anuric. 68.1% of patients expired during their course.

Table 2 shows the comparison of groups who received diuretics in their treatment vs those who did not. There was no clinically significant difference in mean SOFA score, APACHE II score, and total length of stay. There was no significant difference in the need for renal replacement therapy (RRT) and the need

for vasopressors between the two groups. When we compared the outcome between these two groups, it was higher in the group that received diuretics ( $p < 0.001$ ).

The association between the use of diuretics and outcome was compared using the Chi-squared test and found that the use of diuretics was associated with poor outcome ( $df = 13.776, p < 0.001$ ) (Table 3).

## DISCUSSION

The kidney is a highly differentiated organ in the body, consisting of nearly 30 different cell types. Its main functions include endocrine function, maintenance of blood pressure, solute and water transport, acid-base balance, and removal of drug metabolites. Blood flow in both kidneys is around 1000 mL per minute, that is, around 20% of cardiac output. Impairment of kidney function over days to weeks (generally known or expected to have occurred within 7 days) results in the accumulation of nitrogenous waste products. AKI is not a single disease but rather a designation for a heterogeneous group of conditions that share a common diagnostic feature. The epidemiology of AKI differs in developed and developing countries, with infections, sepsis, snake bites, and crush injuries being the most common causes. In our study, the most common cause appeared to be infection and sepsis. The most common associated chronic illnesses were diabetes and hypertension. We had 9.7% ( $n = 7$ ) patients with drug-induced nephropathy—four cases of contrast-induced nephropathy and three cases due to injection colistin. The mean age of patients was 55 years, and there was a male preponderance. Gender distribution was similar to admissions in the ICU. Although a clear-cut demarcation of the pathological cause was not possible, almost 45% had prerenal, 35% had renal, and 20% had postrenal causes. These differentiations were not strict, and many patients had two or more types of pathophysiology contributing to their overall situation. In this study, 48 patients (66.7%) were anuric

**Table 1:** Baseline characteristics of patients ( $n = 72$ )

Characteristics	Mean $\pm$ standard deviation	
Age (in years)	55.3 $\pm$ 16.2	
Length of stay (in days)	12.58 $\pm$ 7.63	
	Frequency	%
Gender		
Male	39	54.2
Female	33	45.8
Hypertension	24	33.3
Diabetes mellitus	24	33.3
Coronary artery disease	12	16.7
Contrast induced	7	9.7
Cardiovascular accident infection	15	20.1
Malignancy	8	11.1
COPD	7	9.7
Use of diuretics	32	44.1
Need of vasopressors	31	43.1
Need of RRT	25	34.7
Urine output		
Anuric/oliguric	48	66.7
Non oliguric	24	33.3
Outcome of patient		
Discharge	19	26.4
LAMA	4	5.5
Death	49	68.1

**Table 2:** Distribution of the clinical parameters and treatment requirement in ICU according to use of diuretics ( $n = 72$ )

	Diuretics used ( $n = 32$ )	Diuretics not used ( $n = 40$ )	<i>p</i> -value
Mean $\pm$ SD			
SOFA score*	7.84 $\pm$ 4.54	6.50 $\pm$ 2.86	0.151
APACHE-II score**	23.22 $\pm$ 21.76	21.90 $\pm$ 14.51	0.424
Length of stay (days)*	11.34 $\pm$ 6.31	13.75 $\pm$ 8.47	0.186
Frequency (%)			
Need of RRT <sup>†</sup>			
Yes	11 (44.0)	14 (56.0)	0.956
No	21 (44.7)	26 (55.3)	
Need of vasopressors <sup>†</sup>			
Yes	13 (41.9)	18 (58.1)	0.709
No	19 (46.3)	22 (53.7)	

\*Independent *t*-test; \*\*Mann–Whitney *U* test; <sup>†</sup>Chi-squared test of proportion

**Table 3:** Association between diuretic use and outcome of patients ( $n = 72$ )

Use of diuretics	Outcome of patients			Total	Chi-square ( <i>df</i> )	<i>p</i> -value
	Discharge	LAMA	Death			
Diuretics not used	17 (42.5)	3 (7.5)	20 (50.0)	40	13.776 (2)	0.001
Diuretics used	2 (6.2)	1 (3.1)	29 (90.6)	32		

or oliguric, and the rest were nonoliguric. While analyzing the outcome, we found that 49 patients (68%) died, and 4 patients left the hospital against medical advice as they were not improving. Only 19 (26%) patients improved and were discharged. Of these, 16 patients reached normal values for creatinine and urea, and the remaining 3 showed a downward trend but still had higher-than-normal levels of blood urea and creatinine. AKI is considered to be an independent risk factor for poor prognostication in intensive care patients.<sup>11</sup>

For further analysis, we divided the patients into two groups: one who received diuretics for AKI and another who did not. The use of diuretics in AKI treatment is a controversial issue. Loop diuretics increase the tubular flow, which decreases the tubular obstruction, decreases the medullary oxygen consumption by decreasing the net electrolyte reuptake, and increases tubular cell survival. They cause volume depletion and can increase the prerenal component of AKI. Diuretics were given to 32 patients (44%). Severity scores, like SOFA and APACHE II scores, were not significantly varied between the two groups. The need for RRT and use of vasopressors among the two groups were also not significantly different, but the outcome of patients was significantly varied and was better in the patient group who did not receive diuretics. Mortality was higher in the diuretics group. This high mortality can be multifactorial, and AKI is one of the factors.

Loop diuretics have a controversial role in the prevention and treatment of AKI. In a few previous studies, loop diuretics proved to be beneficial in the prevention of contrast-induced nephropathy and postangiography AKI.<sup>12-14</sup> Furosemide stress test (FST) is used to predict the progression of AKI. It also guides the time to start RRT.<sup>15</sup> In this, 1–1.5 mg/kg of furosemide is given, and urine output in the next 2 hours should be 200 mL for a positive

test. This test was not found to be effective in showing the appropriate time for RRT in a recent study by Lumlertgul et al.<sup>16</sup> However, this test was found to be advantageous in deciding RRT in postrenal transplant AKI patients, as shown by Udomkarnjananun et al.<sup>17</sup> The preventive role of diuretics was assessed in postcardiac surgery patients by Lassnigg et al. in 2000. They concluded that although urine output was higher in the diuretics group, renal dysfunction did not vary significantly between the groups with or without diuretics.<sup>18</sup>

Studies focusing on the treatment of AKI with diuretics are mostly neutral regarding renal outcome, except for the study by Cantarovich et al., in which patients who received loop diuretics had improved diuresis and a shorter period of oliguria.<sup>19</sup>

To summarize, the development of AKI in ICU patients contributes to poor prognosis. Prevention is desirable, but at times it develops as part of multiorgan dysfunction. The use of diuretics is controversial and is mostly associated with poor outcomes.

## CONCLUSION

Hypertension and diabetes are the most common comorbidities associated with AKI. The use of diuretics did not reduce the need for RRT, but it was associated with poorer outcomes.

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# The Effect of Clinical Decision Support Tools on Physicians' Practices



Amrin Fakhir\*

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## ABSTRACT

**Objective:** The objective of this research is to assess the impact of clinical decision support (CDS) tools on the practices of Indian physicians.

**Methods:** Descriptive statistics and frequency distributions are used to assess the data.

**Results:** Through a primary survey, it was found that about 69% of the physicians frequently use clinical decision tools in their practice. The author found that the clinical decision tools affect 1–5 decisions every week (for about 54% of the sample). Nonetheless, a great many (31%) stated that they do not use the tools frequently; therefore, none of their decisions are affected by the technology on a usual basis. There is a slight improvement in diagnosis post the use of the app. Although 46% of doctors stated that they have made zero errors in decision making post the use of the application, 54% admitted making errors in 1–5 decisions per week. This shows that the tool has not been able to address all the needs of the doctors. A great many agreed that the tool helped in reducing diagnostic tests. Although a majority of doctors stated that they order fewer than five diagnostic tests post the use of the application, a great many doctors agreed that they order >10 tests after using the application. This could be due to less faith in the technology or could be an attribute of a small sample. The author intended to assess whether clinical decision tools are cost-effective. The author found that not all decision tools are cost-effective. The variation could be due to differences in comprehensiveness of information, product features, and area of practice.

**Conclusion:** This study exhibits that there is less faith in the technology and the application is favored by younger doctors. By and large, doctors agreed that the tool provides quicker diagnosis and is user-friendly.

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## INTRODUCTION

The potential of information technology (IT) to improve process and delivery of care has been persistently discussed in the literature. Domain scholars opine that IT has been underutilized in managing healthcare and that IT is a critical tool in improving efficiency and quality.<sup>1</sup> Evidence shows that doctors spend 64% of their time online surveying for information that could support their clinical decisions.<sup>2</sup> IT tools are being increasingly used by physicians to lessen administrative burden and to improve the quality of their care services. In this paper, an attempt is made to assess the impact of one of the IT tools—clinical decision support (CDS) tools—on the practices of Indian physicians.

The literature has identified several benefits of the use of clinical decision tools. These include reducing toxic drug levels and lessening the time to achieve therapeutic control,<sup>3,4</sup> reducing medication errors,<sup>5,6</sup> modifying prescriptions as per the guidelines, and increasing diagnostic testing.<sup>7</sup> In addition to their potent benefits, CDS tools can be used for a variety of purposes. CDS software can be used to surf literature, ask for recommendations based on patients'

characteristics, receive notifications when health parameters cross certain limits, receive critiques of recommended therapies, and access programs that describe the pros and cons of alternative outcomes.<sup>8</sup>

The preventive healthcare market size in India will reach \$169 billion by 2025.<sup>9</sup> Of this, the diagnostic and therapeutic market will consume 32%. Given the sheer size of the market and the potent benefits that physicians accrue due to the use of CDS tools, a study analyzing the impact of the tools on physicians' practices becomes imperative. The CDS tools are a part of a larger domain of evidence-based practice (EBP).

The paper is organized as follows: First, relevant literature is examined. Then, methodology and findings are discussed.

## WHAT DOES THE LITERATURE SAY?

Primarily, CDS systems are used in the domain of diagnosis and therapy. Of the two, CDS tools are more prevalent in diagnosis; therefore, this study focuses on CDS tools in the domain of diagnosis. First, this paper describes the history of clinical decision support systems (CDSS) in diagnosis and then explores general literature relating to CDSS.

## History

Diligent attempts have been made in the literature to describe, model, and critique medical diagnostic decision support (MDDS) systems.<sup>10</sup> An author<sup>10</sup> studied papers from 1954 to 1992 and asserted that the academic literature has extensively studied MDDS systems. He traces the history of academic research on MDDS systems and reveals that the current system is a product of the laborious attempts of mathematical formulations of the past.

In the initial stages, MDDS systems were articulated using logic and probabilistic reasoning.<sup>10</sup> Later, heuristic reasoning developed, which not only combined the features of the two models but also enabled the physician to formulate "short-cut mental strategies"<sup>11</sup> that facilitated swift decision making. Heuristic reasoning was followed by rule-based systems, where software fed with human-curated rules was used to diagnose diseases. This was followed by Bayesian belief networks, which enabled the detection of diseases using probabilities of certain symptoms.

The beginning years of diagnostic decision support systems were dominated by the "Greek oracle" model.<sup>10</sup> Under this model, the physician was required to feed historical and physical health examination data into the system, and the system was expected to provide a correct diagnosis to the patient and explain its reasoning. The role of the physician was that of a passive observer, and he was solely required to provide "yes" and "no" answers to the system's questions.<sup>10</sup> There were fatal flaws in the "Greek oracle" model. The system neglected the ability of the physician to diagnose patients who were under the direct observation of the physician.<sup>10</sup> In addition, the system was restricted by the type of questions it asked. Not all relevant information could be fed into

Research Scholar, Department of Centre for Research in Urban Affairs, Institute for Social and Economic Change, Bengaluru, Karnataka, India; \*Corresponding Author

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the system. Eventually, the "Greek oracle" was abandoned, and the developers focused on creating systems that allowed greater flexibility in terms of exploiting both the physician's intellect and the system's capability.<sup>10</sup>

Recent CDS tools, provided by startups, are based on artificial intelligence. Millions of medical cases are fed into the computers, and the computers, based on patient characteristics and the best evidence available, suggest recommendations to physicians. The endeavor of this paper is to assess the impact of such CDS tools on physicians' practices.

### General Literature Relating to the Effectiveness of Clinical Decision Support Systems

Clinical decision support systems have been extensively explored in the literature. Many systematic reviews have identified the benefits of such tools. These include reducing toxic drug levels and lessening the time to achieve therapeutic control,<sup>3,4</sup> reducing medication errors,<sup>5,6</sup> modifying prescriptions as per the guidelines, and increasing diagnostic testing.<sup>7</sup> In addition to their potent benefits, CDS tools can be used for a variety of purposes. CDS software can be used to surf literature, ask for recommendations based on patients' characteristics, receive notifications when health parameters cross certain limits, receive critiques of recommended therapies, and access programs that describe the pros and cons of alternative outcomes.<sup>8</sup>

The academic literature related to CDSS has examined two aspects of the system: factors affecting the adoption of the system and the effectiveness of CDSS in affecting physicians' performance and patient outcomes.

Two studies<sup>12,13</sup> attempted to identify features of CDSS that significantly affect clinical practice. They found that factors such as the ability of CDSS to provide automatic recommendations, provision at the time and location of care, provision of recommendations along with assessments, automatic prompts, and integration of CDSS with other software affect the performance of CDSS. In addition, direct experimental evidence<sup>12</sup> found that effectiveness was enhanced when clinicians were asked to document the reason for not following the system recommendation and when clinicians were provided with feedback on their compliance with recommendations.

Studies<sup>14-18</sup> attempted to analyze the effectiveness of CDSS on physicians' performance and patient outcomes. A majority of the studies included in the systematic reviews were randomized controlled trials (RCTs), and the reviews found that CDSS brought significant positive changes in physicians' performance. Papers assessing the effects of

CDSS on patient outcomes were limited, and therefore, no robust conclusion can be drawn.

Although the systematic reviews found positive evidence, the authors cautioned against accepting the positive results uncritically. A paper<sup>17</sup> stated that most of the studies included in their review lacked generalizability. The studies had smaller sample sizes and were poorly designed. Contamination of control groups, irregular use of CDSS by providers, selection bias, and the presence of uncontrolled confounding factors could have affected the results of the studies. Qualitative studies included in the review were nonrandom and did not consider baseline differences between measurement groups.

A paper<sup>16</sup> reported that all their RCTs were unblinded. In addition, participants were briefed about CDSS and the effect of their compliance with it in advance, which could have affected the robustness of the studies.

All the systematic reviews mentioned above, with the exception of some reviews,<sup>15-17</sup> did not consider qualitative studies, which would have provided greater depth in understanding the factors affecting the effectiveness of CDSS.

There was just one paper that discussed the cost-effectiveness of CDSS. The paper<sup>16</sup> reported that only 15% (6/41) of the studies reported costs, and a majority of them carried out cost comparisons of interventions rather than assessing cost-effectiveness. Reporting on cost was often incomplete. At best, studies focused on calculating operating expenses and varied significantly in terms of calculating costs.

Further, they stated that a majority of studies were conducted in high-income countries and that the implementation of CDSS in resource-poor settings is doubtful. Moreover, patients' and organizational culture and values would further affect the implementation of CDSS.

Although many studies attempted to determine the effectiveness of CDSS, studies examining barriers to adoption were far and few between. A paper<sup>19</sup> endeavored to identify barriers and facilitators in the adoption of CDSS. They included healthcare professionals working in hospitals to assess barriers to adoption and found that at lower levels of technological integration, healthcare professionals perceived CDSS as a tool that could reduce professional autonomy and cause medicolegal problems. However, as technological integration improved, these concerns were replaced by usability and technical barriers. They further stated that barriers to technology adoption may exist prior to the introduction of technology. Forming a static list of barriers without considering the context and phase of implementation will not

prove useful. Diverse factors such as the attitude of healthcare professionals toward guidelines and evidence, interdisciplinary relationships, and the culture of the organization may affect the adoption of CDSS.

### Clinical Decision Support Systems and Evidence-based Care

Decision support systems are tools of EBP. They present relevant evidence to physicians at the time of care and therefore have the capability to improve efficiency and quality of care. Evidence-based care—existing in the form of scientifically robust academic studies—has the potential to improve healthcare, but there exists a gap between evidence and practice.<sup>20</sup> The academic literature states that there is a 17-year delay between new evidence appearing in the literature and its application into practice.<sup>20</sup> A pertinent question arises: Why does the gap persist? First, there are limited resources at institutions' disposal.<sup>20</sup> This holds institutions back from implementing any new practice. Next, there exists a discrepancy between the needs of physician decision-makers and the priorities set by clinical researchers.<sup>20</sup> This "knowledge chasm" has widened the gap between theory and practice. Thirdly, inappropriate and infrequent availability of evidence-based content at the point of care limits the use of evidence.<sup>20</sup> Finally, it is argued that advancement in the knowledge base alone will not be sufficient to bring about changes in practice and policy; therefore, scholars have called for a systems approach.<sup>21</sup> Research has highlighted that the application of EBP principles on a system-wide scale requires strong leadership, acceptance by local leaders, and intense resources for the development, adoption, and implementation of the principles into clinical practice.<sup>21</sup>

Why is EBP important? Advocates of EBP have stated that, in addition to providing up-to-date information to physicians, EBP can make healthcare cost-effective and safer, which is the ultimate goal of healthcare policies across the world. Clinicians need copious information to treat different patients, and reliance on human memory alone tends to pose limitations.<sup>21</sup> Textbooks are outdated and, at times, even inaccurate<sup>22</sup>; journals provide vast amounts of information, but culling relevant ones becomes laborious<sup>23</sup>; and medical education courses are taught with obsolete teaching modes<sup>24</sup> without practical evidence. All these factors necessitate the use of EBP. EBP has also been found to reduce medical errors. A study<sup>25</sup> stated that there were "6.5 adverse drug events per 100 admissions, and 28% were preventable." There have been instances of misuse,<sup>26</sup> overuse,<sup>27</sup> and underuse<sup>27</sup> of

pharmaceuticals and health technology, which has resulted in a substantial increase in cost.<sup>21</sup> Aligning practice with evidence will guarantee the optimum use of resources.

**Problems with Evidence-based Practice and Recommendations**

Evidence-based practice is not free from problems, but there have been suggestions to improve the software and systems that provide EBP.<sup>21</sup> It has been argued that research related to a large segment of the population cannot always be applied to individual patients, as they differ in clinical context and baseline characteristics. A proposed solution is to use novel statistics, such as "numbers needed to treat" and likelihood ratios, to individualize treatment.<sup>21</sup> Secondly, studies have strict inclusion criteria to be included in evidence-based systems, and "pigeon-holing" patients into a few categories does not reflect the complex real situations in which they are based.<sup>21</sup> It is suggested to integrate external evidence with patient-specific circumstances and characteristics of local health systems to provide individualized treatments.<sup>21</sup> It has been argued that EBP puts heavy emphasis on quantitative data and neglects the experiences and values of clinicians and patients.<sup>21</sup> A suggestion put forth is to include qualitative information in the system to be used in "interpretive and communicative" aspects of care.<sup>21</sup> It is said that EBP systems flood clinicians with a huge amount of information, and relevant information is not always available when urgently needed.<sup>21</sup> Studies state that there have been software solutions providing preappraised, ready-to-use clinical knowledge specifically designed for physicians' practice, which will ease the burden on physicians.<sup>21</sup> It is also noted that there is a paucity of sturdy scientific literature substantiating the benefits of EBP to clinicians' practice and patient outcomes. However, studies assessing the effectiveness of EBP have been rapidly growing. Finally, it is contended that EBP does not provide strong evidence for some important clinical problems. But advocates argue that "EBP never promised clear-cut solutions to clinical problems"<sup>21</sup>; instead, a mix of system-based recommendations with the clinical expertise of physicians is needed to solve complex medical problems.<sup>21</sup>

**Research Gap**

The academic literature on CDSS assesses the impact of CDSS on physicians' performance in developed countries. Studies in the context of developing countries are few. Secondly, there are few studies that assess the performance of CDSS tools in general diagnosis. This research is a humble attempt in this direction.

**METHODS**

For the purpose of this study, a CDSS is defined as "any software designed to directly aid in clinical decision making in which characteristics of individual patients are matched to a computerized knowledge base for the purpose of generating patient-specific assessments or recommendations that are then presented to clinicians for consideration."<sup>14</sup> The respondent group includes physicians who use clinical decision applications in their practice. An interview schedule was prepared and circulated on social media websites in the form of Google Forms. Convenience sampling was followed. Altogether, 13 responses were received. Descriptive statistics were used to analyze the data. In the interview schedule, there was no provision to identify either the doctor or the company whose products were being used due to ethical concerns and the reluctance of the doctors to share personal details about the company.

**Findings**

Table 1 exhibits that the sample primarily consists of doctors who are middle-aged and

have spent <10 years in practice. The doctors were predominantly based in Bangalore.

Figure 1 shows that most physicians reported their tool is not powered by artificial intelligence. In the history of CDS tools, clinical decision systems were articulated using logic and probabilistic reasoning.<sup>10</sup> Recent CDS tools are powered by artificial intelligence, where millions of medical cases are fed into computers, and recommendations are provided based on patients' characteristics and the best evidence available. Tools backed by artificial intelligence are more effective than the traditional ones.

Figure 2 exhibits that about 69% of the physicians frequently use clinical decision tools in their practice.

Studies have shown that mobile devices have been pervasively used by faculty, students, and residents of medical schools.<sup>28</sup> Mobile devices are significant as they improve access to point-of-care tools and enhance decision-making and patient outcomes.<sup>29</sup>

Evidence shows that doctors spend 64% of their time online surveying for information that could support their clinical decisions.<sup>2</sup>

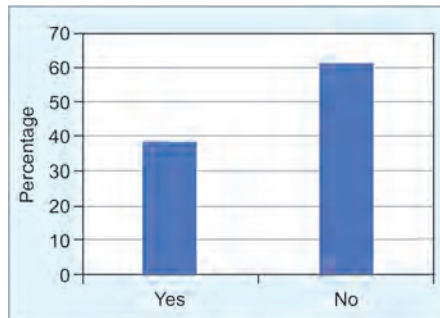


Fig. 1: Is the diagnostic tool powered by artificial intelligence? Source: Author (Fieldwork)

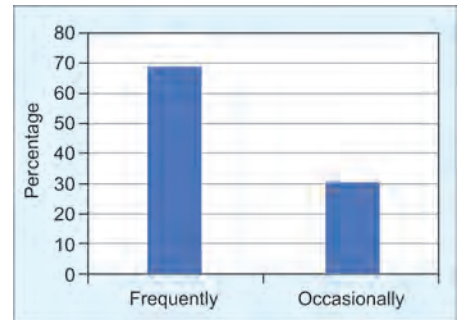


Fig. 2: To what extent do you use clinical decision technology in your practice? Source: Author (Fieldwork)

Table 1: Sociodemographic profile of doctors

Age	Age-group		Number of years of practice		
	No. of respondents	Percentage	Years of practice	No. of respondents	Percentage
20-30	2	15.4	<10	7	53.8
31-40	6	46.2	11-30	2	15.4
41 and above	5	38.5	31 and above	4	30.8
Total	13		Total	13	
Location of the clinic					
Location	No. of respondents	Percentage			
Bangalore	4	30.8			
Delhi	1	7.7			
Mumbai	1	7.7			
Others	2	15.4			
No answer	5	38.5			
Total	13				

Source: Author (Fieldwork)

Figure 3 shows that doctors accept the recommendations provided by the CDS tool to a great extent. The faith in the decision tool, although not prominent, is improving. This could be due to the fact that the sample is dominated by young physicians who are more open to accepting technology.

The literature has identified several benefits of using clinical decision tools. In one instance, the use of electronic tools resulted in twice as many modifications in patient management decisions compared to when paper resources were used.<sup>30</sup>

Figure 4 reveals that the clinical decision tool affects 1–5 decisions every week for about 54% of the sample. Nonetheless, a great many (31%) stated that they do not use the tool frequently; therefore, none of their decisions are affected by the technology on a regular basis.

The primary benefit of a CDS tool is to reduce diagnostic errors. An endeavor was made to assess whether the use of the CDS tool improved diagnoses provided by the doctors.

Figure 5 exhibits that there is a slight improvement in diagnosis following the use of the app. Although 46% of doctors stated that they have made 0 errors in decision-making after using the application, 54% reported making errors in 1–5 decisions per week. This

indicates that the tool has not fully addressed the needs of the doctors. Nonetheless, no physician reported making >5 errors per week after using the application.

One of the questions in the interview schedule was about the benefits of the CDS tool as perceived by doctors. The doctors stated that the tool improves the accuracy of diagnosis and helps in complicated cases. One physician mentioned that its accuracy rate is 92%. However, one doctor noted that the tool is used only in the preliminary stages of diagnosis, while another mentioned that the diagnosis provided by the tool is sometimes inaccurate. By and large, doctors agreed that the tool provides quicker diagnosis and is user-friendly.

The author wanted to assess whether the use of the clinical decision tool helped avoid unnecessary medical tests.

Figure 6 demonstrates that a large number of doctors agreed that the tool contributed to reducing diagnostic tests. Nonetheless, the data in Figure 7 shows otherwise.

Although a majority of doctors stated that they order fewer than 5 diagnostic tests after using the application, a great many doctors reported ordering >10 tests after using the application. This could be due to less faith in the technology or could be an attribute of a small sample.

Studies have shown that IT investments have both short-run and long-run payoffs.<sup>31</sup>

The author intended to assess whether clinical decision tools are cost-effective. Figure 8 exhibits that not all decision tools are cost-effective. The variation could be due to differences in the comprehensiveness of information, product features, and area of practice.

By and large, through the data analysis, the author concludes that the CDS applications are not immensely effective, are cost-inefficient, and are not popular among older physicians.

## DISCUSSION

The academic literature has underscored various benefits of CDS applications. Retrieval of information from an electronic drug database has been shown to improve decision-making and patient care.<sup>30</sup> Access to patient information and reports on mobile devices has improved care coordination.<sup>30</sup> In one instance, mobile devices were found to reduce doctor-patient encounter time.<sup>30</sup> Nonetheless, data on the effectiveness of CDS applications is limited.<sup>32</sup>

Nonetheless, studies conducted in the Indian context show optimistic results. A paper<sup>33</sup> assessed the effectiveness of a CDS tool in diagnosing and managing cardiovascular disease in rural India. The findings revealed that the CDS tool provided timely guidance, accurate diagnosis, and appropriate treatment recommendations to

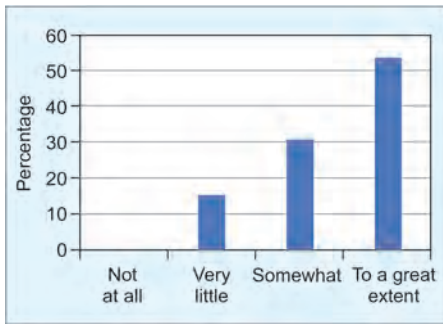


Fig. 3: To what extent do you accept the recommendations provided by the clinical decision software? Source: Author (Fieldwork)

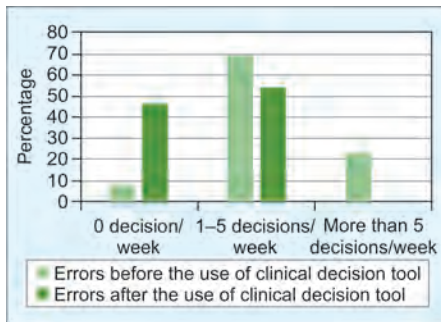


Fig. 5: Diagnostic errors before and after the use of the clinical decision tool; Source: Author (Fieldwork)

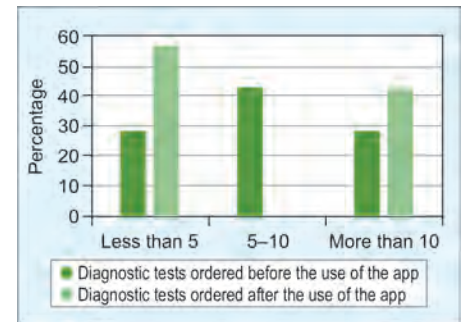


Fig. 7: Number of diagnostic tests ordered before and after the use of the tool; Source: Author (Fieldwork)

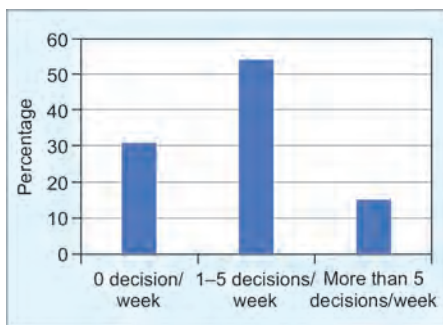


Fig. 4: Clinical decisions affected by the clinical decision technology; Source: Author (Fieldwork)

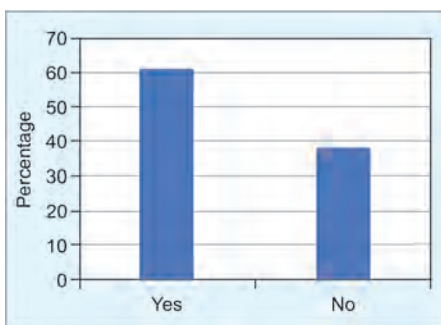


Fig. 6: Has the use of the CDS tool helped avoid unnecessary medical tests? Source: Author (Fieldwork)

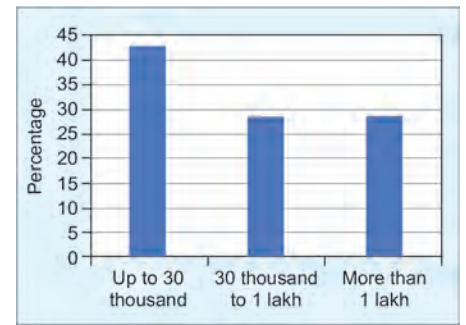


Fig. 8: Subscription cost of the clinical decision tool (per year); Source: Author (Fieldwork)

nonphysician healthcare workers. Another study<sup>34</sup> introduced a CDS tool to improve care during labor and childbirth in two Indian states. It found that CDS tools enhanced intrapartum and postpartum care by providing timely and accurate interventions. A study<sup>35</sup> conducted in rural parts of India assessed the effectiveness of a CDS tool in managing cardiovascular diseases. It found that the CDS tool enhanced the ability of nonphysician healthcare workers to detect the disease; nonetheless, network connectivity remains a pertinent issue. Another paper<sup>36</sup> carried out an experimental study on the effectiveness of a CDS tool in managing hypertension in rural India. The study found that compared to standard care, the CDS tool helped in making more informed decisions regarding medication, lifestyle changes, and follow-up care, resulting in better control of patients' blood pressure.

Although studies have shown positive outcomes, they are tainted by limitations. The studies might have sampling bias as they are conducted in specific regions or with limited sample sizes, thereby restricting generalizability. The studies are of short duration, limiting insights into the long-term effectiveness and adoption of CDS tools. Additionally, studies have highlighted issues such as network connectivity and lower user acceptance of the tool. Most studies focus on process outcomes, such as diagnostic accuracy and usage rates, but do not delve into patient outcomes, limiting the assessment of CDS tools' impact on patient health.

This study exhibits that there is less faith in the technology and the application is favored by younger doctors. The academic literature states that the adoption of evidence-based technology is affected by various factors, including culture, context, regional laws, competing considerations, patients' preferences, and clinical circumstances.<sup>20,37</sup> The literature also expresses concerns regarding the reliability of the tools, lack of standard content, absence of oversight on the applications, and protection of patients' data.<sup>20</sup> Nonetheless, health apps are significant as they enable remote monitoring of patients. The literature calls for rigorous evaluation of clinical decision tools before they are introduced into clinical practice.<sup>14</sup>

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# Evaluation of the Relationship between Procalcitonin and Total Leukocyte Count, Neutrophil and Neutrophil/Lymphocyte Ratio in Patients with Systemic Inflammatory Response Syndrome and Sepsis: A Hospital-based Observational Study

Om Prakash Dhakal<sup>1</sup>, Mona Dhakal<sup>2\*</sup>, Nilay Dhakal<sup>3</sup>

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## ABSTRACT

**Introduction:** An elevated defensive reaction of the body to a harmful stressor like infection, trauma, surgery, acute inflammation, ischemia, reperfusion, or malignancy to localize and eliminate the endogenous or exogenous source of the injury is known as systemic inflammatory response syndrome (SIRS). Sepsis is a term used for SIRS with suspected or documented sources of infection. It is characterized by the fulfillment of any two of the following conditions: white blood cell (WBC) count  $\geq 4000/\mu\text{L}$  or  $>10\%$  immature forms or bands; body temperature over  $38^\circ\text{C}$  or below  $36^\circ\text{C}$ ; pulse rate above 90 beats per minute; tachypnea (respiratory rate greater than 20 breaths per minute); or partial pressure of  $\text{CO}_2$  below 32 mm Hg. Procalcitonin (PCT) is one of the more complex and expensive lab indicators used to diagnose and prognosticate this disorder. Less expensive tests include total leukocyte count (TLC), neutrophil count, and neutrophil/lymphocyte ratio (NLR).

**Objectives:** To ascertain the correlation between procalcitonin and TLC, neutrophil count, and NLR in patients with SIRS without documented infection and SIRS with infection (sepsis), and to evaluate TLC, neutrophil count, and NLR ratios between SIRS without infection and sepsis.

**Materials and methods:** Acutely ill patients aged 18 years or older who fulfilled the criteria for SIRS were included in this study. The serum PCT value, TLC, and blood culture were done within 12 hours of admission. All other relevant investigations at the time of admission were also noted.

**Results:** Out of 282 patients with SIRS, 194 patients had no documented infection (group I), whereas 88 patients had a documented infection (sepsis group II). The difference in age and sex between both groups ( $p < 0.05$ ) was found to be statistically significant. All four markers—PCT, TLC, neutrophils, and NLR—were significantly higher in the sepsis group.

**Conclusion:** PCT is the best biomarker to predict sepsis, and it correlated significantly with TLC, neutrophil count, and NLR in SIRS without infection, and with neutrophil count and NLR in sepsis in our study. Secondly, TLC and NLR are also good predictors of sepsis and can diagnose sepsis in resource-poor settings.

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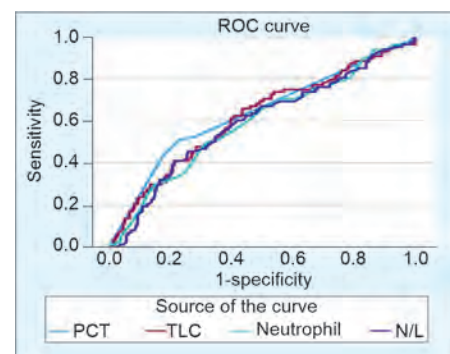
## INTRODUCTION

An elevated defensive reaction of the body to a harmful stressor, like infection, trauma, surgery, acute inflammation, ischemia, reperfusion, or malignancy, to localize and eliminate the endogenous or exogenous source of the injury, is known as systemic inflammatory response syndrome (SIRS). Sepsis is a term used for SIRS with suspected or documented sources of infection. It is characterized by the fulfillment of any two of the following conditions: white blood cell (WBC) count  $\geq 4000/\mu\text{L}$  or  $>10\%$  immature forms or bands; body temperature above  $38^\circ\text{C}$  or below  $36^\circ\text{C}$ ; pulse rate above 90 beats per minute; tachypnea (respiratory rate greater than 20 breaths per minute); or partial pressure of  $\text{CO}_2$  below 32 mm Hg.<sup>1</sup> A prompt clinical and laboratory diagnosis, along with appropriate therapeutic therapy, are critical factors that determine the prognosis

and death rate of patients with this life-threatening illness. Procalcitonin (PCT) is one of the more complex and expensive lab indicators used to diagnose and prognosticate this disorder. Less expensive tests include total leukocyte count (TLC), neutrophil count, and neutrophil/lymphocyte ratio (NLR).<sup>2</sup> The complete blood counts (CBC) test, the most popular and straightforward laboratory test that offers a plethora of information about a person's health state, can be used to assess any one of these three assays. Usually, an increase in TLC indicates an infection or inflammation. In a similar vein, during an infection, the neutrophil count rises significantly and is typically correlated with the overall severity of the infection. Neither of the tests is specifically designed to detect infections. Due to delayed neutrophil apoptosis, the value of the neutrophil count in severe sepsis is restricted. Notably,

a variety of stressors, including physical and psychological strain, physiological conditions like pregnancy and obesity,<sup>3</sup> and some other illnesses like smoking, can cause neutrophilia.<sup>4</sup> Periodically, low TLC counts can also occur in sepsis.<sup>5</sup>

One consistent biomarker for sepsis that has surfaced is NLR. The equilibrium between neutrophil and lymphocyte numbers can be inferred from changes in NLR. NLR correlates with SOFA score, APACHE I, and sepsis severity scores, and has been demonstrated in multiple studies to be an early indicator of sepsis, regardless of the etiology of sepsis.<sup>6-8</sup> The effectiveness of PCT as a marker of bacterial infection and critical disease has been demonstrated by a wealth of research. A sensitive biomarker aids in treatment decision-making because positive bacteriological results can be



**Fig. 1:** ROC curve showing the AUC of all the four biomarkers

<sup>1,2</sup>Professor, Department of Medicine;

<sup>3</sup>MBBS Student, Sikkim Manipal Institute of Medical Sciences, Gangtok, Sikkim, India;

\*Corresponding Author

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attributed to contamination, while negative results do not rule out sepsis. We attempted to assess PCT's correlation with the other three sepsis biomarkers in the current study. These biomarkers can be easily obtained by simply doing a CBC count. These can be a cheaper alternative to costly tests and are done routinely in resource-poor settings as well.

**STUDY OBJECTIVES**

To determine the relationship between procalcitonin and TLC, neutrophil count, and NLR in patients with SIRS without documented infection and SIRS with infection (sepsis).

To evaluate the TLC, neutrophil count, and NLR between SIRS without infection and sepsis.

**MATERIALS AND METHODS**

**Data Source and Collection**

All adult patients aged 18 years or more who presented to the emergency unit of Central Referral Hospital (CRH) or were directly admitted to the intensive care unit (ICU) or wards, and who fulfilled the criteria of SIRS between January 2022 and October 2023 and gave consent for the study, were considered. Prior ethical approval of the research was obtained from the research ethical committee of the Sikkim Manipal Institute of Medical Sciences.

**Study Design**

Observational cross-sectional study.

**Site and Population**

All adult patients aged 18 years or older, who presented either to the emergency unit of CRH or were directly admitted to the medicine ICU or wards and fulfilled the criteria of SIRS, were included in this study. CRH is a 500-bed hospital in Gangtok, Sikkim, a small northeastern state of India.

**Sample Size and Sampling Method**

According to a hospital-based study in North India, the prevalence of SIRS was found to be 23%. So, a sample size of 282 was taken.

**Inclusion Criteria**

All acutely ill, hospitalized medical patients aged 18 years or older, who presented to the emergency department of CRH or were directly admitted to the ICU or wards and fulfilled the inclusion criteria of SIRS or sepsis, were included. Consent was taken from the patient's attendant if the patient was not fit to give consent.

**Exclusion Criteria**

- Unwilling patients.
- Individuals transferred from other hospitals or wards.

- Patients with untreated end-stage renal failure not on dialysis, medullary thyroid carcinoma, or islet cell tumors.
- Severe pancreatitis, postliver transplantation, severe and prolonged cardiogenic shock, or heat shock.

Patients who were admitted to the department more than once during the inclusion period were counted only once, at the time of their initial admission, and not again during future admissions.

**Study**

The serum PCT value, checked using the semiquantitative PCT test kit (Med Source Ozone Biomedicals Pvt. Ltd., Procalcitonin Semi-Quantitative Assay Kit) within 12 hours of admission to the hospital, was noted. The kit, an antigen-capture immunochromatographic assay, provided qualitative/quantitative PCT values within 20–30 minutes. A PCT value of  $\geq 0.5$  was considered significant, as per the manufacturer's instructions. TLC was measured by an automated hematology analyzer. Blood cultures were done using the automated BacT/ALERT BD system under strict aseptic precautions. All pertinent investigation reports were recorded, including blood investigations performed upon admission, such as CBC, LFT, KFT, and sugar, among others.

The demographic profile and medical examination findings of all the patients were registered. A detailed medical history, including comorbidities like hypertension (HTN), diabetes mellitus (DM), chronic obstructive pulmonary disease (COPD), pulmonary tuberculosis (PTB), malignancy, coronary artery disease (CAD), chronic kidney disease (CKD), chronic liver disease (CLD), cerebrovascular accident (CVA), etc., was noted along with the complete medical examination findings.

The identification of a pertinent pathogen by microscopy, cultures in blood, urine, sputum, or tissue biopsy samples, or polymerase chain reaction, constituted the basis for documenting sepsis.

**Analysis**

Patients with confirmed SIRS were included in the study and divided based on negative and positive culture reports into group I and group II. All the data were analyzed using IBM Statistical Package for the Social Sciences (SPSS) Statistics 23.0 (IBM Corp., Armonk, NY, USA). Mann-Whitney *U* tests were used to compare the medians of PCT, TLC, and NLR. The Chi-squared test was used to compare categorical variables. The correlation between PCT and the abovementioned other three biomarkers of sepsis was determined with the help of Pearson's test.

**RESULTS**

Out of a total of 282 patients with SIRS, there was no documented infection in 194 patients (group I), whereas 88 patients had documented infection (sepsis group II). The difference between age and sex in both groups ( $p < 0.05$ ) was found to be statistically significant. All four markers, PCT, TLC, neutrophils, and NLR, were significantly higher in the sepsis group (Table 1).

In Pearson's correlation analysis, except for PCT and WBC in sepsis, significant correlations were found between PCT and WBC, neutrophil count, and N/L ratio in both groups of SIRS (Table 2).

**Area under the Receiver Operating Characteristic Curve**

Test result variable(s)	Area
PCT	0.638
TLC	0.618
Neutrophil	0.594
NLR	0.595

The area under the curve (AUC) of PCT was 0.638, with an interval from 0.565 to 0.711, and a cutoff point of 6 was obtained at 95% significance. Similarly, the AUC of TLC was 0.618, with an interval from 0.546 to 0.691, and a 95% significance obtained a cutoff of 7.3500. For neutrophils, the AUC was 0.572,

**Table 1:** Demographic profile and biomarkers in SIRS without infection and sepsis groups

	Group I (no sepsis) N = 194	Group II (sepsis) N = 88	p-value
Age (mean)*	43 (18–94)	45 (18–92)	0.0001 (Z score = 3.890)
Sex (M/F)*	103/22	22/66	0.0001 (Z score = 3.890)
PCT <sup>‡</sup>	0.4 (0.4–10)	2 (0.4–10)	0.0002 (Z score = 3.719)
TLC	7.4 (1.51–58.6)	10.89 (1.69–29.16)	0.00143 (Z score = 3.188)
Neutrophil	79.5 (20–97)	83.5 (41–98)	<.00001 (Z score = 4.417)
NLR	5.7 (0.28–97)	8.4 (0.82–97)	0.01108 (Z score = 2.540)

\*Chi-squared test; <sup>‡</sup>Mann-Whitney *U* test

**Table 2:** Table showing the correlation of PCT with other markers of sepsis

	Sepsis	Without sepsis
PCT and WBC	$r_s = 0.2025, p (2\text{-tailed}) = 0.0559$	$r_s = 0.2425, p (2\text{-tailed}) = 0.0006$
PCT and neutrophil	$r_s = 0.2175, p (2\text{-tailed}) = 0.01428$	$r_s = 0.2710, p (2\text{-tailed}) = 0.0001$
PCT and N/L ratio	$r_s = 0.2515, p (2\text{-tailed}) = 0.0183$	$r_s = 0.2762, p (2\text{-tailed}) = 0.0003$

To determine the cutoff values for the parameters PCT, TLC, neutrophil count, and NLR in the diagnosis of SIRS, a study of the area under receiver operating characteristic curves (AU-ROC) was conducted. Statistical significance was defined as values of  $p < 0.05$

**Table 3:** Table showing the cutoff value, sensitivity and specificity of all the four biomarkers of sepsis

Diagnostic test	Cutoff value	AUC	95% CI	p-value	Sensitivity	Specificity
PCT	6.00	0.638	0.565–0.711	0.000	44.3%	82%
TLC	7.35	0.618	0.546–0.691	0.001	70.5%	50%
Neutrophil	72.50	0.572	0.497–0.648	0.062	73.9%	26%
NLR	6.48	0.615	0.543–0.687	0.002	64.8%	56%

$p < 0.05$

with an interval from 0.497 to 0.648, and a 95% significance obtained a cutoff of 72.50. It was 0.615, with an interval from 0.543 to 0.687, and 6.4800, respectively, for NLR. Sensitivity and specificity of each test in predicting sepsis were calculated.

PCT had moderate sensitivity and high specificity, TLC and NLR had moderate sensitivity and specificity, and neutrophil count had moderate sensitivity and poor specificity to detect sepsis (Table 3).

## DISCUSSION

Our study, along with numerous others,<sup>9–12</sup> revealed that procalcitonin, WBC, neutrophil, and NLR values were considerably greater in patients with sepsis. However, one study hypothesized that PCT alone could be a good indicator of sepsis. Numerous studies have indicated that WBC counts are a poor predictor of sepsis and show little correlation with sepsis status, particularly in the elderly.<sup>13–15</sup> Aging-related reductions in phagocytosis and cell regeneration capacity may be the cause of this.<sup>16,17</sup> In our investigation, there was a strong correlation between NLR and sepsis, or culture positivity. While the results were similar to many studies that identified a substantial correlation between NLR and sepsis,<sup>18,19</sup> they differed from the few studies that found no significant association.<sup>20,21</sup> The observed contradictory outcomes may be ascribed to differences in the research population, sample volume, or technique. These biomarkers are especially significant as treatment initiation at arrival may have a role in lowering the yield of culture positivity.

In correlation analysis, an insignificant correlation was found between PCT and TLC count in sepsis, which was similar to a few studies.<sup>19,22</sup> It was in contrast to one study

where a significant correlation between the two was observed.<sup>23</sup> One such study revealed an insignificant correlation between PCT and all three markers.<sup>24</sup> This particular study found that there was a significant correlation ( $p < 0.001$ ) between PCT and WBC, CRP, and NLR, with respective values of 0.2332, 0.2245, and 0.2582.

*Klebsiella pneumoniae* was the second most frequently isolated organism in culture, after another gram-negative infection, *Escherichia coli*. This was comparable to numerous other reports.<sup>25</sup> Our study has shown that PCT was the best parameter to diagnose sepsis and was even better than NLR. This outcome is different from a prior study, which found NLR to be superior to PCT.<sup>26</sup> The timing of the appearance of PCT, lymphocytes, and neutrophils in the bloodstream may be one reason for this. It takes many hours for neutrophils to proliferate and appear in the blood once the endotoxin is released by microorganisms,<sup>27</sup> whereas PCT levels rise rapidly following 2 hours of stimulation, peak after 12–42 hours, and then gradually decline over the next 42 hours.<sup>28</sup> We found that TLC or NLR has the ability to predict sepsis, which is comparable to PCT, whereas neutrophil count has poor specificity. According to one study, NLR can predict the severity of sepsis ten times better than the absolute neutrophil count.<sup>29</sup> In a physiologically normal state, the NLR ratio is  $< 5$ . NLR is elevated and useful for clinical assessment in pathological conditions like infection or systemic inflammation. Systemic inflammation or sepsis can lead to neutrophilia because of factors such as growth factors (G-CSF) that stimulate stem cells, demarginate neutrophils, and delay neutrophil apoptosis.<sup>30</sup> As a result, neutrophils in inflammation live longer and emit harmful

chemicals. We found a relatively high cutoff value of PCT at 6 ng/mL to detect sepsis. According to one study, a PCT cutoff point of 2.89 might predict severe sepsis with 98.2% sensitivity and 75% specificity.<sup>31</sup> The value of PCT  $> 2$  ng/mL was reported to be the most specific and sensitive in detecting sepsis, with 80 and 87% accuracy respectively, in another study of this type conducted on surgical ICU patients.<sup>32</sup> One study indicated that an NLR cutoff point of 9.05 can distinguish between patients with sepsis and critical sepsis based on ROC analysis, but the specificity was just 50.0%, and the sensitivity was only 59.6%.<sup>31</sup> According to a different Dutch study, NLR  $> 10$  can predict bacteremia with 77.2% sensitivity and 63% specificity.<sup>33</sup> As per our research, an NLR cutoff of 6.48 might also be used as a predictor of sepsis, similar to a study that showed NLR  $> 7$  was associated with a fatal outcome in sepsis patients and that it can be employed as a prognostic marker in patients with sepsis.<sup>25</sup>

Due to its high sensitivity and specificity in predicting sepsis, PCT fared better on ROC curve analysis than the other three biomarkers, particularly the neutrophil count. In lieu of PCT for the diagnosis of sepsis, TLC and NLR have been shown to have intermediate sensitivity and specificity in predicting sepsis.

## Limitation of the Study

This is a hospital-based study, so the true prevalence of SIRS in the community cannot be known. False negative values of the inflammatory markers or culture can occur due to technical or procedural errors or when the infection remains confined to a tissue or organ with no systemic manifestations. False positive tests can be present in certain conditions that could not be ruled out in those patients.

## CONCLUSION

PCT is the best biomarker to predict sepsis and it correlated significantly with TLC, neutrophil count, and NLR in SIRS without infection, and with neutrophil count and NLR in sepsis in our study. Secondly, TLC and NLR are also good predictors of sepsis and can diagnose sepsis in resource-poor settings.

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# Estimation of Predictors of Mortality in Patients with Acute Respiratory Failure Secondary to Chronic Obstructive Pulmonary Disease Admitted in Tertiary Care Center

Hardik Jain<sup>1</sup>, Radhey S Chejara<sup>2\*</sup>, Madhulata Agarwal<sup>3</sup>, Sunil Mahavar<sup>4</sup>, Raman Sharma<sup>5</sup>

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## ABSTRACT

**Introduction:** Chronic obstructive pulmonary disease (COPD) is characterized by persistent airflow limitation and an increased chronic inflammatory response in the airways to noxious particles and gases. More than 10 million individuals in the United States (US) are affected with COPD, the fourth largest cause of mortality. Globally 250 million individuals are affected by COPD. D-dimer, C-reactive protein (CRP), acute physiology and chronic health evaluation (APACHE) II score, and hypoalbuminemia have significant correlation with morbidity, mortality, and risk stratification of hospitalized COPD patients with acute respiratory failure (ARF). The purpose of this study is to assess how well D-dimer, CRP, APACHE II score, and hypoalbuminemia predict death in COPD with ARF.

**Materials and methods:** A hospital-based prospective research (observational study) was conducted in a tertiary care center. The research was carried out from 1st February 2021, until 1st November 2022. The patients (sample size = 60; 35 survived and 25 died) were taken for detailed personal history, occupational history, chest X-ray, arterial blood gas (ABG) analysis, and thorough clinical examination to identify evidence of COPD. Our study included D-dimer, CRP, APACHE II score, and hypoalbuminemia in hospitalized COPD patients.

**Results:** In our study, the median D-dimer levels for patients who lived and died were 1,012.34 and 7,222.64, respectively, with a  $p$ -value < 0.001. Patients who survived had a mean CRP of 3.56, whereas those who were dead had a value of 12.62. The mean serum albumin levels among survived and dead patients were 3.23 and 2.22, respectively. The mean APACHE II score in survived and dead patients were 9.91 and 28.48, respectively. The APACHE II score has sensitivity and specificity of 96 and 91.4%, respectively, with a critical cutoff of >19. Hypoalbuminemia has sensitivity and specificity of 96 and 65.7%, with a critical cutoff of <3.

**Conclusion:** High levels of CRP, an elevated APACHE II score, elevated levels of D-dimer, and lower levels of serum albumin are all independently related to an increased risk of in-hospital mortality.

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## INTRODUCTION

Chronic obstructive pulmonary disease (COPD) is characterized by progressive, long-lasting airflow limitation brought on by an augmented chronic inflammatory response in the airways to smoking, combustion products, and biomass fuels. It includes: **emphysema**—destruction of lung alveoli with airspace dilatation, chronic bronchitis—chronic cough with sputum production for >3 months for at least 2 years, and small airway disease—narrowing and reduction in the number of bronchioles.

Chronic obstructive pulmonary disease may be caused by faulty lung growth in those who are genetically prone to the disease. Even in the absence of chronic airway limitation, patients with a history of cigarette smoking may develop chronic bronchitis, emphysema, and dyspnea. Patients in this category may have a comparable disease process to those with COPD, but they are not included in the traditional definition of COPD. Individuals with airflow restriction below the typical

population limits established by spirometry may nonetheless exhibit respiratory symptoms and other signs of COPD.<sup>1</sup>

Chronic obstructive pulmonary disease is the fourth leading cause of death in the United States (US). COPD is the second greatest cause of death from noncommunicable diseases (NCDs) and disability-adjusted life years (DALYs) in India.<sup>2</sup> Prevalence varies widely across nations and rises with age; however, it is estimated that around 10% of people aged 40 and over have COPD. Due to its high incidence and persistence, COPD leads to substantial usage of healthcare resources, including visits to the doctor's office, hospitalizations for acute exacerbations, and ongoing treatment in the form of supplemental oxygen.<sup>3</sup> So, we need mortality prediction markers as well as prognostic markers like D-dimer, C-reactive protein (CRP), hypoalbuminemia, and acute physiology and chronic health evaluation (APACHE) II score so that we can segregate patients who are very sick and need special ICU care from stable patients.

As potential indicators of mortality in COPD, age, sex, body mass index, comorbidities, and the first-second forced expiratory volume have all been studied. However, consensus on its use in multivariate prognostic models has not been reached. Moreover, this study had discriminative ability of the multicomponent prognostic model for mortality.<sup>4</sup>

## MATERIALS AND METHODS

This is a prospective study conducted at a tertiary care teaching hospital in the field of general medicine. The study was conducted from 1st February 2021 to 1st November 2022, with a sample size of 60 patients (35 survived and 25 died). We included patients aged 18–80 years who presented with 72 hours of shortness of breath, which was proved to be a COPD exacerbation, and patients with an established diagnosis of COPD with respiratory failure (type I/II). Patients under the age of 18, women who were pregnant or breastfeeding, and those with preexisting conditions such as asthma, pneumonia, bronchiectasis, interstitial lung disease (ILD), occupational lung disease (OLD), pulmonary edema (both cardiac and noncardiogenic), acute coronary syndrome (ACS), and COPD without respiratory failure were not included. Our study included D-dimer, CRP, serum albumin, APACHE II score, and PCT. Other variables included hemoglobin, total leukocyte count, hematocrit, serum glutamic-oxaloacetic transaminase (SGOT), serum glutamic-pyruvic transaminase (SGPT), partial pressure of carbon dioxide ( $p\text{CO}_2$ ), partial pressure of oxygen ( $p\text{O}_2$ ), and platelet count. For the analysis of continuous variables, the

<sup>1</sup>Junior Resident; <sup>2</sup>Associate Professor; <sup>3</sup>Assistant Professor; <sup>4</sup>Professor; <sup>5</sup>Senior Professor, Sawai Man Singh Medical College, Jaipur, Rajasthan, India; \*Corresponding Author

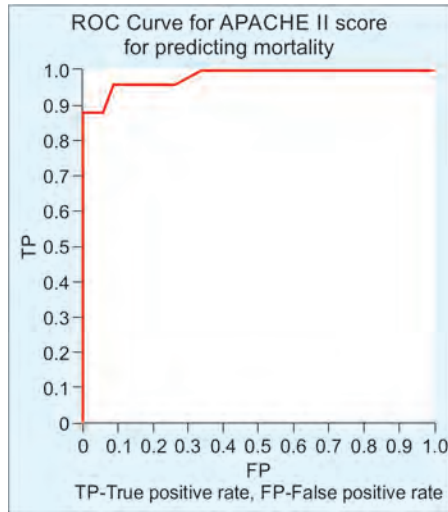
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Student's *t*-test was employed, and for the analysis of ordinal variables, Fisher's exact test was used. Parameters measured at baseline that were significant at  $p < 0.001$  in univariate analysis were considered to be possible predictors.

**OBSERVATIONS AND RESULTS**

In our study, the mean of D-dimer levels among survived and dead patients was 1012.34 and 7222.64, respectively, with a  $p$ -value  $< 0.001$ . The mean of CRP among survived and dead patients was 3.56 and 12.62, respectively. The mean of serum albumin levels among survived and dead patients was 3.23 and 2.22, respectively. The mean of APACHE II score in survived and dead patients was 9.91 and 28.48, respectively. APACHE II score has sensitivity and specificity of 96 and 91.4%, respectively, with a critical cutoff of  $>19$ . Hypoalbuminemia has sensitivity and specificity of 96 and 65.7%, with a critical cutoff of  $<3$  (Table 1).

Receiver operating characteristic (ROC) curve is constructed for APACHE II score that reveals maximum sensitivity and specificity; they are 96 and 91.4%, respectively (Fig. 1).



**Fig. 1:** APACHE II score has sensitivity and specificity of 96 and 91.4%, respectively, with critical cutoff more than 19. Its  $p$ -value is  $<0.001$ . AUC (95% CI) = 0.982 (0.955–1.00)

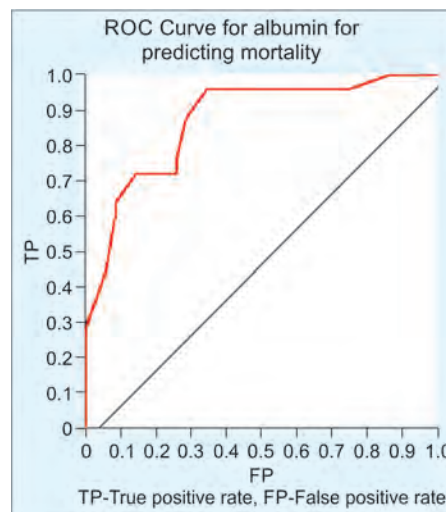
**Receiver Operating Characteristic Curve for APACHE II Score for Predicting Mortality**

The maximum sensitivity and specificity of an ROC curve for hypoalbuminemia are calculated to be 96 and 65.7%, respectively (Fig. 2).

**Receiver Operating Characteristic Curve for Albumin for Predicting Mortality**

Apart from these four, other significant variables included in our study are as follows:

Mean of  $pCO_2$  among patients who survived and those who died was 61.03 and 99.96, respectively, with a  $p$ -value  $< 0.001$ . Mean of  $pO_2$  among patients who survived and those who died was 54.16 and 60.02, respectively, with a  $p$ -value of 0.1. Mean of hemoglobin among survived and dead patients was 11.24 and 10.99, respectively, with a  $p$ -value of 0.718. Mean of total leukocyte count among survived and dead patients was 17.9 and 19.25, respectively. Mean of platelet count among survived and dead patients was 1.70 and 1.96, respectively, with



**Fig. 2:** Hypoalbuminemia has sensitivity and specificity of 96 and 65.7% with critical cutoff of  $<3$ . Its  $p$ -value  $<0.001$ . AUC (95% CI) = 0.871 (0.780–0.962)

a  $p$ -value of 0.624. Mean of hematocrit among survived and dead patients was 35.29 and 34.23, respectively, with a  $p$ -value of 0.624. Mean of SGOT among survived and dead patients was 58.26 and 1996.84, respectively, with a  $p$ -value  $< 0.001$ . Mean of SGPT among survived and dead patients was 57.49 and 2127.96, respectively, with a  $p$ -value  $< 0.001$ . The median total bilirubin levels of those who lived and those who perished were 2.20 and 1.26, respectively ( $p < 0.001$ ). Mean of serum procalcitonin among survived and dead patients was 0.07 and 2.26, respectively, with a  $p$ -value  $< 0.001$ .

Percentage of survived and dead patients having central cyanosis are 8.6 and 96%, respectively. Percentage of survived and dead patients without cyanosis are 91.4 and 4%, respectively ( $p$ -value  $< 0.001$ ). Percentage of survived and dead among intubated patients was 5.7 and 92%, respectively. Percentage of survived and dead among nonintubated patients was 94.3 and 8%, respectively. Percentage of survived and dead patients who were in need of BiPAP therapy was 25.7 and 96%, respectively. Percentage of survived and dead patients who didn't need BiPAP therapy was 74 and 4%. Patients diagnosed with cor pulmonale had a 2.9% survival rate and a 96% mortality rate, respectively. Patients without cor pulmonale had a 97.1% survival rate and a 4% mortality rate.

**DISCUSSION**

According to Fruchter et al. study, "Elevated D-dimer as a predictive biomarker for death in acute exacerbation of COPD (AECOPD)," which was conducted in 61 patients with AECOPD, a D-dimer of  $>1520$  had a sensitivity of 100% and specificity of 63%. The median survival time for patients with D-dimer levels exceeding 1.52 mg/L was 9.6 months after discharge, whereas the median survival time for individuals with levels below 1.52 mg/L was 62.6 months. According to this study, higher D-dimer levels were a reliable indicator of both short- and long-term survival in patients with AECOPD who were admitted.<sup>5</sup> Similar results were seen in our research, with a  $p$ -value of 0.001 indicating that a mean D-dimer of 7222.6 was related to increased in-hospital mortality compared to a mean D-dimer of 1012.34 among survivors.

Butler et al. conducted a study with the working title "CRP testing to guide antibiotic prescribing for COPD exacerbations." When CRP-guided antibiotic prescribing for COPD exacerbations in primary care clinics was employed, fewer patients reported using antibiotics and receiving antibiotic

**Table 1:** Comparison of results in both groups

Material	Mean of survived patients	Mean of dead patients	<i>p</i> -value
D-dimer (ng/mL)	1012.3	7222.6	$<0.001$
CRP (ng/mL)	3.56	12.6	$<0.001$
APACHE II score	9.91	28.4	$<0.001$
Serum PCT (ng/mL)	0.07	2.26	$<0.001$
Serum albumin (mg/dL)	3.23	2.22	$<0.001$
$pCO_2$ (mm Hg)	61.3	99.6	$<0.001$

prescriptions from doctors without any evidence of damage.<sup>6</sup> Comparatively, increased inpatient mortality in our sample was related to a mean CRP of 12.7, while the mean CRP among patients who survived was 3.56 ng/dL with a *p*-value of <0.001.

Ahmed et al. conducted a study titled "Effectiveness of APACHE II and SAPS II scoring models in predicting the outcome of critically ill COPD patients." In this investigation, an APACHE II score of >20 and a SAPS II score of >48 both predicted survival or death (34.6% of patients did not survive, whereas 65.4% did). Researchers discovered that although the former was slightly favored, APACHE II and SAPS II scores were practically comparable in their capacity to predict mortality among COPD patients.<sup>7</sup> In our research, we found that an APACHE II score of 28.4 predicted a high risk of death in the hospital, whereas a score of 9.91 predicted survival, with a *p*-value of <0.001. Furthermore, an APACHE II score with a critical cutoff of >19 had sensitivity and specificity of 96 and 91.4%, respectively.

A research titled "Severe hypoalbuminemia is a strong independent risk factor for acute respiratory failure (ARF) in COPD" was conducted by Chen et al. The researchers found that 12.43% of the 42,732 COPD patients with hypoalbuminemia who were followed for 6 years ended up with ARF. Low albumin levels are a significant independent risk factor for respiratory failure in COPD patients, according to the study's findings.<sup>8</sup> Additionally, hypoalbuminemia with a critical cutoff of <3 had sensitivity and specificity of 96 and 65.7%, respectively. This is similar to our research, which found that a serum albumin level of 3.23 mg/dL was associated with higher survival rates among those who survived, and a serum albumin level of 2.2 mg/dL was related to increased in-hospital mortality.

Gong et al. conducted a study titled "Effect of procalcitonin on prognosis of COPD patients." PCT 0.1 ng/mL (PCT negative) was found in 55 of the participants in the present study. Another 55 people tested positive for PCT (PCT >0.1 ng/mL). The PCT-positive group had higher CRP, ESR, TLC, and hospitalization expenditures as compared to the PCT-negative group. The authors of the research speculate that patients with acute exacerbations of COPD may have PCT levels that reflect the severity of the condition and may be used as a reference value for prognostic risk assessment. Hospital stay length and financial burden may be predicted with serum PCT levels.<sup>9</sup> Similar to previous research, we found that a PCT mean of 2.26 was related to increased in-hospital mortality,

whereas a PCT mean <0.07 ng/mL was found in the group that ultimately survived.

Park et al. conducted the study "Hemoglobin and mortality in patients with COPD: a countrywide population-based cohort study." In this study, 7,114 individuals with COPD were diagnosed. Anemia was present in 469 people. In comparison to the control group, anemia was linked to a death rate of 46.5% as opposed to 32.1% (*p* < 0.001).<sup>10</sup> The study came to the conclusion that anemia increased the long-term mortality risk of COPD patients. Similarly, in our study, the mean hemoglobin levels among survived and deceased patients were 11.24 and 10.99, respectively, with a *p*-value of 0.718.

Researchers Koo et al. looked at "Systemic WBC count as a biomarker associated with severity of COPD." A total of 1,227 patients with COPD and 8,679 individuals over the age of 40 without COPD had their WBC levels compared in this research. There was an inverse correlation between WBC count and FEV1, %FVC predicted. The study's findings indicate that WBC count is related to the severity of COPD, a risk factor for having impaired lung function, and a measure of life quality.<sup>11</sup> In our investigation, the median WBC count for patients who survived and those who died was 17.9 and 19.25, respectively.

The study by Fawzy et al. was titled "Association of platelet count with all-cause mortality and risk of cardiovascular and respiratory morbidity in stable COPD." According to this study, a greater platelet count (>3 L/mm<sup>3</sup>) was linked to a 66% higher death rate than a lower platelet count (1.5 L/mm<sup>3</sup>). In those with mild COPD and CV disease, there is a U-shaped relationship between platelet count and all-cause death.<sup>12</sup> Comparatively, in our study, the mean platelet count among survived and dead patients was 1.96 and 1.70, respectively, with *p* = 0.624.

Macdonald et al. conducted a study titled "Serum bilirubin and COPD: a systematic review." We discovered a weak association between bilirubin and both all-cause and COPD-related mortality in this research. Higher serum bilirubin was associated with decreased mortality in the largest trial, although the overall body of data lacked clarity and consistency.<sup>13</sup> Comparatively, in our study, the mean total bilirubin among survived and dead patients was 2.20 and 1.26, respectively, with a *p*-value < 0.001.

"Oxygen therapy and inpatient mortality in COPD exacerbation" by Echevarria et al. showed the adjusted mortality rates for the groups with 93–100% survival against the 88–92% group were 1.98 and 2.97, respectively. Those with

a score between 88 and 92% had the lowest in-hospital death rates.<sup>14</sup> Comparatively, in our study, the mean pO<sub>2</sub> among patients who survived and died was 54.16 and 60.02, respectively, with a *p*-value of 0.1.

A research titled "Hypo and hypercapnia predict mortality in oxygen-dependent COPD: a population-based prospective study" was done by Ahmadi et al. The research found that the "mortality association was U-shaped," with the lowest mortality at pCO<sub>2</sub> 6.5 kPa and higher mortality at pCO<sub>2</sub> 5 kPa and >7 kPa.<sup>15</sup> Comparatively, in our study, the mean pCO<sub>2</sub> among patients who survived and died was 61.03 and 99.96, respectively, with a *p*-value < 0.001.

## LIMITATIONS OF THE STUDY

In our study, the number of cases of COPD was small. We didn't compare the different stages of COPD. We didn't include healthy controls; instead, stable COPD patients were taken as controls.

## CONCLUSION

Increased risk of mortality while hospitalized is seen in patients with elevated CRP, elevated APACHE II score, low blood albumin, and elevated D-dimer levels. Independent predictors of survival in COPD patients, these measures of systemic inflammation are low-cost, reliable, and noninvasive.

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# Safety and Efficacy of Biosimilar Insulin Glargine (Basaglar) in Indian Patients with Type 2 Diabetes Mellitus: Results from a Multicenter, Open-Label, Single-Arm, Phase 4 Trial (ABEX)

Banshi Saboo<sup>1</sup>, Deepak Khandelwal<sup>2\*</sup>, Piyush Desai<sup>3</sup>, Keyur Brahme<sup>4</sup>, Paramesh Shamanna<sup>5</sup>, Parag Shah<sup>6</sup>, Indranil Bhattacharya<sup>7</sup>, Rohit Arora<sup>8</sup>, Erik Spaepen<sup>9</sup>

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## ABSTRACT

**Background:** Basaglar®, a biosimilar of glargine, was approved in India for the treatment of diabetes in patients aged >2 years. The efficacy and safety of biosimilar insulin glargine (Basaglar) have been previously established in Phase 3 clinical trials, ELEMENT-1, -2, and -5. This study assessed the safety and efficacy of Basaglar in Indian patients with type 2 diabetes mellitus (T2DM).

**Materials and methods:** This multicenter, open-label, single-arm, phase 4 trial included Indian patients with insulin-naïve T2DM with glycated hemoglobin A1c (HbA1c)  $\geq 7.0$  to  $< 11.0\%$  who were receiving  $\geq 2$  oral antihyperglycemic drugs and/or a glucagon-like peptide-1-receptor agonist. Patients received Basaglar once daily for 24 weeks, excluding 4 weeks of safety follow-up. The primary endpoint was the incidence of total hypoglycemic events [blood glucose (BG)  $\leq 54$  mg/dL ( $\leq 3.0$  mmol/L)] at week 24.

**Results:** Of the 259 patients enrolled, 64.1% were males, had mean [standard deviation (SD)] age 52.1 (11.38) years, and had diabetes for mean (SD) 6.75 (5.16) years. The mean HbA1c (SD) levels significantly improved from baseline to week 24 [ $-1.03$  (1.554);  $p < 0.0001$ ] and Basaglar titration doubled from baseline. Total hypoglycemic events were reported in 10 (3.86%), 7 (2.70%), and 4 (1.54%) patients during weeks 0–24, 0–12, and 12–24, respectively. Ten patients (3.90%) reported 12 adverse events (AE; 11 mild and moderate, one severe). One death, unrelated to Basaglar, was reported.

**Conclusion:** Basaglar was well tolerated with few hypoglycemic events and significantly reduced HbA1c and BG from baseline in Indian insulin-naïve patients with T2DM.

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## INTRODUCTION

Diabetes and its comorbidities present major health challenges.<sup>1,2</sup> As per the International Diabetes Federation (IDF), India has the world's second-highest population of patients with diabetes. In 2021, 74.2 million individuals of Indian origin were diagnosed with diabetes.<sup>3</sup> The IDF projects 124.9 million Indians to be affected by type 2 diabetes mellitus (T2DM) by 2045.<sup>3</sup> Patients with T2DM often do not achieve the targeted glycemic levels with the initial combinations of oral antihyperglycemic medications (OAMs).<sup>4,5</sup> The 2022 Consensus Statement by the American Diabetes Association and European Association for the Study of Diabetes recommends the addition of a basal insulin like glargine to OAMs in people with T2DM who do not achieve glycemic goals.<sup>6</sup>

Basaglar®, a long-acting insulin glargine, received approval from both the United States Food and Drug Administration (FDA) in 2015 and the European Medicines Agency in 2014. In India, it was approved for the treatment of diabetes in patients aged >2 years (the FDA has approved insulin glargine injection (Toujeo,

Sanofi) 300 units/mL in pediatric patients,  $\geq 6$  years of age).<sup>7</sup> Basaglar demonstrated its efficacy and safety in patients with type 1 diabetes mellitus and T2DM in the global ELEMENT-1 and ELEMENT-2 trials.<sup>8–11</sup> It received approval in India in 2017 as a biosimilar of glargine for the treatment of diabetes in people above two years of age. A subpopulation analysis was conducted in 100 Indian patients as part of the Phase 3 ELEMENT-5 trial. The results showed an efficacy and safety profile as reported for Basaglar in patients with T2DM in the total population of the trial.<sup>12</sup> As a condition for marketing approval, the Drug Controller General of India (DCGI) recommended that Basaglar should be studied in a total of 300 local individuals, in accordance with the Biosimilar Guidelines of India. Basaglar was studied in at least 300 individuals, with 100 individuals included in the ELEMENT-5 trial and over 200 individuals in this study.<sup>12</sup>

In this report, we present safety and efficacy data of Basaglar in an unexplored cohort of Indian patients with T2DM. This Phase 4 trial enrolled insulin-naïve individuals with T2DM who were unable to meet glycemic

targets despite taking OAMs and/or glucagon-like peptide 1 receptor agonist (GLP-1 RA) and required treatment with Basaglar. As its primary objective, the trial evaluated the risk of hypoglycemia in adult Indian individuals with T2DM who received Basaglar, with the secondary objective of assessing adverse events (AEs) and other safety parameters. Efficacy of Basaglar and patient-reported outcomes (PROs) were other secondary objectives.

## MATERIALS AND METHODS

### Study Patients and Design

I4L-IN-ABEX (NCT04153981) was a phase 4, multicenter, open-label, single-arm trial that was designed to evaluate the safety and efficacy of Basaglar in Indian patients with T2DM. The study included male and nonpregnant females aged between 18 and 75 years with T2DM lasting at least 6 months prior to screening and with HbA1c levels from  $\geq 7.0$  to  $< 11.0\%$ . Patients who received stable doses of at least two OAMs and/or GLP-1 RA for 90 days prior to visit 1 (screening) were also eligible. Patients were excluded from the study if they had been treated with insulin for >14 days for acute conditions, had a history of comorbidities including unstable angina, stage III or IV cardiac failure (New York Heart Association guidelines), renal failure

<sup>1</sup>Dia Care Research, Ahmedabad, Gujarat;

<sup>2</sup>Khandelwal Diabetes, Thyroid and Endocrinology Clinic, Paschim Vihar, New Delhi;

<sup>3</sup>Nirmal Hospital Pvt. Ltd., Surat; <sup>4</sup>Sir Sayajirao General (SSG) Hospital, Vadodara, Gujarat;

<sup>5</sup>Bangalore Diabetes Centre, Kalyan Nagar, Bengaluru East, Karnataka; <sup>6</sup>Gujarat Endocrine Centre, Ahmedabad, Gujarat; <sup>7,8</sup>Eli Lilly and Company (India) Pvt. Ltd., Gurugram, Haryana, India; <sup>9</sup>Biostatistician, Research & Development Statistics, HaaPACS, Schriesheim, Germany;

\*Corresponding Author

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(estimated glomerular filtration rate <30 mL/minute/m<sup>2</sup>), and human immunodeficiency virus infection or hepatitis B and C (Table S1).

The study had 2-week screening and 24-week treatment phases, with a safety follow-up at week 28. The treatment phase included a titration phase from week 0 to week 12 and a maintenance phase from week 12 to week 24 (Fig. 1). Supplementary Table 2 guided dose titrations during the trial. The study was conducted following the recommendations from the DCGI and Good Clinical Practice guidelines. All patients signed an informed consent before study enrolment and agreed that data may be used for future research.

**Outcomes**

Table 1 lists the primary and secondary objectives of this study.

**Statistical Analysis**

Patients from the full analysis set (FAS, who were administered ≥1 dose of study treatment) were evaluated for the outcomes. All tests were conducted as two-sided tests at the 0.05 alpha level. The primary analysis was the calculation of the estimate and 95% confidence interval for the incidence of total hypoglycemic events [symptomatic or asymptomatic, blood glucose (BG) level ≤54 mg/dL (3.0 mmol/L)] at week 24. It was expressed as the number and percentage of patients with at least one event during the study. HbA1c at baseline, week 12, week 24, and change in HbA1c from baseline to week 12 and week 24 were presented descriptively. The analysis used nonmissing values and last observation carried forward imputed values. The association between baseline HbA1c (covariate) and change in HbA1c in FAS

(dependent variable) was analyzed using a general linear model. Hypoglycemic events (*n*) per patient, the rate of hypoglycemic events per 30 days and year (assuming 365.25 days in a year) were analyzed using a negative binomial model. The binomial model used baseline HbA1c as a covariate and the log of the study treatment exposure time as an offset variable. Adverse events (AEs) were described as per Medical Dictionary for Regulatory Activities (MedDRA) coding and were defined as events reported after signing the informed consent form. Treatment-emergent adverse events (TEAEs) included either new reported events or worsening of an event after the first study drug treatment.

The analyses of other continuous secondary efficacy and safety measurements and continuous laboratory measures used the general linear model for the FAS population with the baseline value of the response variable as a covariate. Additionally, the per protocol (PP) population was analyzed, which included patients from FAS who had no violations of eligibility criteria, continued in the study until week 24, did not receive off-study medication for more than 14 consecutive days during the treatment phase, and did not receive chronic systemic glucocorticoid therapy (excluding topical, intra-articular, intraocular, and inhaled preparations).

All analyses reported are on the FAS population unless otherwise mentioned. All analyses included in this report were performed in the software package SAS V9.4 (The SAS Institute, Cary, NC).

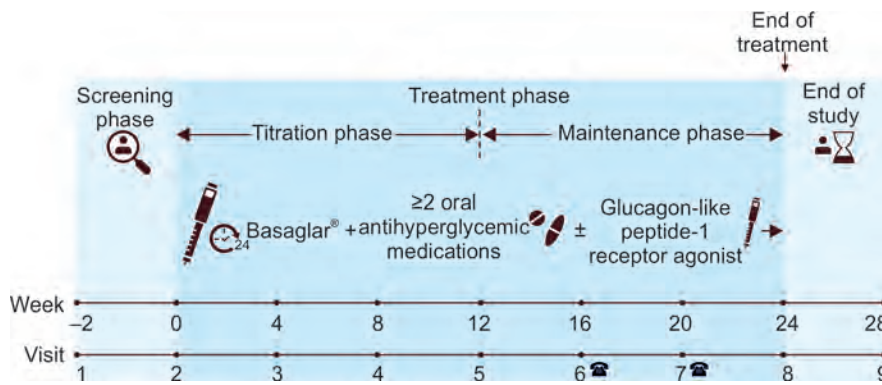


Fig. 1: Study design

Table 1: Study objectives

Objectives	Endpoints
<b>Primary</b> To assess the risk of hypoglycemia in adult patients with T2DM in India who were administered Basaglar	• Incidence of total [symptomatic or asymptomatic with BG level of ≤54 mg/dL (≤3.0 mmol/L)] hypoglycemic events at week 24
<b>Secondary</b> To assess AEs and other safety parameters in adult patients with T2DM in India	• SAEs and TEAEs • Rates per 30 days and per subject year of total (symptomatic or asymptomatic) hypoglycemic events • Incidence and rates per 30 days and per subject year of nocturnal, severe, documented symptomatic, and asymptomatic hypoglycemic events • Basal insulin dose (U/day and U/kg/day) • Change in weight and BMI at week 24 from baseline
To assess the efficacy of Basaglar in adult patients with T2DM in India	• Change in HbA1c at weeks 12 and 24 • Percentage of patients reaching HbA1c targets ≤6.5 or < 7% • Change in BG levels at weeks 4, 8, and 12 from baseline • Self-monitored 7-point SMBG levels at weeks 4, 8, 12, and 24 from baseline • Change in 7-point SMBG levels at weeks 4, 8, 12, and 24 from baseline • Intrasubject variability, as measured by SD of 7-point SMBG levels
To assess outcomes reported by adult patients with T2DM in India	• Change in ITSQ scores at week 24 from week 4

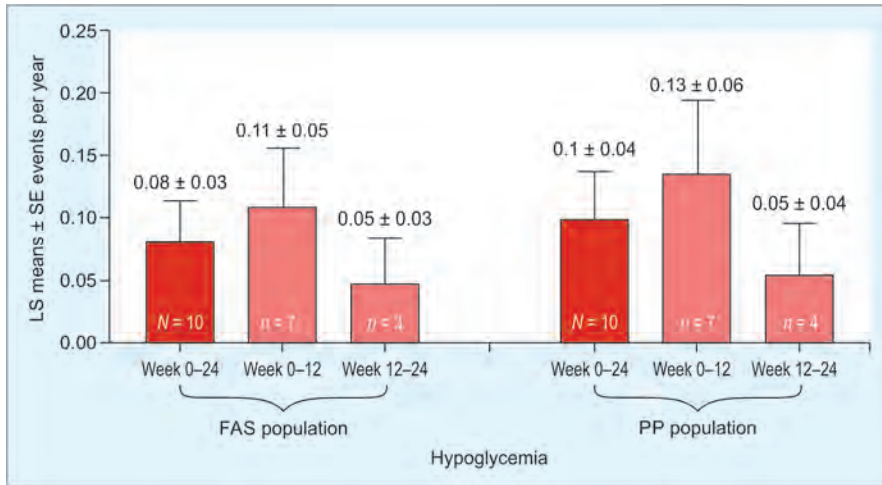
AE, adverse event; BG, blood glucose; BMI, body-mass index; ITSQ, Insulin Treatment Satisfaction Questionnaire; HbA1c, glycated hemoglobin; SAE, serious adverse events; SD, standard deviation; SMBG, self-monitored blood glucose; TEAE, treatment-emergent adverse event; T2DM, type 2 diabetes mellitus; Note: The ITSQ had 22 items to assess treatment satisfaction and was classified into five domains: (1) inconvenience of regimen (IR—5 items), (2) lifestyle flexibility (LF—3 items), (3) glycemic control (GC—3 items), (4) hypoglycemic control (HC—5 items), and (5) insulin delivery device (DD—6 items)

**RESULTS**

The planned sample size for screening was 295 patients. However, due to the Coronavirus disease 2019 pandemic in India, 365 patients were screened, as many screened patients could not start due to lockdown measures. Among the 304 patients enrolled, 259 eligible patients were treated with at least one dose of Basaglar, and 218 patients completed the study. The predominant reasons for early

withdrawal included the patient’s decision [ $n = 14$  (5.4%)] and other reasons including COVID-19-related issues [ $n = 26$  (10.0%)]. Most patients were males (64.1%), had a mean [standard deviation (SD)] age of 52.1 (11.38) years, and a mean (SD) body mass index (BMI) of 26.7 (4.2) kg/m<sup>2</sup>. The mean (SD) baseline level of HbA1c was 9.03% (1.02%), and approximately 64% of patients had an HbA1c level of  $\geq 8.5\%$ . The mean (SD) duration of diabetes was

6.75 (5.16) years. More than 25% received at least two concomitant antihyperglycemic medications, with oral BG-lowering drug combinations (53.7%), biguanides (46.7%), sulfonylureas (42.1%), dipeptidyl peptidase-4 inhibitors (40.2%), and alpha-glucosidase inhibitors (33.2%) being the most common. Metformin (98.1%), sulfonylurea (89.2%), and dipeptidyl peptidase-4 inhibitors (55.2%) were the most commonly used concomitant antihyperglycemic medications. The most commonly reported comorbidities in the medical history were hypertension (44.0%) and dyslipidemia (17.4%). The demographic and clinical characteristics for FAS and PP populations at baseline are reported in Table 2. The overall mean duration of exposure to the study treatment was 157.8 days, and the overall compliance with the administration of the study medication was 99% (see Table S3).



**Fig. 2:** Incidence of hypoglycemic events per year by study population; FAS, full analysis set; LS, least squares;  $n$ , number of subjects with data;  $N$ , total number of subjects in the relevant population; PP, per protocol; SE, standard error

**Table 2:** Baseline demographics and disease characteristics

Parameter	Basaglar—full analysis set (N = 259)	Basaglar—per protocol (N = 207)
Age, mean (SD), years	52.10 (11.38)	51.70 (11.44)
Median	53.0	53.0
Range, minimum; maximum	21; 74	21; 73
Sex, $n$ (%)		
Male	166 (64.10)	133 (64.30)
Female	93 (35.90)	74 (35.70)
BMI, mean (SD), kg/m <sup>2</sup>	26.73 (4.19)	26.73 (4.34)
Duration of diabetes, mean (SD), years	6.75 (5.16)	6.26 (4.85)
Median	5.00	5.00
Range, minimum; maximum	1.0; 33.0	1.0; 22.0
Baseline HbA1c, mean (SD) %	9.03 (1.02)	8.96 (1.00)
Baseline HbA1c categories, $n$ (%)		
7.0–8.4%	93.00 (35.90)	77.00 (37.20)
$\geq 8.5\%$	166.00 (64.10)	130.00 (62.80)
Concomitant antihyperglycemic medications, $n$ (%)		
Metformin	254.00 (98.10)	203.00 (98.10)
Sulfonylureas	231.00 (89.20)	182.00 (87.90)
SGLT2 inhibitors	40.00 (15.40)	29.00 (14.00)
Dipeptidyl peptidase-4 inhibitors	143.00 (55.20)	113
Alpha-glucosidase inhibitors	101.00 (39.00)	83.00 (40.10)
Thiazolidinediones	73.00 (28.20)	60.00 (29.00)
Dual PPAR alpha/gamma agonist	1.00 (0.40)	1.00 (0.50)

FAS, full analysis set; LS, least square;  $n$ , number of subjects with data;  $N$ , total number of subjects in the relevant population; PP, per protocol; SE, standard error

**Incidence of Total Hypoglycemic Events**

In the FAS, the incidence of total hypoglycemic events was 10 (3.86%), 7 (2.70%), and 4 (1.54%) during weeks 0–24, 0–12, and 12–24, respectively. The incidence and rates of nocturnal, documented symptomatic, and documented asymptomatic hypoglycemic events from week 0 to week 24 are shown in Supplementary Figure 1. There was no incidence of severe hypoglycemia (an event requiring the assistance of another person to actively administer carbohydrates or glucagon or take other corrective actions). The mean (SD) total hypoglycemic events per participant were 1.2 (0.63), 1.1 (0.38), and 1.0 (0) during weeks 0–24, 0–12, and 12–24, respectively. The corresponding outcomes per 30 days and per year are listed in Supplementary Table 4. Figure 2 presents the incidence of hypoglycemic events per year for the FAS and PP populations.

**Adverse Events**

In the FAS population, 10 patients (3.9%) reported a total of 12 AEs, of which 11 were mild and moderate, and one was severe. None of the reported AEs were considered treatment-related or led to treatment discontinuation. Among these patients, 8 (3.1%) reported a total of 10 TEAEs, with anemia as the most commonly reported TEAE (Table 3). None of these TEAEs were considered related to the study treatment or led to early withdrawal.

The overview of AEs in the PP population is shown in Table 4, and there were no reports of any AE leading to study discontinuation or any drug-related AE. One serious adverse event (SAE) was reported in a 67-year-old male with AEs of mild pyrexia and mild cough, and

**Table 3:** Overview of TEAEs

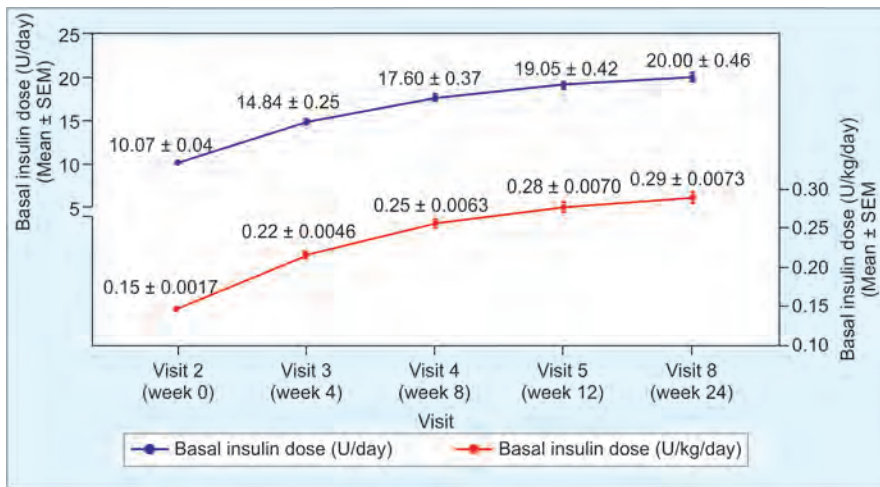
Preferred term	Basaglar—full analysis set (N=259)				Basaglar—per protocol (N=207)			
	Incidence n (%)	Mean ± SD events per patient	LS means ± SE events per 30 days	LS means ± SE events per year	Incidence n (%)	Mean ± SD events per patient	LS means ± SE events per 30 days	LS means ± SE events per year
Number of patients who reported at least 1 TEAE	8 (3.1)	1.3 ± 0.71	0.0071 ± 0.0031	0.0868 ± 0.0380	7 (3.4)	1.0 ± 0.00	0.0054 ± 0.0022	0.0660 ± 0.0272
Anemia	5 (1.9)	1.0 ± 0.0	0.0029 ± 0.0016	0.0351 ± 0.0194	5 (2.4)	1.0 ± 0.00	0.0031 ± 0.0018	0.0383 ± 0.0221
Death	1 (0.4)	1.0 ± NE	0.0006 ± 0.0011	0.0071 ± 0.0148	0 (0.0)	0.0	0.0	0.0
Pyrexia	1 (0.4)	1.0 ± NE	0.0006 ± 0.0011	0.0071 ± 0.0148	0 (0.0)	0.0	0.0	0.0
Urinary tract infection	1 (0.4)	1.0 ± NE	0.0003 ± 0.0006	0.0037 ± 0.0070	1 (0.5)	1.0 ± NE	0.0003 ± 0.0006	0.0037 ± 0.0075
Hyperglycemia	1 (0.4)	1.0 ± NE	0.0000 ± 0.0000	0.0000 ± 0.0000	1 (0.5)	1.0 ± NE	0.0000 ± 0.0000	0.0000 ± 0.0000
Cough	1 (0.4)	1.0 ± NE	0.0006 ± 0.0011	0.0071 ± 0.0148	0 (0.0)	0.0	0.0	0.0

LS, least squares; n, number of subjects with data; N, total number of subjects in the relevant population; NE, not estimable; SD, standard deviation; SE, standard error; TEAE, treatment-emergent adverse event

**Table 4:** Overview of AEs reported in PP and safety population

Description	Basaglar—per protocol (N = 207)			Basaglar—safety population (N = 259)		
	Incidence n (%)	Mean ± SD events per patient	LSM ± SE events per year	Incidence n (%)	Mean ± SD events per patient	LSM ± SE events per year
Any adverse event	9 (4.3)	1.0 ± 0.0	0.0821 ± 0.0307	10 (3.9)	1.2 ± 0.63	0.0996 ± 0.0375
Mild	7 (3.4)	1.0 ± 0.0	0.0539 ± 0.0262	8 (3.1)	1.1 ± 0.35	0.0643 ± 0.0284
Moderate	2 (1.0)	1.0 ± 0.0	0.0193 ± 0.0146	2 (0.8)	1.0 ± 0.0	0.0162 ± 0.0126
Severe	0 (0.0)	0.0	0.0	1 (0.4)	1.0 ± NE	0.0071 ± 0.0148

AE, adverse events; LSM, least square mean; n, number of subjects with data; N, total number of subjects in the relevant population; NE, not estimable; PP, per protocol; SD, standard deviation; SE, standard error



**Fig. 3:** Biosimilar insulin glargine (Basaglar) dose (U/day and U/kg/day) by visit in full analysis set (FAS); SEM, standard error of mean

he experienced anxiety a few days prior to demise. The cause of death was reported as unknown. The investigator assessed the event of death as not related to the treatment with Basaglar.

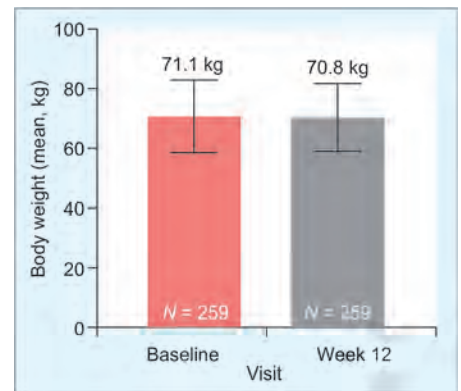
**Basaglar Dose and Body Weight**

The mean Basaglar dose increased each week after baseline. A significant increase in Basaglar dose from baseline to week 24 ( $p < 0.001$ ) was observed at all visits, as indicated in Figure 3.

Although the insulin dose was doubled from baseline to week 24, the mean weight showed a significant reduction from baseline to week 24 ( $71.1 \pm 12.25$  kg to  $70.8 \pm 11.53$  kg;  $p = 0.0006$ ) (Fig. 4). A significant improvement in mean BMI from baseline to week 24 ( $26.7$  kg/m<sup>2</sup> to  $26.5$  kg/m<sup>2</sup>;  $p = 0.011$ ) was also observed.

**Changes in Glycated Hemoglobin A1c**

The mean HbA1c levels improved at weeks 12 and 24 from baseline. The mean change



**Fig. 4:** Mean body weight at baseline and week 24 in FAS set population; FAS, full analysis set; N, total number of subjects in the relevant population

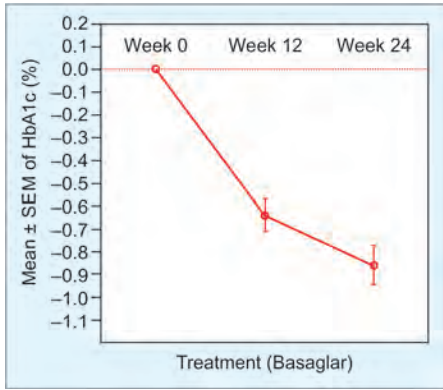
from baseline was significant at these visits (Fig. 5).

**Percentage of Subjects Reaching Glycated Hemoglobin A1c Targets ≤6.5 or <7%**

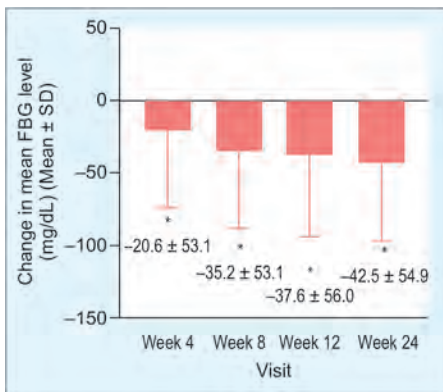
At week 12, 6.9 and 15.1% of patients achieved HbA1c ≤6.5 and <7%, respectively. At week 24, 15.1 and 23.6% of the patients were reported to have achieved HbA1c ≤6.5 and <7%, respectively.

**Change in Fasting Blood Glucose Levels from Baseline**

The mean fasting blood glucose (FBG) levels improved at each week after baseline.



**Fig. 5:** HbA1c (%) change from baseline LSM in FAS population; FAS, full analysis set; HbA1c, glycated hemoglobin; LSM, least squares means; SEM, standard error of mean



**Fig. 6:** Change in mean FBG until week 24; FBG, fasting blood glucose; SD, standard deviation;  $p < 0.0001$  for change from baseline

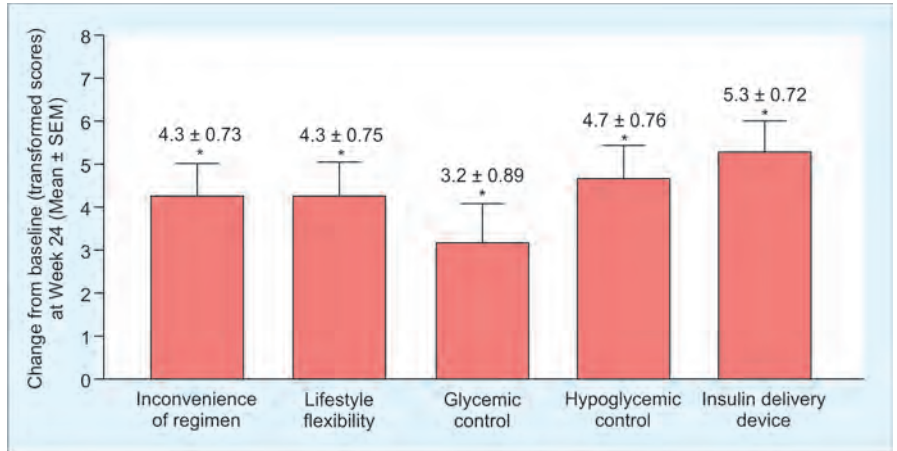
The change from baseline was significant ( $p < 0.0001$ ) at all the visits. In the FAS, the mean change in FBG was -20.6, -35.2, -37.6, and -42.5 at weeks 4, 8, 12, and 24, respectively (Fig. 6).

### Patient-reported Outcomes

The patient-reported outcomes (PROs) were assessed using the least square means (LSM) scores of the insulin treatment satisfaction questionnaire (ITSQ) at week 24. There was a significant improvement ( $p < 0.0001$ ) in the ITSQ score from week 4 to week 24 (Fig. 7 and Table S5).

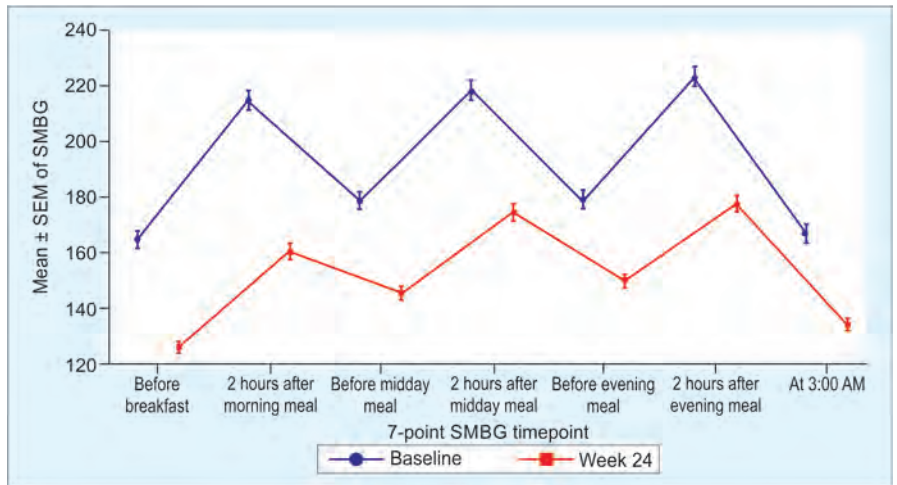
### Changes in 7-point Self-monitored Blood Glucose

The patients were evaluated using a 7-point self-monitored blood glucose (SMBG) scale before breakfast, before and 2 hours after morning, midday, and evening meals, and at 3 AM. At all the time points, there were statistically significant improvements in BG levels from baseline for all visits except at week 4 before the midday meal. At week 4, the BG level decreased from baseline, but the change



	Inconvenience of regimen	Lifestyle flexibility	Glycemic control	Hypoglycemic control	Insulin delivery device
Raw scores (Mean ± SEM)	-0.3 ± 0.04	-0.3 ± 0.05	0.2 ± 0.05	-0.3 ± 0.05	-0.3 ± 0.04
Transformed scores (Mean ± SEM)	4.3 ± 0.73	4.3 ± 0.75	3.2 ± 0.89	4.7 ± 0.76	5.3 ± 0.72

**Fig. 7:** Change in ITSQ from baseline to week 24; ITSQ, insulin treatment satisfaction questionnaire; SEM, standard error of mean;  $p < 0.0001$  for change from baseline; Note: Individual raw and transformed scores: Raw score was calculated as the mean of the items for that subject and is on a scale of 1 to 7. The raw scores were then transformed on a scale from 0 to 100, where a higher score indicates better treatment satisfaction (formula used: transformed score =  $100 * (7 - \text{raw score}) / 6$ )



**Fig. 8:** SMBG at baseline and at week 24; SEM, standard error of mean; SMBG, self-monitored blood glucose

was not significant (Fig. 8). Based on the SD values of 7-point SMBG levels, the change from baseline was significant at all the visits.

Overall, vital signs, physical examination, and clinical laboratory assessments were stable throughout the study.

### DISCUSSION

We assessed the safety and efficacy outcomes of Basaglar in Indian patients in addition to the evaluation performed in the Indian subpopulation in the ELEMENT-5 trial.<sup>12,13</sup> These findings are important as they represent

the first evaluation of the safety and efficacy of Basaglar in Indian patients with T2DM who received two OAMs and/or GLP-1 RA and were insulin-naïve with inadequate glycemic control.

The results from this study reported total hypoglycemia (symptomatic and asymptomatic) in 10 patients. The incidence of total hypoglycemic events per year observed in this study was 0.08 (LSM), whereas in the ELEMENT-5 trial, total hypoglycemia [BG  $\leq 70$  mg/dL ( $\leq 3.9$  mmol/L)] rate was 17.0 (mean) in the global population and 2.38 (mean) in the Indian subpopulation.<sup>12,13</sup> The TEAEs reported

in this trial were numerically lesser than those reported in the Indian subpopulation of the ELEMENT-5 trial. Overall, Basaglar was well tolerated in Indian patients. The findings reported in our study are consistent with the findings reported in the total population in the ELEMENT-5 trial. At each visit up to week 24, we observed an improvement in SMBG levels compared to baseline, except for a slightly lower value at week 4 before the midday meal. The efficacy profile at week 24 was similar to that reported in the ELEMENT-5 trial. Basal titration was doubled from baseline to mean HbA1c levels significantly improved from baseline to week 24.

This study has some limitations. The open-label and noncontrolled study design could have influenced patient or investigator-initiated actions and increased the likelihood of selection bias. We have previously reported an improvement in ITSQ from baseline. Thus, such positive and negative perceptions could potentially affect the more subjective patient-reported outcomes.<sup>12,13</sup> No adjustment for type 1 error was done for the secondary efficacy endpoints. Also, the 24-week study duration may not be sufficient to address any questions on the long-term immunogenicity of Basaglar.

## CONCLUSION

The Indian patients in the ABEX trial showed that Basaglar was well tolerated with few hypoglycemic events. Basaglar, when combined with GLP-1 RA or at least two OAMs, significantly reduced HbA1c and BG levels from baseline in patients with T2DM. The safety and efficacy profile of Basaglar in the phase 4 ABEX trial was consistent with that reported in previous phase 3 trials, ELEMENT-2 and ELEMENT-5.

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Ethics approval and consent to participate: The study was conducted in accordance with the principles of the Declaration of Helsinki (2000), the International Conference on Harmonization, and the E6 Guideline for Good Clinical Practice. Institutional review board approval and written informed consent from all subjects were obtained before the conduct of any evaluations or study procedures.

Disclosures: Banshi Saboo and Parag Shah have no conflicts of interest to declare. Deepak Khandelwal, Keyur Brahme, and Paramesh Shamanna have received investigator grants from Eli Lilly and Company. Piyush Desai has received speaker honoraria from Boehringer Ingelheim, Cipla, USV Private Limited, Novo Nordisk, and Sanofi. Indranil Bhattacharya and Rohit Arora are employees of Eli Lilly India Pvt. Ltd. and hold shares of Eli Lilly and Company. Erik Spaepen is an external contractor (Statistician) for Eli Lilly and Company.

Author contributions: All authors have made substantial contributions to all the following: (1) the conception and design of the study, or acquisition of data, or analysis and interpretation of data, (2) drafting the article or revising it critically for important intellectual content, (3) final approval of the version to be submitted. All authors contributed equally.

Consent for publication: All authors have given their consent and approval of the final version to be submitted and published.

Clinical trial registration number: NCT04153981.

## SUPPLEMENTARY INFORMATION

### Enrollment

As a clause toward marketing approval, the Central Drugs Standard Control Organization for India recommended that Basaglar be studied in a minimum of 200 additional local subjects. Assuming a 15% screen failure rate, approximately 295 subjects were planned to be screened, so that 250 subjects could have been enrolled. Assuming a dropout rate of 20%, the target was to have a minimum of 200 subjects completing the present study. In case of hypoglycemia, the dose can be reduced by four units. It was recommended that the doses of antidiabetic medications taken by the subjects be stable

for 90 days prior to enrolling them. However, the investigator can recommend that these doses be optimized to the maximum tolerated dose during 2 weeks of the screening period.

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**Table S1:** List of complete inclusion and exclusion criteria for the study

**Inclusion criteria**

- Patients with T2DM based on the disease diagnostic criteria from the World Health Organization classification for at least 6 months before screening
- Men or nonpregnant women older than 18 years and younger than 75 years at the time of screening
- Patients receiving  $\geq 2$  OAMs  $\pm$  GLP-1 RAs at stable doses for 90 days prior to screening
- Patients with HbA1c level  $\geq 7.0\%$  and  $< 11.0\%$
- Patients with no prior treatment with insulins except for short-term treatment of acute conditions up to a maximum of 14 days

**Exclusion criteria**

- Patients with any form of diabetes other than T2DM
- Patients with hypersensitivity to the active substance of LY2963016 or any of the excipients
- Patients who had any clinically significant disorder, other than T2DM, in the investigator’s opinion
- Patients who preclude participation in the trial
- Patients receiving systemic glucocorticosteroid therapy or had excessive insulin resistance (total insulin dose  $>2$  U/kg)
- Patients with a history or diagnosis of human immunodeficiency virus infection, hepatitis B, and C
- Patients with comorbidities of unstable angina, cardiac failure (Stage III or IV as per New York Heart Association guidelines), or renal failure (estimated glomerular filtration rate  $< 30$  mL/minute/m<sup>2</sup>)
- Patients who participated within 30 days of the clinical trial involving an investigational product other than LY2963016. If the previous investigational product had a long half-life, 3 months or 5 half-lives (whichever was longer) should have passed
- Patients who previously completed or withdrawn from this study or any other study investigating LY2963016. This exclusion criterion did not apply to participants who were rescreened prior to the baseline visit
- Patients enrolled in any other clinical study involving an investigational product or any other type of medical research who were judged not to be scientifically or medically compatible with this study and were unwilling or unable to comply with the use of a glucometer

GLP-1 RA, glucagon-like peptide 1 receptor agonist; HbA1c, glycated hemoglobin; OAMs, oral antihyperglycemic medications; T2DM, type 2 diabetes mellitus

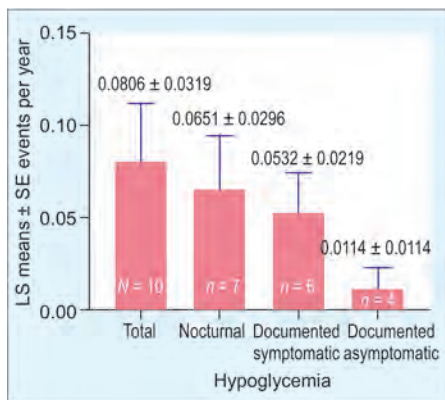
**Table S2:** Recommendations for dose titrations

Fasting blood glucose (mg/dL)	Fasting blood glucose (mmol/L)	Dose adjustments (units)
<80	<4.4	-2
80–100	4.4–5.6	0
101–130	5.6–7.2	+2
131–160	7.3–8.9	+4
161–190	8.9–10.6	+6
>190	>10.6	+8

**Table S3:** Overall exposure to study treatment and compliance

	Basaglar—full analysis set (N = 259)	Basaglar—per protocol (N = 207)
Duration of exposure, days		
n (%)	259 (100.00)	207 (100.00)
Mean (SD)	157.8 (34.83)	170.4 (7.87)
IMP compliance %		
n (%)	259 (100.00)	207 (100.00)
Mean (SD)	99.9 (0.35)	100.0 (0.30)
Number of missed dose		
n (%)	259 (100.00)	207 (100.00)
Mean (SD)	0.1 (0.55)	0.1 (0.53)
Compliant patients		
n (%)	259 (100.00)	207 (100.00)

IMP, investigational medicinal product; n, number of subjects with data; N, total number of subjects in the relevant population; SD, standard deviation



**Fig. S1:** Incidence of hypoglycemic events (weeks 0–24); LS, least squares; n, number of subjects with data; N, total number of subjects in the relevant population; SE, standard error

**Table S4:** Incidences and rates of total hypoglycemic events

Total hypoglycemic events	Basaglar—full analysis set (N = 259)	Basaglar—per protocol (N = 207)
LSM $\pm$ SE per 30 days (95% CI)		
Weeks 0–24	0.0066 $\pm$ 0.00262 (0.0030, 0.0144)	0.0080 $\pm$ 0.00310 (0.0038, 0.0171)
Weeks 0–12	0.0088 $\pm$ 0.00398 (0.0036, 0.0213)	0.0111 $\pm$ 0.00486 (0.0047, 0.0262)
Weeks 12–24	0.0038 $\pm$ 0.00294 (0.0009, 0.0172)	0.0045 $\pm$ 0.00329 (0.0011, 0.0189)
LSM $\pm$ SE per year (95% CI)		
Weeks 0–24	0.0806 $\pm$ 0.03195 (0.0371, 0.1753)	0.0979 $\pm$ 0.03776 (0.0460, 0.2085)
Weeks 0–12	0.1072 $\pm$ 0.04843 (0.0442, 0.2599)	0.1346 $\pm$ 0.05920 (0.0568, 0.3187)
Weeks 12–24	0.0469 $\pm$ 0.03583 (0.0105, 0.2097)	0.0544 $\pm$ 0.04010 (0.0128, 0.2307)

CI, confidence interval; LSM, least squares mean; N, total number of subjects in the relevant population SE, standard error

**Table S5:** Insulin treatment satisfaction questionnaire scores indicating the change from baseline to week 24

	<i>Basaglar—full analysis set (N = 259)</i>	<i>Basaglar—per protocol (N = 207)</i>
Raw inconvenience of regimen		
LSM on LOCF imputed values, mean ± SE (95% CI)	−0.3 ± 0.04 (−0.3, −0.2)	−0.3 ± 0.05 (−0.4, −0.2)
<i>p</i> -value	<0.0001	<0.0001
Raw lifestyle flexibility		
LSM on LOCF imputed values, mean ± SE (95% CI)	−0.3 ± 0.05 (−0.3, −0.2)	−0.3 ± 0.05 (−0.4, −0.2)
<i>p</i> -value	<0.0001	<0.0001
Raw glycemetic control		
LSM on LOCF imputed values, mean ± SE (95% CI)	−0.2 ± 0.05 (−0.3, −0.1)	−0.2 ± 0.06 (−0.3, −0.1)
<i>p</i> -value	0.0003	0.0008
Raw hypoglycemic control		
LSM on LOCF imputed values, mean ± SE (95% CI)	−0.3 ± 0.05 (−0.4, −0.2)	−0.3 ± 0.05 (−0.4, −0.2)
<i>p</i> -value	<0.0001	<0.0001
Raw insulin delivery device		
LSM on LOCF imputed values, mean ± SE (95% CI)	−0.3 ± 0.04 (−0.4, −0.2)	−0.4 ± 0.05 (−0.5, −0.3)
<i>p</i> -value	<0.0001	<0.0001
Transformed inconvenience of regimen		
LSM on LOCF imputed values, mean ± SE (95% CI)	4.3 ± 0.73 (2.9, 5.7)	4.8 ± 0.85 (3.1, 6.5)
<i>p</i> -value	<0.0001	<0.0001
Transformed lifestyle flexibility		
LSM on LOCF imputed values, mean ± SE (95% CI)	4.3 ± 0.75 (2.8, 5.7)	4.7 ± 0.86 (3.0, 6.4)
<i>p</i> -value	<0.0001	<0.0001
Transformed glycemetic control		
LSM on LOCF imputed values, mean ± SE (95% CI)	3.2 ± 0.89 (1.5, 5.0)	3.5 ± 1.04 (1.5, 5.6)
<i>p</i> -value	0.0003	0.0008
Transformed hypoglycemic control		
LSM on LOCF imputed values, mean ± SE (95% CI)	4.7 ± 0.76 (3.2, 6.2)	5.4 ± 0.88 (3.7, 7.2)
<i>p</i> -value	<0.0001	<0.0001
Transformed insulin delivery device		
LSM on LOCF imputed values, mean ± SE (95% CI)	5.3 ± 0.72 (3.9, 6.7)	6.1 ± 0.84 (4.4, 7.7)
<i>p</i> -value	<0.0001	<0.0001

CI, confidence interval; LOCF, last-observation-carried-forward; LSM, least squares mean; N, total number of subjects in the relevant population; SE, standard error

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**Glycomet®-GP 0.5 FORTE**  
Metformin Hydrochloride 1000 mg SR + Glimepiride 0.5 mg

**Glycomet®-GP 1 FORTE**  
Metformin Hydrochloride 1000 mg SR + Glimepiride 1 mg

**Glycomet®-GP 2 FORTE**  
Metformin Hydrochloride 1000 mg SR + Glimepiride 2 mg



**Glycomet®-GP 2**  
Metformin Hydrochloride 500 mg SR + Glimepiride 2 mg

**Glycomet®-GP 3/850**  
Metformin Hydrochloride 850 mg SR + Glimepiride 3 mg

**Glycomet®-GP 2/850**  
Metformin Hydrochloride 850 mg SR + Glimepiride 2 mg

**Glycomet®-GP 3 FORTE**  
Metformin Hydrochloride 1000 mg SR + Glimepiride 3 mg

**Glycomet®-GP 4 FORTE**  
Metformin Hydrochloride 1000 mg SR + Glimepiride 4 mg

**Abridged Prescribing Information**

**Active Ingredients:** Metformin hydrochloride (as sustained release) and glimepiride tablets. **Indications:** For the management of patients with type 2 diabetes mellitus when diet, exercise and single agent (glimepiride or metformin alone) do not result in adequate glycaemic control. **Dosage and Administration:** The recommended dose is one tablet daily during breakfast or the first main meal. Each tablet contains a fixed dose of glimepiride and Metformin Hydrochloride. The highest recommended dose per day should be 5 mg of glimepiride and 2000mg of metformin. Due to prolonged release formulation, the tablet must be swallowed whole and not crushed or chewed. **Adverse Reactions:** For Glimepiride: hypoglycaemia may occur, which may sometimes be prolonged. Occasionally, gastrointestinal (GI) symptoms such as nausea, vomiting, sensations of pressure or fullness in the epigastrium, abdominal pain and diarrhea may occur. Hepatitis, elevation of liver enzymes, cholelithiasis and jaundice may occur; allergic reactions or pseudo allergic reactions may occur occasionally. For Metformin: GI symptoms such as nausea, vomiting, diarrhea, abdominal pain, and loss of appetite are common during initiation of therapy and may resolve spontaneously in most cases. Metallic taste, mild erythema, decrease in Vit B12 absorption, very rarely lactic acidosis, hemolytic anemia, reduction of hyponatremic level in patients with hyponatremia, hypomagnesaemia in the context of diarrhea, Encephalopathy, Photosensitivity, hepatobiliary disorders. **Warnings and Precautions:** For Glimepiride: Patient should be advised to report promptly exceptional stress situations (e.g., trauma, surgery, Metlethelidazole, blood glucose regulation may deteriorate, and a temporary change to insulin may be necessary to maintain good metabolic control. Metformin Hydrochloride may lead to Lactic acidosis, in such cases metformin should be temporarily discontinued and contact with a healthcare professional is recommended. Sulfamylonase have an increased risk of hypoglycaemia. Long-term treatment with metformin may lead to peripheral neuropathy because of decrease in vitamin B12 serum levels. Monitoring of the vitamin B12 level is recommended. Overweight patients should continue their energy-restricted diet, usual laboratory tests for diabetes monitoring should be performed regularly. **Contraindications:** Hypersensitivity to the active substance of glimepiride & Metformin or to any of the excipients listed. Any type of acute metabolic acidosis (such as lactic acidosis, diabetic ketoacidosis, diabetic pre-coma). Severe renal failure (GFR <30ml/min). In pregnant women. In lactating women. Acute conditions with the potential to alter renal function (dehydration, severe infection, shock, intravascular administration of adjuvanted contrast agents), acute or chronic disease which may cause tissue hypoxia (asthma or respiratory failure, recent myocardial infarction, shock), hepatic insufficiency, acute alcohol intoxication; alcoholism. **Use in a special population:** Pregnant Women: Due to a lack of human data, drugs should not be used during pregnancy. Lactating Women: It should not be used during breastfeeding. **Pediatric Patients:** The safety and efficacy of drugs has not yet been established. Renal impairment: A GFR should be assessed before initiation of treatment with metformin containing products and at least annually thereafter. In patients at increased risk of further progression of renal impairment and in the elderly, renal function should be assessed more frequently, e.g. every 3-6 months. **Additional information is available on request.** **Last updated:** March 13, 2023.

For the use of registered medical practitioner, hospital or laboratory.\*

In T2DM Uncontrolled on Newer Dual OAD's,

Choose,

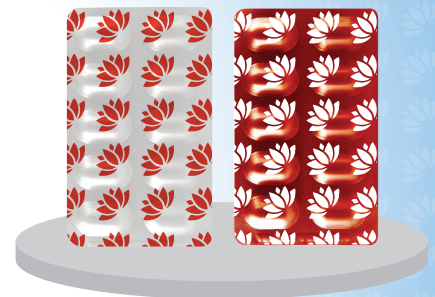
**UDAPA-Trio**

Dapagliflozin 10 MG + Sitagliptin 100 MG + Metformin 500 MG XR

**UDAPA-Trio Forte**

Dapagliflozin 10 mg + Sitagliptin 100 mg + Metformin 1000 mg XR

**Carevolution** for Improved Adherence



**Abridged Prescribing Information**

**UDAPA-Trio Forte UDAPA-Trio Dapagliflozin, Sitagliptin & Metformin Hydrochloride Extended Release Tablets Composition:** Dapagliflozin 10 mg, Sitagliptin 100 mg & Metformin Hydrochloride Extended Release 1000 mg tablets Dapagliflozin propanediol monohydrate eq. To Dapagliflozin 10 mg Sitagliptin Phosphate Monohydrate IP Eq. Sitagliptin 100 mg Metformin Hydrochloride IP (as Extended Release) 1000 mg Dapagliflozin 10 mg, Sitagliptin 100 mg & Metformin Hydrochloride Extended Release 1000 mg tablets Dapagliflozin propanediol monohydrate eq. To Dapagliflozin 10 mg Sitagliptin Phosphate Monohydrate IP Eq. Sitagliptin 100 mg Metformin Hydrochloride IP (as Extended Release) 500 mg **Indication:** It is indicated as an adjunct to diet and exercise to improve Glycemic Control adults with type 2 diabetes mellitus. **Recommended Dosage:** As directed by the physician. **Method of Administration:** Oral. **Adverse Reactions:** Most common adverse reactions reported are: Dapagliflozin - Female genital mycotic infections, Nasopharyngitis, Urinary tract infections. Sitagliptin - Upper respiratory tract infection, nasopharyngitis and headache. Metformin - Diarrhea, nausea/vomiting, flatulence, asthma, indigestion, abdominal discomfort, and headache. **Warnings and Precautions:** Dapagliflozin: Volume depletion; Ketoacidosis in patients with Diabetes Mellitus; Urosepsis and Pyelonephritis; Hypoglycemia; Genital mycotic infections. Sitagliptin: General: Sitagliptin should not be used in patients with type 1 diabetes or for the treatment of Diabetic Ketoacidosis. Acute pancreatitis. Hypoglycemia is used in combination when combined with other anti-hyperglycemic medicinal product. Renal impairment: Hypersensitivity reactions including anaphylaxis, angioedema, and exfoliative skin conditions - Steven Johnson syndrome; Bullous pemphigoid. Metformin Hydrochloride: Lactic acidosis; In case of dehydration (severe diarrhea or vomiting, fever or reduced fluid intake), metformin should be temporarily discontinued and contact with a healthcare professional is recommended. **Contraindications:** Hypersensitivity to the active substance of Dapagliflozin, Sitagliptin & Metformin or to any of the excipients listed. Any type of acute metabolic acidosis (such as lactic acidosis, diabetic ketoacidosis), Diabetic pre-coma; Severe renal failure (GFR <30ml/min); Acute conditions with the potential to alter renal function such as: Dehydration, Severe infection, Shock; Acute or chronic disease which may cause tissue hypoxia such as: Cardiac or respiratory failure, Recent myocardial infarction, Shock, Renal impairment, Acute intoxication, Alcoholism. **Use in special population:** Pregnant women: Due to lack of human data, drugs should not be used during pregnancy. Lactating women: It should not be used during breastfeeding. **Pediatric patients:** The safety and efficacy of drugs has not yet been established. No data is available. **Geriatric Patients:** In patients >65 years, it should be used with caution as age increases. For Additional Information/full prescribing information, please write to: USV Private Limited, Arvind Vitthal Gandhi Chowk, B.S.D Marg, Govandi, Mumbai - 400088. **Last updated on 02/04/2024.**

USV Private Limited **Corvette** Team  
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In case of any adverse events, kindly contact: pr@usv.in

In PwD Uncontrolled on Dual OADs,

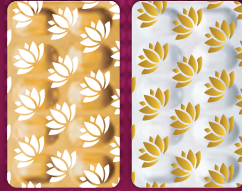
# Choose UDAPA GOLD

Metformin HCL 500 ER + Glimepiride 1/2 mg + Dapagliflozin 10 mg

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Dapagliflozin, Glimepiride and Metformin Hydrochloride (Extended Release) Tablets Composition: Each film coated bilayered tablet contains: Dapagliflozin Propanediol USP Eq. to Dapagliflozin 10 mg, Glimepiride IP 1mg, Metformin Hydrochloride IP (As Extended release) 500 mg. Each film coated bilayered tablet contains: Dapagliflozin Propanediol USP Eq. to Dapagliflozin 10 mg, Glimepiride IP 2mg, Metformin Hydrochloride IP (As Extended release) 500 mg. Indications: As an adjunct to diet and exercise to improve glycemic control in adult patients with type 2 Diabetes Mellitus (T2DM). Recommended Dosage: As directed by the Physician. Method of Administration: Oral. Warnings and Precautions: Dapagliflozin, Metformin and Glimepiride should not be used in patients with type 1 diabetes and must not be used for the treatment of diabetic ketoacidosis, Lactic acidosis - Lactic acidosis, a rare but serious metabolic complication, most often occurs at acute worsening of renal function or cardiorespiratory illness or sepsis. Metformin accumulation occurs at acute worsening of renal function and increases the risk of lactic acidosis. Hypotension - Dapagliflozin causes intravascular volume contraction. Symptomatic hypotension can occur after initiating dapagliflozin particularly in patients with impaired renal function (eGFR less than 60 mL/min/1.73 m2), elderly patients, or patients on loop diuretics. Before initiating dapagliflozin in patients with one or more of these characteristics, volume status should be assessed and corrected. Monitor for signs and symptoms of hypotension after initiating therapy. Genital Mycotic Infections - Dapagliflozin increases the risk of genital mycotic infections. Patients with a history of genital mycotic infections were more likely to develop genital mycotic infections. Monitor and treat appropriately. Hypoglycemia - Patients receiving insulin and insulin secretagogues (e.g., sulfonylureas) may be at risk for hypoglycemia. Therefore, a reduction in the dose of the sulphonylurea or insulin may be necessary. Precautions for use: Pregnancy: Limited data on Dapagliflozin, Glimepiride & Metformin Tablets use during pregnancy. Advise patients to inform their healthcare provider if pregnant or planning pregnancy before initiating treatment. Nursing Mothers: Udana Gold is not recommended in breastfeeding. Hence, if you are breastfeeding, inform your doctor if you are breastfeeding or planning to breastfeed. Pediatric Use: The safety and effectiveness of Udana Gold in pediatric patients under 18 years of age have not been established. Renal Impairment: Dapagliflozin - Use of dapagliflozin is not recommended when eGFR is less than 45 mL/min/1.73 m2. Glimepiride - To minimize the risk of hypoglycemia, the recommended starting dose of glimepiride is 1 mg daily for all patients with type 2 diabetes and renal impairment. Contraindications: Udana Gold is contraindicated in patients with: Severe renal impairment (eGFR below 30 mL/min/1.73 m2), end stage renal disease or patients on dialysis; History of a serious hypersensitivity reaction to any of the excipients of this Tablet. dapagliflozin, such as anaphylactic reactions or angioedema, or hypersensitivity to metformin HCL Sulfonylurea derivatives, such as glimepiride, other sulfonylureas, other sulfonylureas; Acute or chronic metabolic acidosis, including diabetic ketoacidosis, with or without coma. Diabetic ketoacidosis should be treated with insulin; Hepatic insufficiency; Acute alcohol intoxication, alcoholism; Lactation. For Additional Information/full prescribing information, please write to us: USV Private Limited, Arvind Vitthal Gandhi Chowk, B.S.D Marg, Govandi, Mumbai - 400088 Updated on 01<sup>st</sup> October 24, Expiry by 01<sup>st</sup> October 25

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# UDAPA

Dapagliflozin 5/10mg



Unique Strip Design Benefits\*

Turn To Metabolic Wellness

For the use only of Registered Medical Practitioner or a Hospital or a Laboratory only

Abridged Prescribing Information: UDAPA 10, UDAPA 5

Dapagliflozin Tablets 10 mg & 5 mg. Composition: Each film-coated tablet contains: Dapagliflozin 10 mg or 5 mg. Indications: 1) In adults aged 18 years and older with type 2 diabetic mellitus to improve glycemic control. 2) In adults for the treatment of heart failure. 3) In adults for the treatment of patients of Chronic Kidney Disease (CKD) up to eGFR of greater than or equal to 25 mL/min/1.73m2. Recommended Dosage: As directed by the Physician. Method of Administration: Oral. Adverse Reactions: The common adverse reactions in patients treated with Dapagliflozin 10 mg in clinical trials and post-marketing are: Genital infection, Urinary tract infection, Diabetic ketoacidosis, Back pain and polyuria. Warnings and Precautions: Renal Impairment: There is a limited experience with initiating treatment with Dapagliflozin in patients with eGFR <25 mL/min/1.73m2. The glucose lowering efficacy of Dapagliflozin is dependent on renal function and is reduced in patients where eGFR is <45 mL/min/1.73m2. Ketoacidosis: In patients with diabetes mellitus treated with Dapagliflozin who present with signs and symptoms consistent with ketoacidosis, including nausea, vomiting, abdominal pain, malaise and shortness of breath, should be assessed for ketoacidosis, even if blood glucose levels are below 14 mmol/L (250 mg/dL). If ketoacidosis is suspected, discontinuation or temporary interruption of Dapagliflozin should be considered and the patient should be promptly evaluated. Use with medications known to cause hypoglycemia: Insulin and insulin secretagogues, such as sulfonylureas, cause hypoglycemia. Therefore, a lower dose of insulin or the insulin secretagogue may be required to reduce the risk of hypoglycemia when used in combination with Dapagliflozin in patients with type 2 diabetes mellitus. Contraindications: Dapagliflozin is contraindicated in patients with a history of any serious hypersensitivity reaction to the active substance or to any of the excipients. For Additional Information/full prescribing information, please write to us: USV Private Limited, Arvind Vitthal Gandhi Chowk, B.S.D Marg, Govandi, Mumbai - 400088. Updated on 01<sup>st</sup> October 24, Expiry by 01<sup>st</sup> October 25. In case of any query related to product contact us on [usv@usv.com](mailto:usv@usv.com)

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Vildagliptin 50 mg + Metformin 850 mg  
50/850 mg



**Jalra-M®**  
Vildagliptin 50 mg + Metformin 1000 mg  
50/1000 mg



**Jalra-DP®**  
Vildagliptin 50 mg + Dapagliflozin 5 mg  
50/5 mg



**Jalra-DP®**  
Vildagliptin 100 mg SR + Dapagliflozin 10 mg  
100/10 mg



**Jalra-Trio®**  
Vildagliptin 100 mg SR + Dapagliflozin 10 mg + Metformin 500 mg  
100/10/500 mg



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Vildagliptin 100 mg SR + Dapagliflozin 10 mg + Metformin 1000 mg  
100/10/1000 mg



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Vildagliptin 50 mg



**Jalra-OD®**  
Vildagliptin 100 mg SR

**Jalra-M®**  
Vildagliptin 50 mg + Metformin Hydrochloride 500/850/1000 mg

**Jalra-OD®**  
Vildagliptin 100mg SR

**Jalra-DP®**  
Vildagliptin 100 mg SR/50 mg + Dapagliflozin 10 mg/5 mg

**Jalra-Trio®**  
Vildagliptin 100mg SR + Dapagliflozin 10mg + Metformin 500mg SR

**Jalra-Trio® Forte**  
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# Hospital-acquired Infections in the Adult Intensive Care Unit: Epidemiology, Resistance Patterns, and Risk Factors

Kapil Gangadhar Zirpe<sup>1</sup>, Sushma Kirtikumar Gurav<sup>2</sup>, Piyush Arvind Dhawad<sup>3\*</sup>, Anand Mohanlal Tiwari<sup>4</sup>, Abhijit Manikrao Deshmukh<sup>5</sup>, Prasad Bhimrao Suryawanshi<sup>6</sup>, Upendrakumar S Kapse<sup>7</sup>, Prajкта Prakash Wankhede<sup>8</sup>, Abhaya Pramodrao Bhojar<sup>9</sup>, Ria Vishal Malhotra<sup>10</sup>, Hrishikesh S Vaidya<sup>11</sup>, Shameek Mukherjee<sup>12</sup>, Rupali Suryawanshi<sup>13</sup>, Subhal B Dixit<sup>14</sup>

Received: 11 January 2025; Accepted: 18 January 2025

## ABSTRACT

**Introduction:** Hospital-acquired infections (HAIs) are defined as infections that develop in the patient after being admitted to the hospital. The overall burden of HAIs is known to be higher in developing countries. The most common HAIs include ventilator-associated pneumonia (VAP), catheter-associated urinary tract infection (CAUTI), surgical site infection (SSI), and catheter-related bloodstream infections (CRBSI).

**Objectives:** Due to limited recent literature, we aimed to evaluate the incidence rates and causative organisms of intensive care unit (ICU)-acquired infections at our tertiary care center and to determine the factors associated with mortality in patients with ICU-acquired infections.

**Methods:** Single-center prospective, observational study.

**Results:** The incidence rates in our study were: VAP incidence rate:  $105/2681 = 39.1/1000$  ventilator days, CRBSI incidence rate:  $38/4871 = 7.8/1000$  central venous catheter (CVC) days, CAUTI incidence rate:  $54/11201 = 4.8/1000$  urinary catheter days. *Acinetobacter baumannii* accounted for 41% of the infections in patients with VAP and 21% in patients with CRBSI. *E. coli* was the most common causative organism in the CAUTI group, accounting for 33% of the infections. Age >50 years, presence of hypotension on presentation, medical diagnosis, multiple ICU-acquired infections, and higher APACHE-II score on admission are the statistically significant determinants of the incidence of mortality in the overall group ( $p$ -value >0.05 for all).

**Conclusion:** Age >50 years, presence of hypotension on presentation, medical diagnosis, multiple ICU-acquired infections, and higher APACHE-II score on admission must be kept in mind as determinants of the incidence of mortality in HAIs.

Journal of The Association of Physicians of India (2025); 10.59556/japi.73.0850

## INTRODUCTION

Hospital-acquired infections (HAIs) are defined as those infections that develop in the patient after admission to the hospital. Infections that develop 48 hours or more after a patient has been admitted to the hospital are considered hospital-acquired. HAIs are known to be the most common adverse events in hospitalized patients, as per the World Health Organization (WHO).<sup>1</sup> The WHO estimates that these infections affect 7–12% of hospitalized patients around the globe.<sup>2–4</sup> A survey conducted in 55 hospitals from 14 countries demonstrated that 8.7% of the hospitalized patients had HAIs.<sup>2</sup> The overall burden of HAIs tends to be greater in developing countries.<sup>5</sup> Additionally, the prevalence of HAIs is highest among the patients admitted to intensive care units (ICUs).<sup>2</sup> Recent literature shows that about 2.5 million episodes of HAIs are reported in Europe every year, with over 90,000 deaths.<sup>6</sup> A prospective surveillance was conducted in 2007 by the International Infection Control Consortium (INICC) in 7 different Indian cities to determine the rate of HAIs, bacterial

resistance, length of stay (LOS), and mortality outcomes in 12 ICUs in these hospitals.<sup>7</sup> In this study, a total of 10,835 patients were hospitalized for a period of 52,518 days, and these patients acquired 476 HAIs. The surveillance reported an overall incidence rate of healthcare-associated infections (HAIs) of 4.4%, equating to 9.06 infections per 1,000 ICU-days.<sup>7</sup>

HAIs contribute majorly to the mortality, length of stay (LOS) in the hospital and ICUs, emotional suffering, as well as the economic burden among hospitalized patients.<sup>3,8</sup> In a survey conducted by INICC across developing countries, including India, the crude mortality rate ranged from 35.2 to 44.9%.<sup>9</sup> There are a number of factors that add to the cost in these patients. The financial burden of HAIs is compounded by factors such as prolonged length of stay (LOS), increased use of expensive antibiotics and other drugs, additional laboratory tests, and the loss of work.

Ventilator-associated pneumonia (VAP), catheter-related bloodstream infections (CRBSI), catheter-associated urinary tract infection (CAUTI), and surgical site infection

(SSI) are the most common HAIs. HAIs can be caused by various organisms depending on the healthcare settings, patient populations, and countries. Common Gram-positive organisms associated with HAIs are: *Staphylococcus aureus*, coagulase-negative staphylococci (CoNS), and enterococci, while Gram-negative organisms include *Klebsiella pneumoniae*, *Acinetobacter baumannii*, *Pseudomonas aeruginosa*, and *Escherichia coli*.<sup>2,10–15</sup>

Due to limited recent literature, we aimed to evaluate the incidence rates and causative organisms of these ICU-acquired infections at our own tertiary care center and to determine the factors associated with mortality in patients with ICU-acquired infections.

## METHODS

A single-center prospective, observational study was conducted by us over a period of 18 months at our tertiary care center. Data for this study were collected from June 2021 to December 2022. Patients who were admitted to the ICU during the mentioned study period for >48 hours and diagnosed with one of the following infections: VAP, CAUTI, CRBSI, and

<sup>1</sup>Head; <sup>2</sup>Senior Consultant, Department of Neuro Trauma Unit, Grant Medical Foundation; <sup>3</sup>Consultant, Department of Neuro Trauma Unit, Ruby Hall Clinic; <sup>4</sup>Senior Consultant Intensivist, Department of Neuro Trauma Unit, Ruby Hall Clinic; <sup>5</sup>Consultant Incharge, Department of Neuro Trauma Stroke Unit, Grant Medical Foundation's Ruby Hall Clinic; <sup>6</sup>Senior Consultant, Department of Critical Care Medicine; <sup>7,8</sup>Consultant, Department of Neurotrauma Unit, Ruby Hall Clinic; <sup>9</sup>Junior Consultant; <sup>10</sup>Associate Consultant; <sup>11,12</sup>Junior Consultant, Department of Neuro Intensive Care, Ruby Hall Clinic; <sup>13</sup>Consultant Microbiologist, Department of Laboratory, Ruby Hall Clinic; <sup>14</sup>Director, Department of Critical Care, Sanjeevan and MJM Hospital, Pune, Maharashtra, India; \*Corresponding Author  
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CAUTI were included. We excluded patients <18 years of age, with an index ICU stay of <48 hours, or those who were readmitted to the ICU within the same hospitalization.

An informed and signed consent was obtained from all the patients before the data were collected from them. An Institutional Ethics Committee approval was obtained prior to the study.

**Statistical Analysis**

For categorical variables, the data are presented as the number of cases along with the percentage of total cases. For continuous variables, the data are displayed as the mean with the standard deviation (SD). The intergroup statistical comparison of the distribution of categorical variables was performed using the Chi-squared test or Fisher’s exact probability test when >20% of cells had an expected frequency of <5. Multivariate logistic regression analysis with a backward stepwise procedure was employed to identify the independent statistically significant determinants of the incidence of mortality. In this study, a p-value of <0.05 was considered statistically significant. Statistical analyses were performed using the Statistical Package for the Social Sciences (SPSS version 24.0, IBM Corporation, United States) for MS Windows.

*Acinetobacter baumannii* accounted for 41% of the infections in patients with VAP and 21% in patients with CRBSI. The second most common organism in both of these groups was *Klebsiella pneumoniae*, accounting for 26% of the patients in the VAP group and 16% of the patients in the CRBSI group. *E. coli* was the most common causative organism in the CAUTI group, accounting for 33% of the infections, followed by *Klebsiella pneumoniae* (26%) (Figs 1A to C). Table 1 shows the distribution of drug resistance/sensitivity patterns in each type of infection.

Out of 105 cases with VAP, 23 cases (21.9%) died. Out of 38 cases with CRBSI infection, 9 cases (23.7%) died. Out of 54 cases with CAUTI infection, 14 cases (25.9%) died (Table 2). Univariate statistical analysis suggested that age-group (higher age-group, i.e., age >50 years), hypotension on presentation, diagnosis due to medical reasons, multiple ICU-acquired infections, and high APACHE-II score during admission are the statistically significant determinants of the incidence of

mortality in the overall group (p-value <0.05 for all) (Table 3).

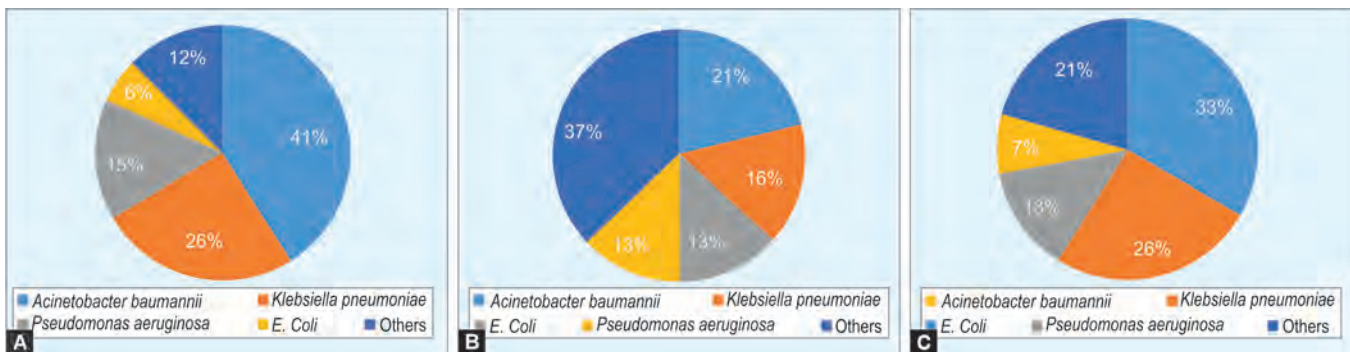
Multivariate statistical analysis revealed that the following factors were statistically significant and independent determinants of mortality incidence in the overall group: age-group (specifically those over 50 years), hypotension during presentation, diagnosis due to medical reasons, and higher APACHE-II score during admission (all with p-values <0.05) (Table 4). Figures 2A to D show the

**Table 1:** Distribution of drug resistance/sensitive pattern in each type of infection

Resistance rates for gram-negative organisms				
	MDR	XDR	PDR	Carbapenem resistance
<i>Acinetobacter baumannii</i>	85	7	–	89
<i>Klebsiella pneumoniae/oxytoca</i>	89	6	–	80
<i>Pseudomonas aeruginosa</i>	68	7	–	68
<i>E. coli</i>	82	–	–	71
<i>Proteus mirabilis</i>	100	–	–	100
<i>Proteus vulgaris</i>	100	–	–	100
<i>Providentia rettgeri</i>	66	33	–	100
<i>Serratia marscencens</i>	50	–	–	50
<i>Morganella morganii</i>	66	33	–	100
Resistance rates for gram-positive organisms				
	Methicillin resistant	Vancomycin resistant	Linezolid resistant	
<i>Staph. aureus</i> (n = 3)	33	–	–	
CoNs	100	–	–	
<i>E. faecalis</i> n = 3	NA	–	–	
<i>E. faecium</i> n = 2	NA	50	50	

**Table 2:** Incidence of mortality according to different types of infection

Infection	Survived		Death		Total	
	n	%	n	%	n	%
VAP	82	78.1	23	21.9	105	100.0
CRBSI	29	76.3	9	23.7	38	100.0
CAUTI	40	74.1	14	25.9	54	100.0



**Figs 1A to C:** Distribution of etiological agents of each type of infection. (A) Distribution of patients with VAP infection based on the etiological agents; (B) Distribution of patients with CRBSI infection based on the etiological agents; (C) Distribution of patients with CAUTI infection based on the etiological agents

**Table 3:** Univariate statistical analysis showing the determinants of mortality (overall group)

Variable	Survived		Death		Total		p-value	
	n	%	n	%	n	%		
Age-group	≤50 years	57	89.1	7	10.9	64	100.0	0.010**
	>50 years	67	72.0	26	28.0	93	100.0	
Sex	Male	90	78.3	25	21.7	115	100.0	0.714 <sup>NS</sup>
	Female	34	81.0	8	19.0	42	100.0	
Hypotension on presentation	Yes	37	60.7	24	39.3	61	100.0	0.001***
	No	87	90.6	9	9.4	96	100.0	
Diagnosis	Medical	62	70.5	26	29.5	88	100.0	0.003**
	Surgical	62	89.9	7	10.1	69	100.0	
Comorbidity	Hypertension	50	75.8	16	24.2	66	100.0	0.399 <sup>NS</sup>
	Diabetes mellitus	35	74.5	12	25.5	47	100.0	0.364 <sup>NS</sup>
	Ischemic heart disease	9	81.8	2	18.2	11	100.0	0.999 <sup>NS</sup>
	Chronic kidney disease	6	66.7	3	33.3	9	100.0	0.398 <sup>NS</sup>
	Chronic liver disease	3	100.0	0	0.0	3	100.0	0.999 <sup>NS</sup>
	Cerebrovascular accident	1	50.0	1	50.0	2	100.0	0.377 <sup>NS</sup>
Type of infection	Single infection	100	82.6	21	17.4	121	100.0	0.039*
	Multiple infections	24	66.7	12	33.3	36	100.0	
Use of steroids	Yes	1	50.0	1	50.0	2	100.0	0.377 <sup>NS</sup>
	No	123	79.4	32	20.6	155	100.0	
APACHE II score	Group 1 (21–30)	13	52.0	12	48.0	25	100.0	0.001***
	Group 2 (11–20)	75	79.8	19	20.2	94	100.0	
	Group 3 (3–10)	36	94.7	2	5.3	38	100.0	
ICU stay	≤20 days	64	78.0	18	22.0	82	100.0	0.764 <sup>NS</sup>
	>20 days	60	80.0	15	20.0	75	100.0	

Odds ratio = 1: reference category; dependent variable: mortality; \*p-value < 0.05; \*\*p-value < 0.01; \*\*\*p-value < 0.001; NS, statistically nonsignificant; The variables entered in the model: Age, sex, comorbidity (hypertension, diabetes mellitus, IHD, CKD, CLD, CVA, hyperthyroidism/hypothyroidism), hypotension on presentation, diagnosis, steroid treatment, APACHE-II score on presentation, duration of ICU stay

percentage sensitivity of predominant Gram-negative bacilli.

## DISCUSSION

Our study found that the rates of VAP, CRBSI, and CAUTI were 39.1 per 1,000 ventilator days, 7.8 per 1,000 central venous catheter (CVC) days, and 4.8 per 1,000 urinary catheter days, respectively (Table 5). This incidence was found to be higher than that observed in a few studies. A study by Kwak et al. found the incidence rates of VAP, CRBSI, and CAUTI to be 1.89/1,000 mechanical ventilator days, 2.23/1,000 central line days, and 3.87/1,000 urinary catheter days, respectively.<sup>16</sup> However, a study from Morocco by Madani et al. noted a much higher incidence of these infections. In their study, rates of VAP, CRBSI, and CAUTI were 43.2 per 1,000 ventilator days, 15.7 per 1,000 CVC days, and 11.7 per 1,000 urinary catheter days, respectively.<sup>17</sup>

In our study, *Acinetobacter baumannii* was found to be the commonest organism causing VAP, followed by *Klebsiella pneumoniae*. The findings were similar for the cases with CRBSI. The type of organism responsible for causing VAP depends on the duration of mechanical ventilation. Organisms causing early-onset VAP are typically *Haemophilus influenzae*, *Streptococcus pneumoniae*, methicillin-sensitive *Staphylococcus aureus* (MSSA), *Escherichia coli*, *Enterobacter* species, *Klebsiella pneumoniae*, *Proteus* species, and

**Table 4:** Multivariate logistic regression analysis showing the independent determinants of incidence of mortality (backward stepwise procedure)

Risk factors (variables in the model)			Odds ratio (OR)	95% CI for odds Ratio	p-value
Overall group	Age-group	≤50 years	1.00	–	–
		>50 years	2.986	1.053–8.471	0.040*
	Hypotension	Absent	1.00	–	–
		Present	6.410	2.454–16.742	0.001***
	Diagnosis	Surgical	1.00	–	–
		Medical	3.270	1.141–9.368	0.027*
APACHE- II score	Group 3 (3–10)	1.00	–	–	
	Group 2 (11–20)	3.438	0.688–17.188	0.133 <sup>NS</sup>	
	Group 1 (21–30)	17.851	2.946–108.163	0.002**	
VAP group	Hypotension	Absent	1.00	–	–
		Present	15.251	3.987–58.337	0.001***
	Diagnosis	Surgical	1.00	–	–
	Medical	4.905	1.477–16.291	0.009**	
CRBSI group	NA				
CAUTI group	Age-group	≤50 years	1.00	–	–
		>50 years	20.456	1.946–109.333	0.019*
	IHD	Absent	1.00	–	–
		Present	28.211	1.694–259.378	0.026*
	APACHE- II score	Group 3 (3–10)	1.00	–	–
Group 2 (11–20)		0.199	0.013–3.101	0.249 <sup>NS</sup>	
	Group 1 (21–30)	11.685	0.358–281.924	0.167 <sup>NS</sup>	

Odds ratio = 1: reference category; dependent variable: mortality; \*p-value < 0.05; \*\*p-value < 0.01; \*\*\*p-value < 0.001; NS, statistically nonsignificant; The variables entered in the model: Age, sex, comorbidity (hypertension, diabetes mellitus, IHD, CKD, CLD, CVA, hyperthyroidism/hypothyroidism), hypotension on presentation, diagnosis, steroid treatment, APACHE-II score on presentation, duration of ICU stay

*Serratia marcescens*. Late-onset VAP is usually caused by *Acinetobacter*, methicillin-resistant *S. aureus* (MRSA), *Pseudomonas aeruginosa*, and extended-spectrum beta-lactamase producing bacteria (ESBL).<sup>18</sup> The causative agent is important, as the cost of therapy and outcomes can vary significantly.

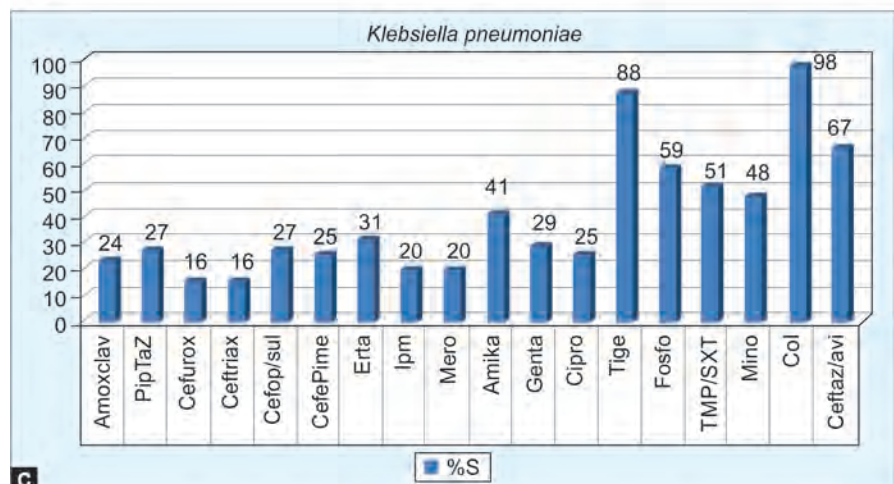
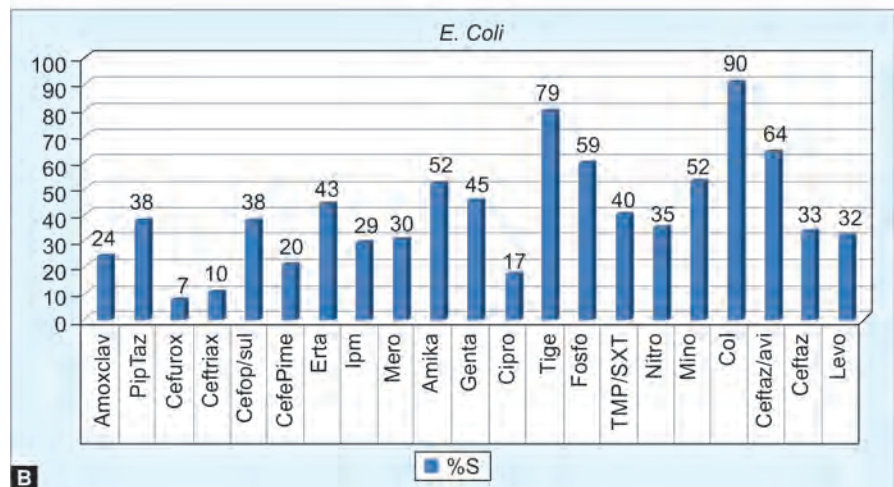
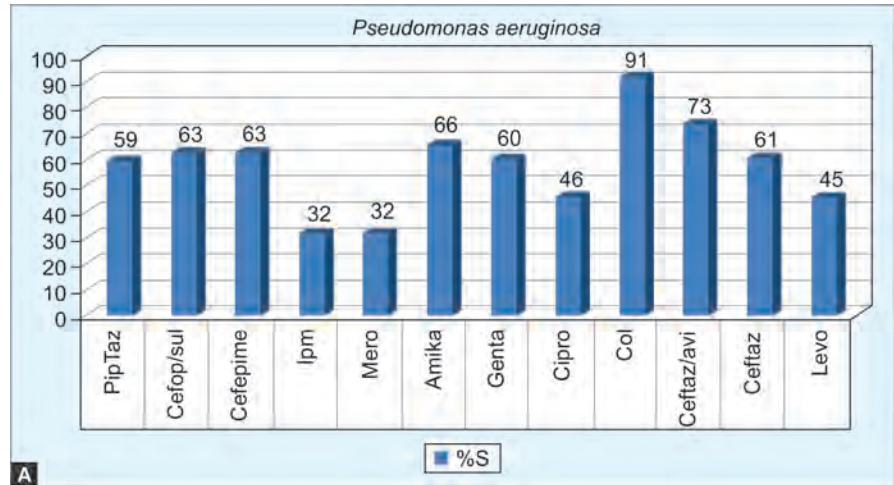
As shown in Table 2, the resistance rates in our settings are high. A report published by the Antimicrobial Resistance Research and Surveillance Network (AMRSN) stated that the incidence of carbapenem resistance was extremely high in *Acinetobacter baumannii* in India in the year 2021.<sup>19</sup> These high rates of resistance can leave very few treatment options for these infections and make the management of HAIs very challenging in India. This can also increase the cost of therapy. Such high resistance rates make it difficult for the treating physicians to choose empiric therapy.

As shown in Table 3, these infections were associated with significant crude mortality rates in our settings. In a study by Papazian et al.,<sup>20</sup> it was demonstrated that, on controlling the confounding factors, VAP did not increase the overall mortality in patients admitted to the ICU. Another systematic analysis by Safdar et al.<sup>21</sup> found results contradictory to the above findings. It was concluded that VAP is significantly associated with substantial morbidity and a twofold increase in the overall mortality rate. A meta-analysis conducted in 2009 derived the conclusion that the presence of CRBSI is associated with a significantly higher mortality in critically ill patients.<sup>22</sup> A study by Blot et al.<sup>23</sup> showed results that were contradictory to the above, demonstrating that the presence of CRBSI does not lead to an increased mortality rate in ICU patients. However, the authors also stated that the infection must be prevented due to the economic burden that it may impose.<sup>23</sup> With regard to CAUTI, Clec'h et al. demonstrated that CAUTI was not associated with an increase in the mortality rate in the ICU.<sup>24</sup>

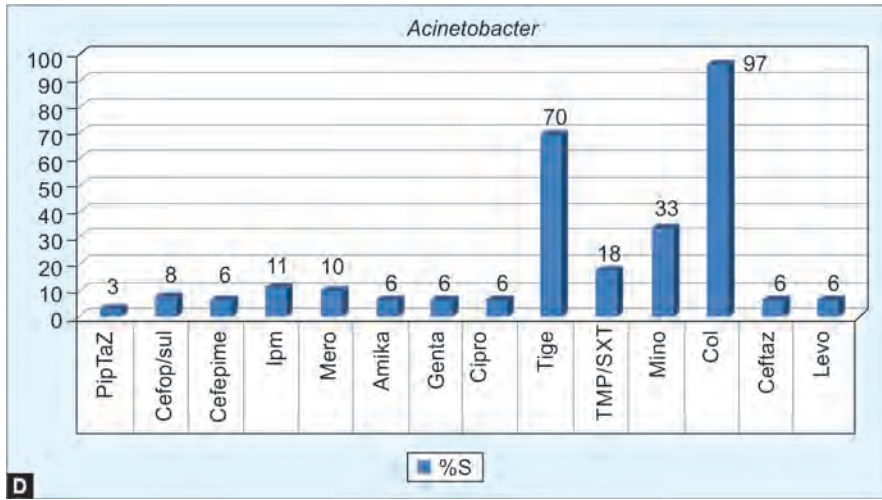
Our study showed that a higher age-group and a higher APACHE II score on admission are associated with an increased overall mortality in patients with HAIs in the ICU. Similar to the results of our study, a study by Nielsson et al. concluded that advanced age is associated with an increase in the mortality rate in patients admitted to the ICU.<sup>25</sup> Contradictory to this, Edipoglu et al. found that age was not significantly associated with mortality in ICU patients. However, they also demonstrated that a higher APACHE II scoring system is valuable in assessing overall mortality.<sup>26</sup> A study by Gursel and Demirtas, conducted prospectively on patients with VAP, concluded that the APACHE II score determined at the time of diagnosis of

**Table 5:** Incidence rates of three HAIs

Type	Infection status							
	Present		Absent		Total		Per 1,000 days	
	n	%	n	%	n	%	n	%
VAP	105	3.92	2576	96.08	2681	100.0	1000	39.1
CRBSI	38	0.78	4833	99.21	4871	100.0	1000	7.8
CAUTI	54	0.48	11147	99.52	11201	100.0	1000	4.8



**Figs 2A to D:** Percentage sensitivity of predominant gram-negative bacilli (Contd...)



Figs 2A to D: Contd...

VAP is valuable in predicting the mortality in ICU patients.<sup>27</sup> A recent study by Sadaka et al. also demonstrated that the APACHE II scoring system, applied in septic patients, is a strong predictor of overall mortality.<sup>28</sup>

**CONCLUSION**

In our setting, VAP was found to have the highest incidence rate with regard to the HAIs. *Acinetobacter baumannii* was the most common causative organism in patients with VAP and CRBSI admitted to the ICU. The second most common organism in these groups was *Klebsiella pneumoniae*. However, the most common causative organism in the CAUTI group was found to be *E. coli*. In our study, the statistically significant factors influencing the incidence of mortality in the overall group included age (with higher mortality in patients older than 50 years), presence of hypotension at presentation, medical diagnosis, multiple ICU-acquired infections, and a higher APACHE-II score upon admission.

**ORCID**

- Sushma Kirtikumar Gurav <https://orcid.org/0000-0001-6875-2071>
- Piyush Arvind Dhawad <https://orcid.org/0000-0001-7237-3963>
- Anand Mohanlal Tiwari <https://orcid.org/0000-0002-9791-8365>
- Abhijit Manikrao Deshmukh <https://orcid.org/0000-0001-5602-291X>
- Prasad Bhimrao Suryawanshi <https://orcid.org/0000-0001-7306-8434>
- Upendrakumar S Kapse <https://orcid.org/0000-0002-5279-4485>
- Prajakta Prakash Wankhede <https://orcid.org/0000-0002-3620-3390>
- Abhaya Pramodrao Bhojar <https://orcid.org/0000-0002-0460-3162>

- Ria Vishal Malhotra <https://orcid.org/0000-0002-1026-0274>
- Hrshikesh S Vaidya <https://orcid.org/0000-0002-8015-0898>
- Shameek Mukherjee <https://orcid.org/0009-0004-7471-9453>
- Rupali Suryawanshi <https://orcid.org/0000-0001-7954-7225>
- Subhal B Dixit <https://orcid.org/0000-0002-1441-0807>

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# Biomarkers of Gestational Diabetes Mellitus: Mechanisms, Advances, and Clinical Utility



Thyparambil Aravindakshan Pramodkumar<sup>1</sup>, Wesley Hannah<sup>2</sup>, Ranjit Mohan Anjana<sup>3</sup>, Uma Ram<sup>4</sup>, Mangesh Tiwaskar<sup>5</sup>, Kuppan Gokulakrishnan<sup>6</sup>, Polina V Popova<sup>7</sup>, Viswanathan Mohan<sup>8\*</sup>

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## ABSTRACT

Gestational diabetes mellitus (GDM) continues to pose a significant challenge to maternal and fetal health, driving the need for advanced diagnostic and therapeutic strategies. Biomarker discovery has proven essential for early detection, mechanistic insights, and targeted interventions. This review provides an in-depth examination of biomarkers related to GDM, focusing on glucose metabolism, insulin resistance, inflammatory signaling, adipokines, oxidative stress markers, and genetic/epigenetic determinants. We also evaluate novel biomarkers emerging from omics technologies and their translational potential in clinical practice. Additionally, we explore the role of microRNAs and extracellular vesicles as emerging biomarkers that could offer new perspectives on GDM pathophysiology. Integration of these biomarkers into predictive models holds the potential to improve risk assessment and patient health outcomes.

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## INTRODUCTION

Gestational diabetes mellitus (GDM), identified as glucose intolerance that arises or is first detected during pregnancy, impacts approximately 13% of pregnancies worldwide.<sup>1,2</sup> This definition overlooks the distinction that GDM does not include women previously diagnosed with type 1 or type 2 diabetes mellitus (T1D or T2D) prior to pregnancy, which is called “diabetes in pregnancy” (DIP), and this group is linked to higher rates of maternal and fetal complications.<sup>3</sup> FIGO recommends using the term “hyperglycemia in pregnancy” (HIP) to encompass both GDM and preexisting DIP.<sup>4</sup> In India, this prevalence is estimated to be between 15 and 18%, reflecting a growing public health challenge.<sup>5–8</sup> In Russia, GDM is also very frequent, with 13.6% of pregnant women estimated to have GDM.<sup>9</sup> GDM contributes significantly to adverse maternal and fetal outcomes.<sup>10–12</sup> GDM poses risks such as preeclampsia, fetal macrosomia, and long-term metabolic disturbances, including an increased predisposition to T2D in mothers.<sup>12–15</sup> Moreover, children of mothers with GDM are at a higher risk of developing obesity, T2D, and cardiovascular diseases (CVD) as they grow older.<sup>16,17</sup>

Addressing this issue effectively requires targeted interventions and best management strategies to reduce these risks and improve outcomes for both mothers and their children.

Several studies, such as the TOBOGM (Treatment of Booking Diabetes Mellitus) study,<sup>18</sup> the WINGS (Women in India with GDM Strategy) study,<sup>19,20</sup> and the STRIDE

(Stratification of Risk of Diabetes in Early pregnancy) study,<sup>21</sup> have provided valuable insights into screening, risk stratification, and management of GDM in India. The WINGS study demonstrates the effectiveness of structured screening programs and follow-up care in improving maternal and fetal outcomes, advocating for widespread implementation of GDM screening guidelines.<sup>22</sup> Additionally, the STRIDE study has been instrumental in providing a threshold for early pregnancy screening using HbA1c and routinely collected maternal data to identify a subgroup of women, in early pregnancy, who are at the highest risk of developing GDM. This has the potential to offer intervention to this subgroup for prevention.<sup>21</sup>

While these studies have enhanced screening and glycemic management strategies, there is still a need for more personalized approaches to treatment. The identification of reliable biomarkers is essential in addressing this gap, as these contribute to a better understanding of the pathophysiology of GDM and present opportunities for more focused therapeutic interventions.<sup>23,24</sup> An ideal biomarker for GDM would offer two primary benefits: it could significantly reduce unnecessary testing by efficiently identifying women at low risk, simplifying the prenatal care process. Additionally, it enables the early identification of women at higher risk, facilitating prompt intervention and targeted preventive measures. This review aims to consolidate current and emerging biomarkers of GDM (Table 1), emphasizing their mechanistic

roles and clinical relevance, with a particular focus on their implications in the Indian and Russian context.

## GLUCOSE METABOLISM MARKERS

### Fasting Plasma Glucose

Fasting plasma glucose (FPG) is a key component in diagnosing GDM, defining a threshold of  $\geq 92$  mg/dL for diagnosis, indicating impaired glucose homeostasis.<sup>25,26</sup> Elevated FPG levels, reflecting hepatic insulin resistance and increased glucose production, are central to the pathophysiology of GDM.<sup>27</sup> However, various studies suggest that the optimal FPG cut point may vary. For instance, the Hyperglycemia and Adverse Pregnancy Outcomes (HAPO) study identified increased risks of adverse outcomes at lower FPG cut points, leading to recommendations for a threshold of  $\geq 95$  mg/dL.<sup>12</sup> Additionally, multiple studies found that different cut points, such as  $\geq 90$  mg/dL and  $\geq 100$  mg/dL, were linked with varying risks of complications,<sup>28,29</sup> underscoring the need

<sup>1</sup>Department of Research Operation and Diabetes Complications; <sup>2</sup>Department of Epidemiology, Madras Diabetes Research Foundation; <sup>3</sup>Department of Diabetology, Madras Diabetes Research Foundation and Dr Mohan's Diabetes Specialities Centre; <sup>4</sup>Department of Obstetrics and Gynecology, Seethapathy Clinic and Hospital, Chennai, Tamil Nadu; <sup>5</sup>Shilpa Medical Research Centre, Mumbai, Maharashtra; <sup>6</sup>Department of Neurochemistry, National Institute of Mental Health and Neuro Sciences, Bengaluru, Karnataka, India; <sup>7</sup>World-Class Research Center for Personalized Medicine, Institute of Endocrinology, Almazov National Medical Research Centre, Saint Petersburg, Russia; <sup>8</sup>Chairman, Department of Diabetology, Madras Diabetes Research Foundation and Dr Mohan's Diabetes Specialities Centre, Chennai, Tamil Nadu, India; \*Corresponding Author

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**Table 1:** Biomarkers for GDM—timing, significance, and limitations

<i>Biomarker</i>	<i>Timing for test in GDM</i>	<i>Significance in GDM</i>	<i>Limitations</i>
<b>Preconception or early pregnancy</b>			
Genetic variants	Preconception or early pregnancy (genetic testing)	Can identify risk variants associated with GDM	Genetic predisposition alone does not predict GDM development; complex interaction with environment
Epigenetic modifications	Preconception or early pregnancy	Can show heritable changes in gene expression related to GDM	Experimental; not yet applicable in routine clinical practice
<b>Early pregnancy</b>			
Adiponectin	Early pregnancy	Low levels are associated with insulin resistance and GDM	Limited availability of assays; influenced by obesity and other metabolic conditions
Metabolomics	Early pregnancy	Profiles metabolic changes that may indicate risk for GDM	High cost; complex interpretation; still in research phase
Proteomics	Early pregnancy	Identifies protein markers that could predict GDM risk	Expensive; complex data analysis; not yet used in clinical practice
MicroRNAs	Early pregnancy	Potential biomarkers For GDM diagnosis and prognosis	Limited clinical use; requires further validation and standardization
Ficolin-3	Early pregnancy	A novel biomarker that may be linked to inflammation and insulin resistance in GDM	Early research; not validated for clinical use in GDM diagnosis or management
F2-isoprostanes	Early pregnancy	Sensitive marker of oxidative stress, may be elevated in GDM	High cost; difficult to standardize; nonspecific to GDM
<b>Early to mid-pregnancy</b>			
Insulin and C-peptide levels	Early to mid-pregnancy	Reflects insulin secretion and pancreatic beta-cell function	Variability in assays; not routinely used for diagnosis; limited by fasting and circadian changes
C-reactive protein	Early to mid-pregnancy	Marker of inflammation, which is elevated in GDM	Nonspecific marker of inflammation; elevated in other conditions
Leptin	Early to mid-pregnancy	Associated with insulin resistance and obesity, common in GDM	Influenced by body mass index and other metabolic conditions
Nesfatin-1	Early to mid-pregnancy	May regulate glucose metabolism and insulin sensitivity in GDM	Limited studies; unclear clinical utility in routine diagnosis
Fasting plasma glucose	Early pregnancy or at 24–28 weeks	Indicator of baseline glucose metabolism; commonly used for GDM diagnosis	May miss postprandial hyperglycemia; poor sensitivity in early GDM diagnosis
<b>Mid-pregnancy</b>			
Oral glucose tolerance test	24–28 weeks	Gold standard for diagnosing GDM based on glucose levels after a glucose challenge	Time-consuming; requires fasting; patient discomfort
<b>Any time in pregnancy</b>			
HOMA-IR	Any time in pregnancy	Assesses insulin resistance, which increases in GDM	Requires fasting insulin and glucose measurements; not routinely used in clinical practice
Malondialdehyde	Throughout pregnancy	Marker of oxidative stress, elevated in GDM	Not widely available; oxidative stress markers can be nonspecific
1,5-anhydroglucitol	Throughout pregnancy	Reflects short-term postprandial hyperglycemia; low levels indicate poor glucose control	Not commonly used in routine GDM diagnosis; expensive; not always correlated with other measures

for a multiparametric diagnostic approach. Integrating FPG with other measures, like the 75 gm oral glucose tolerance test (OGTT), enhances diagnostic accuracy and risk prediction for adverse maternal and fetal outcomes, including macrosomia and preeclampsia.<sup>30</sup> Therefore, a comprehensive approach that incorporates FPG alongside additional metabolic parameters ensures a more accurate assessment of glucose

intolerance and informs effective intervention strategies.

**Oral Glucose Tolerance Test**

This is a diagnostic test that involves a 1-hour ( $\geq 180$  mg/dL) and 2-hour ( $\geq 153$  mg/dL) postglucose values rather than a biomarker, used for assessing specific health conditions.<sup>25</sup> The OGTT is still considered the gold standard for GDM diagnosis, as it effectively evaluates

both insulin secretion and glucose metabolism over time. By assessing glucose levels at fasting, as well as 1-hour and 2-hour intervals following a 75 gm glucose load, the dynamic interplay between fasting and postprandial glucose excursions provides insights into beta-cell responsiveness and resistance to insulin in peripheral tissues. The glucose challenge-induced hyperglycemia reveals underlying impaired beta-cell function and insulin

resistance in the liver.<sup>31</sup> However, despite its utility, the OGTT has several drawbacks. One major limitation is the variability in glucose thresholds across different populations, complicating the establishment of a universal diagnostic standard.<sup>32,33</sup> Additionally, the test requires significant time (2–3 hours) and can cause discomfort due to nausea and vomiting, leading to poor patient compliance. Factors such as stress or illness can influence glucose readings, increasing the risk of false positives or negatives.<sup>34</sup>

### Glycated Hemoglobin

In the context of GDM, HbA1c has been explored for both diagnostic and prognostic purposes. Mechanistically, HbA1c formation is driven by the nonenzymatic glycation of hemoglobin, with higher glucose concentrations leading to increased glycation.

Despite the dynamic shifts in glucose metabolism during pregnancy, HbA1c is not typically utilized for the diagnosis of GDM. Recent advances have highlighted its potential utility in identifying women at risk of adverse pregnancy outcomes, such as preeclampsia and macrosomia.<sup>35</sup> A recent study revealed that early pregnancy HbA1c levels, either alone or in combination with factors such as age, BMI, and family history, were strongly linked to the risk of developing GDM between 24 and 28 weeks. The adjusted risk ratios were 1.60 (95% CI 1.19–2.16) in India, 3.49 (95% CI 2.80–4.34) in Kenya, and 4.72 (95% CI 3.82–5.82) in the UK. Using a composite risk score model could potentially decrease the reliance on OGTTs by 50–64%. The HbA1c thresholds for diagnosing or ruling out GDM varied across regions, with rule-in and rule-out values of 5.4 and 4.9% in India, 6.0 and 5.2% in Kenya, and 5.6 and 5.2% in the UK.<sup>21</sup>

Clinically, HbA1c offers a practical advantage as it does not require fasting, making it a convenient marker for follow-up assessments in GDM management.<sup>36</sup> However, its sensitivity in predicting GDM remains lower compared to traditional oral glucose tolerance tests.<sup>37,38</sup> Certain conditions, like hemoglobinopathies (e.g., thalassemias, Hb variants) and iron-deficiency anemia, can interfere with accurate HbA1c measurement, which is more prevalent in regions like India.<sup>39</sup> Further research is required to confirm its role in early pregnancy screening and risk assessment.

## INSULIN SENSITIVITY AND SECRETION MARKERS

### Homeostasis Model Assessment of Insulin Resistance

Homeostasis Model Assessment of Insulin Resistance (HOMA-IR) is a commonly used

method for estimating insulin resistance by integrating fasting insulin and glucose concentrations, providing a surrogate marker for hepatic insulin sensitivity. In the context of GDM, elevated HOMA-IR values are indicative of significant insulin resistance, a hallmark of the disorder. This increase in insulin resistance reflects impaired insulin signaling, specifically to the insulin receptor and its downstream signaling pathways, including the AKT/PKB cascade, which is critical for glucose uptake and glycogen synthesis.<sup>40</sup> Research has demonstrated that in women with GDM, HOMA-IR levels are significantly elevated compared to those in normoglycemic pregnancies, highlighting the disruption in insulin receptor function and its downstream metabolic effects.<sup>41</sup> This impairment is further exacerbated by pregnancy-induced hormonal changes, including elevated placental hormones such as human placental lactogen (hPL) and progesterone, which impair insulin resistance during pregnancy.<sup>42</sup> Research also suggests that HOMA-IR may predict not only the severity of GDM but also the likelihood of postpartum metabolic complications.<sup>43</sup> Given its noninvasive and cost-effective nature, HOMA-IR is a valuable tool for assessing insulin resistance in GDM at any time during pregnancy, although its limitations, such as reliance on fasting measures alone, necessitate careful interpretation in clinical settings.

### Insulin and C-Peptide Concentrations in Gestational Diabetes Mellitus

Elevated insulin and C-peptide levels in individuals with GDM reflect a compensatory response of the pancreatic beta cells to increased insulin resistance, a defining characteristic of GDM. Normally, insulin and C-peptide are released together from pancreatic beta cells in equal amounts, but C-peptide is considered a more reliable marker of endogenous insulin secretion because it has a longer half-life.<sup>44</sup> In GDM, insulin resistance rises significantly during the second and third trimesters, largely due to placental hormones like human placental lactogen (hPL), progesterone, and cortisol, which interfere with insulin's effects on target tissues.<sup>45</sup> As insulin resistance worsens, beta cells initially respond by ramping up insulin production to compensate, leading to elevated insulin and C-peptide concentrations in circulation.<sup>46</sup> However, in late pregnancy, the disproportionate rise in C-peptide compared to insulin suggests potential alterations in insulin clearance or changes in the kinetics of insulin secretion.<sup>47</sup> This variation might be attributed to enhanced hepatic insulin clearance, leading to elevated levels of circulating C-peptide in comparison

to insulin. Furthermore, elevated C-peptide levels are often seen as a marker of beta-cell stress, indicating that while beta cells are compensating for insulin resistance, they are also under considerable strain, potentially leading to beta-cell dysfunction if this compensatory mechanism fails. From a pathophysiological perspective, the persistence of elevated C-peptide and insulin levels in GDM individuals suggests that while there is compensatory hyperinsulinemia, it is not always sufficient to address the insulin resistance, particularly in the postprandial state. This disruption in glucose regulation leads to hyperglycemia and increases the risk of negative maternal and fetal outcomes, such as macrosomia and neonatal hypoglycemia.<sup>48</sup> Moreover, understanding these shifts in insulin and C-peptide dynamics is critical for evaluating beta-cell reserve and functional capacity in GDM, which can have implications for long-term metabolic health. Monitoring both insulin and C-peptide levels, therefore, provides important insight into the underlying pathophysiology of GDM and its potential progression to future metabolic disorders.

### Sex Hormone Binding Globulin

Sex hormone binding globulin (SHBG) has gained recognition as an early biomarker for GDM.<sup>49</sup> Low levels of SHBG in early pregnancy have consistently been linked to a higher risk of developing GDM during the 24–28-week gestational period. This association suggests that SHBG could serve as an early marker for GDM risk.<sup>50</sup> SHBG is crucial in regulating insulin sensitivity and glucose metabolism, with reduced levels reflecting insulin resistance, a defining characteristic of GDM.<sup>51</sup> Various studies have shown that combining early pregnancy SHBG measurements with other risk factors improves the prediction of GDM.<sup>52</sup> This composite risk scoring model can significantly reduce the need for OGTTs, especially in resource-limited settings, by stratifying women into risk categories. SHBG thresholds for diagnosing GDM vary across populations, and its sensitivity adds to its limitation,<sup>53</sup> but its inclusion in screening strategies provides a simple, reproducible, and efficient method for identifying women at high risk, enabling earlier interventions to enhance both maternal and fetal outcomes.

### Quantitative Insulin Sensitivity Check Index

Quantitative insulin sensitivity check index (QUICKI) serves as an important indicator for evaluating insulin sensitivity in the context of GDM. QUICKI is calculated from fasting insulin and glucose levels and offers a dependable measure of insulin sensitivity,

which is frequently diminished in women with GDM.<sup>54</sup> Research indicates that lower QUICKI values during early pregnancy are predictive of GDM onset, as they signify underlying insulin resistance, a central factor in the pathophysiology of GDM.<sup>41</sup> When used alongside insulin secretion markers such as fasting insulin and C-peptide, QUICKI can improve the identification of women at high risk for developing GDM.<sup>55</sup> Its simplicity and efficiency make it a useful tool for early screening, especially in low-resource settings, reducing the dependence on more burdensome tests like the OGTT. Incorporating QUICKI into a composite risk score can also improve the prediction of adverse pregnancy outcomes related to GDM.

## URINARY MARKERS

### Urinary L-Tryptophan

Urinary L-tryptophan excretion has gained attention in the context of metabolic disorders, including GDM.<sup>56</sup> The urinary excretion of L-tryptophan and its metabolites, such as kynurenine, reflects disturbances in tryptophan metabolism, which are often linked to inflammatory and oxidative stress pathways.<sup>57</sup> In GDM, altered tryptophan metabolism could play a role in the development of insulin resistance and disrupted glucose regulation, with increased excretion potentially indicating a dysregulation in the kynurenine pathway.<sup>58</sup> This dysregulation can enhance oxidative stress and immune activation, further exacerbating the metabolic disturbances observed in GDM. Through targeted and untargeted metabolomic analysis, the integration of both plasma and urine metabolites enhanced the accuracy of GDM prediction, with an AUC of 0.99.<sup>59</sup> Monitoring urinary L-tryptophan and its metabolites could thus serve as a noninvasive biomarker for assessing metabolic stress and insulin resistance in pregnant women.

### L-Urobilinogen

L-urobilinogen, a bile pigment derivative formed from the breakdown of hemoglobin, has been explored as a potential indicator in numerous metabolic disorders, including GDM. Its presence in urine reflects liver function and gut microbial activity, both of which can be affected by metabolic changes during pregnancy.<sup>59</sup> In GDM, altered glucose metabolism may influence liver function, potentially leading to elevated levels of L-urobilinogen.<sup>60</sup> Additionally, the dysbiosis of gut microbiota, commonly observed in GDM, could impact urobilinogen metabolism.<sup>61</sup> Increased urinary L-urobilinogen levels may

thus serve as an indicator of hepatic or gut-related metabolic disturbances in GDM, presenting a possible noninvasive biomarker for the early diagnosis and monitoring of the disease. Additional research is needed to explore its precise role and diagnostic significance in the pathology of GDM.

### Ceramides

Ceramides, a class of bioactive sphingolipids, have been implicated in insulin resistance and inflammation,<sup>62</sup> both of which are key features of GDM. Recent studies suggest that urinary ceramide levels may reflect underlying metabolic disturbances in GDM, as ceramides play a crucial role in lipid metabolism, cell signaling, and apoptosis. Elevated urinary ceramide levels in GDM individuals may indicate disrupted lipid homeostasis and play a role in the development of insulin resistance, a common feature of GDM.<sup>59</sup> Additionally, ceramides are linked to oxidative stress and inflammation, which further exacerbate glucose intolerance during pregnancy.<sup>63</sup> Measuring ceramide levels in urine may serve as a noninvasive biomarker for the early identification and progression of GDM, providing perspectives into the pathophysiology of the disease and prospective treatment targets for managing metabolic dysfunction in pregnant women. Further investigations are necessary to validate the diagnostic utility of urinary ceramides in GDM.

### 21-Deoxycortisol

21-deoxycortisol, a steroid intermediate in the biosynthesis of cortisol, has been studied in various metabolic and endocrine disorders.<sup>64</sup> In GDM, the hormonal and metabolic environment is significantly altered, often leading to disruptions in the hypothalamic-pituitary-adrenal (HPA) axis.<sup>65</sup> This disruption may result in abnormal levels of steroid intermediates like 21-deoxycortisol. Elevated levels of 21-deoxycortisol could indicate impaired steroidogenesis or dysregulation of adrenal function, both of which are relevant to the insulin resistance and glucose intolerance seen in GDM.<sup>66</sup> A recent study revealed that women who later developed GDM had elevated levels of 21-deoxycortisol during the first trimester, compared to those who did not develop the condition. The study's GDM prediction model, which incorporated multiple variables including 21-deoxycortisol, demonstrated a high specificity of 96.6% and sensitivity of 97.5%, indicating that early risk estimation based on these markers could provide an effective tool for preventing and managing GDM.<sup>67</sup> As a biomarker, 21-deoxycortisol in urine or plasma may

provide insight into the hormonal imbalance characteristic of GDM, potentially offering a tool for early detection and risk stratification. Additional research is required to establish the significance of 21-deoxycortisol in the pathophysiology of GDM and its clinical utility as a diagnostic marker.

### Cucurbitacin-C and Aspartame

Cucurbitacin-C is recommended during pregnancy due to its medicinal properties, and aspartame, a nonnutritive sweetener, has also been noted in dietary patterns. As urine composition is largely affected by dietary intake, analyzing maternal urine can help detect shifts in dietary patterns. A study found that aspartame and cucurbitacin-C were among the metabolites dysregulated in the urine of pregnant women with GDM.<sup>59</sup> However, these markers are not highly specific, and additional research is required to gain a more comprehensive understanding of the implications of these results.

## INFLAMMATORY MARKERS

### C-Reactive Protein

C-reactive protein (CRP), a widely recognized systemic marker of inflammation, has garnered significant attention for its role in the development of GDM. Increased CRP levels are frequently observed in individuals diagnosed with GDM, reflecting subclinical inflammation that parallels the metabolic and hormonal changes occurring during pregnancy. This inflammatory state is not just a consequence of metabolic dysfunction but may actively contribute to it, creating a feedback loop that increases insulin resistance, a hallmark feature of GDM.<sup>68</sup> The relationship between CRP and GDM involves multiple complex mechanisms, particularly at the molecular level. CRP serves as an indicator of heightened immune activation, signifying systemic inflammation that impacts vascular function. A major pathway involved in this process is the activation of nuclear factor-kappa B (NF- $\kappa$ B), an essential transcription factor that controls the expression of various pro-inflammatory cytokines.<sup>69</sup> In GDM, NF- $\kappa$ B activation triggers the release of inflammatory mediators like tumor necrosis factor-alpha (TNF- $\alpha$ ), interleukin-6 (IL-6), and interleukin-1 $\beta$  (IL-1 $\beta$ ), all of which contribute to insulin resistance and endothelial dysfunction.<sup>70,47</sup> CRP, through its interaction with endothelial cells, promotes vascular inflammation, oxidative stress, and dysregulation of insulin signaling pathways. This pro-inflammatory environment enhances insulin resistance, making glucose regulation more challenging for the mother and increasing the risk of

adverse pregnancy outcomes. The risk of unfavorable pregnancy outcomes may increase for the mother.<sup>71</sup> However, the specificity of CRP as a diagnostic tool is limited, as it reflects generalized inflammation and is elevated in various conditions.<sup>72</sup> Nonetheless, its role in the inflammatory cascade central to GDM underscores the importance of targeting inflammation in therapeutic interventions.

### Interleukin-6 and Tumor Necrosis Factor-Alpha

The pro-inflammatory cytokines interleukin-6 (IL-6) and tumor necrosis factor-alpha (TNF- $\alpha$ ) are critical contributors to the impairment of insulin signaling in GDM. These cytokines promote insulin resistance by inducing serine phosphorylation of insulin receptor substrates (IRS), particularly IRS-1, which impairs the downstream signaling necessary for insulin action.<sup>27,73</sup> This disruption of insulin pathways is a core feature of GDM and exacerbates glucose intolerance. Increased levels of these cytokines in GDM point to a chronic low-grade inflammatory condition, often referred to as "meta-inflammation."<sup>74</sup> This inflammation also impairs adipose tissue function, which leads to the elevated release of free fatty acids, altered adipokine secretion, and lipotoxicity—all contributing to further insulin resistance and metabolic dysregulation. The dysfunction of adipose tissue further perpetuates the inflammatory cycle, exacerbating metabolic impairment.<sup>75</sup> This chronic inflammatory state not only worsens insulin resistance during pregnancy but may also have lasting implications, increasing the likelihood of cardiovascular complications in both the mother and her offspring.<sup>71</sup> Early interventions targeting inflammatory pathways could potentially mitigate these risks, leading to improved outcomes for women with GDM and their children. These interventions may also contribute to reducing the long-term metabolic consequences associated with GDM, such as the risk of developing type 2 diabetes in the mother.

## ADIPOKINES

### Leptin

Leptin, an adipokine primarily produced by adipose tissue, plays a crucial role in regulating body weight by controlling appetite and energy expenditure through its central actions on the hypothalamus. However, its influence extends far beyond appetite regulation.<sup>76</sup> In GDM, elevated leptin levels are often observed, but they are typically accompanied by a diminished physiological response, a condition known as leptin resistance. This resistance impairs the

hypothalamic regulation of energy balance, resulting in increased food consumption and decreased energy expenditure, both of which can exacerbate insulin resistance.<sup>77</sup> Leptin's role in glucose metabolism is also significant. It directly influences insulin sensitivity in peripheral tissues such as skeletal muscle, liver, and adipose tissue, enhancing glucose uptake and utilization. However, in leptin resistance, these beneficial actions are reduced, leading to increased blood glucose levels and insulin resistance.<sup>78</sup> Moreover, leptin has an impact on the hypothalamic-pituitary axis, which regulates hormones involved in glucose homeostasis. Leptin resistance disrupts this delicate hormonal balance, further impairing glucose metabolism and contributing to the development of GDM.<sup>79</sup> Given leptin's multifaceted role in energy homeostasis and glucose regulation, it is a critical factor in the metabolic disturbances observed in GDM. Leptin's involvement in both central and peripheral processes affecting insulin sensitivity and glucose metabolism underscores its potential as a target for therapeutic interventions in GDM. Continued research is necessary to explore leptin's role further, as understanding its exact mechanisms and therapeutic potential could offer new approaches to managing GDM and reducing the risk of its long-term complications.<sup>80</sup>

### Nesfatin-1

Nesfatin-1, a novel adipokine, has gained significant attention for its diverse metabolic functions, particularly its role in regulating energy balance, maintaining glucose homeostasis, and enhancing insulin sensitivity. Initially discovered in the hypothalamus as a key regulator of satiety, nesfatin-1 has since been found to be expressed in several peripheral tissues, including adipose tissue, the pancreas, and the gastrointestinal tract.<sup>81</sup> This broader expression suggests that nesfatin-1 has systemic effects on metabolism, beyond just controlling hunger. In the context of GDM, emerging research indicates that nesfatin-1 plays a critical role in glucose metabolism.<sup>82</sup> Studies have shown that nesfatin-1 improves insulin sensitivity and enhances glucose uptake in peripheral tissues, which is essential for maintaining glucose balance.<sup>83</sup> Recent reports<sup>84-86</sup> have found that circulating nesfatin-1 levels are significantly lower in women with GDM, correlating with increased insulin resistance and dysregulated glucose metabolism. This pattern suggests that nesfatin-1 may be an important marker or even a potential therapeutic target in GDM management. Our recent study on nesfatin-1 demonstrated similar findings, where reduced

levels of nesfatin-1 were associated with insulin resistance in GDM. This further underscores the role of nesfatin-1 in regulating glucose metabolism during pregnancy. Given its ability to modulate insulin sensitivity and glucose uptake, nesfatin-1 could hold promise not only as a biomarker for early detection of GDM but also as a potential therapeutic agent to improve glucose regulation and insulin sensitivity in affected women. These findings highlight the need for further investigation into the precise mechanisms by which nesfatin-1 influences metabolic processes in GDM, and how its modulation could offer new avenues for managing the condition.<sup>84</sup>

Additionally, nesfatin-1 has demonstrated anti-inflammatory properties, which may help mitigate the chronic low-grade inflammation characteristic of GDM.<sup>87</sup> These results indicate that nesfatin-1 could be a potential biomarker and therapeutic target for the management of GDM, warranting further investigation into its mechanistic pathways and clinical implications.

### Adiponectin

Adiponectin, a key adipokine secreted by adipocytes, plays an essential role in modulating insulin sensitivity and exerting anti-inflammatory effects.<sup>88,89</sup> In GDM, low adiponectin levels are frequently observed, reflecting impaired adipocyte function and reduced insulin sensitization.<sup>90</sup> This reduction in adiponectin is particularly concerning given its metabolic benefits, such as enhancing glucose uptake and fatty acid oxidation, as well as inhibiting hepatic gluconeogenesis, which collectively help maintain metabolic balance.<sup>91</sup> Multiple studies have indicated that reduced adiponectin levels in GDM are linked to higher insulin resistance and a greater likelihood of progressing to T2D after pregnancy.<sup>92,93</sup> Research also suggests that the reduction in adiponectin levels in GDM may be linked to hypertrophied adipocytes, which become dysfunctional in the setting of obesity and insulin resistance. These hypertrophied adipocytes exhibit a dysregulated secretion of adiponectin, further exacerbating insulin resistance and contributing to metabolic imbalances.<sup>27</sup> A study conducted by Retnakaran et al.<sup>94</sup> revealed that women with GDM exhibited significantly reduced levels of circulating adiponectin when compared to healthy pregnant women, and this was linked with impaired glucose tolerance and elevated insulin resistance. Another study by Pfeiffer et al.<sup>95</sup> highlighted that adiponectin levels in mid-pregnancy could help predict the onset of GDM, underscoring its role as an early biomarker for metabolic disturbances

in pregnancy. Adiponectin are inflammatory markers which may increase due to proinflammatory state. They gain importance only if they have predictive ability in early/prepregnancy singly or in combination. Thus, adiponectin serves as a valuable marker for assessing metabolic health in GDM and could offer potential avenues for early diagnosis and therapeutic intervention to improve maternal and fetal outcomes.

### Resistin

Resistin, an adipokine primarily secreted by adipose tissue, has garnered growing attention for its potential role in the pathophysiology of GDM.<sup>96</sup> It is recognized as a contributor to insulin resistance, a defining characteristic of GDM. Research has shown that pregnant women with GDM exhibit significantly elevated levels of HOMA-IR, resistin, IL-6, and TNF-alpha, while adiponectin levels are notably reduced compared to those in healthy pregnant women.<sup>97</sup> Elevated resistin levels during the first trimester (hyperresistinemia) were strongly linked to a higher likelihood of developing GDM later in pregnancy.<sup>98</sup> Resistin demonstrated a high predictive value for GDM, with an AUC of 0.836, indicating its potential as a reliable early marker for identifying women at risk of developing the condition. However, the precise mechanisms connecting resistin to GDM are still not well understood, and additional research is required to clarify its exact function and potential as a biomarker or therapeutic target in managing GDM.

### Visfatin, Omentin-1, and Ghrelin

Visfatin, omentin-1, and ghrelin are increasingly being recognized as important biomarkers in the context of GDM.<sup>99,100</sup> Visfatin, produced by visceral adipose tissue, is believed to affect glucose metabolism by exerting insulin-like effects. Increased levels in GDM have been associated with greater insulin resistance and metabolic dysregulation.<sup>101</sup> A meta-analysis revealed that omentin-1, an adipokine with anti-inflammatory and insulin-sensitizing effects, is generally decreased in individuals with GDM. Its reduced levels are linked to impaired glucose regulation, increased insulin resistance, and chronic inflammation, all of which play a role in the development of GDM.<sup>102</sup> Ghrelin, commonly known as the "hunger hormone," regulates appetite and energy homeostasis, and its levels tend to be dysregulated in GDM.<sup>103</sup> A study found that pregnant women with GDM and T2D exhibited significantly reduced ghrelin levels in comparison to healthy women ( $p < 0.001$ ). Additionally, maternal proinsulin levels were lower in those with GDM ( $p < 0.001$ ).<sup>104</sup> Preterm

births in GDM showed higher maternal ghrelin ( $p = 0.031$ ) and lower neonatal proinsulin ( $p = 0.033$ ). These adipokines collectively reflect the intricate metabolic and hormonal alterations that occur in GDM, and their combined measurement may offer enhanced predictive value, enabling earlier intervention and better management strategies to improve maternal and fetal outcomes.

## OXIDATIVE STRESS MARKERS

### Malondialdehyde

Malondialdehyde (MDA) is a by-product of lipid peroxidation, commonly used as a marker for oxidative stress.<sup>105</sup> Elevated MDA levels have been observed in GDM, highlighting the critical role that oxidative stress plays in the development of this condition.<sup>106</sup> Increased oxidative damage not only contributes to the interruption of normal insulin signal transduction pathways but also worsens insulin resistance in critical metabolic tissues like the liver and skeletal muscle. The accumulation of MDA indicates heightened lipid peroxidation, which is linked to cellular stress and damage, further worsening the metabolic imbalances seen in GDM.<sup>107</sup> Studies have shown that oxidative stress impairs mitochondrial function in insulin-sensitive tissues, reducing their capacity for efficient glucose uptake and energy production.<sup>108</sup> Mitochondrial dysfunction disrupts the balance between reactive oxygen species (ROS) generation and the body's antioxidant defenses, triggering pro-inflammatory pathways that exacerbate insulin signaling impairment. The detrimental cycle of oxidative stress, mitochondrial dysfunction, and insulin resistance is a key contributor to the progression of GDM.<sup>109</sup> Lappas et al.<sup>110</sup> demonstrated that women with GDM exhibited significantly higher levels of MDA compared to healthy pregnant women, indicating that oxidative stress may play a key role in the metabolic dysfunction associated with pregnancy. As a result, addressing oxidative stress and mitochondrial dysfunction could present promising therapeutic strategies for enhancing insulin sensitivity and alleviating the negative metabolic effects of GDM.

### F2-Isoprostanes

Endothelial dysfunction, mediated by oxidative stress, plays a crucial role in disrupting vascular homeostasis, which is essential for maintaining proper insulin signaling and glucose uptake.<sup>111</sup> The rise in F2-isoprostanes mirrors the oxidative damage to cell membranes and the resultant inflammatory responses that aggravate insulin

resistance in GDM.<sup>112</sup> Studies have shown a strong link between increased F2-isoprostane levels and worsened insulin sensitivity, linking oxidative damage to metabolic dysfunction.<sup>113</sup> Furthermore, the heightened oxidative stress reflected by F2-isoprostanes is linked with increased risk in pregnancy outcomes, making them a potential biomarker for early detection and intervention in GDM.<sup>105</sup> By measuring F2-isoprostanes, researchers and clinicians can better understand the oxidative stress burden in GDM, offering insights into the molecular mechanisms underlying its progression and potential therapeutic strategies to mitigate its effects.

## PLACENTA-DERIVED MARKERS

Placenta-derived markers are essential for assessing both maternal and fetal health, particularly in complicated pregnancies such as those involving GDM and preeclampsia.<sup>114</sup> Follistatin-like 3 (FSTL3), a glycoprotein primarily expressed in the placenta, regulates several signaling pathways, including those of activin and myostatin, which are essential for placental development and function.<sup>115</sup> Studies have shown altered FSTL3 levels in pregnancies complicated by GDM and preeclampsia, suggesting its role in placental dysfunction.<sup>116</sup> Similarly, placental growth factor (PlGF) is vital for angiogenesis and vascular development in pregnancy.<sup>117</sup> Decreased levels of PlGF are strongly associated with placental insufficiency and are widely used as a marker for predicting preeclampsia.<sup>118</sup> These markers are critical for understanding the pathophysiology of pregnancy complications and can serve as potential therapeutic targets. Additionally, emerging markers such as placental exosomes, afamin, and fetuin-A provide further insight into placental biology.<sup>119,120</sup> Placental exosomes, which are extracellular vesicles released into the maternal circulation, play key roles in immune modulation and metabolic regulation during pregnancy.<sup>121</sup> Afamin, a vitamin E-binding glycoprotein, has been found to be elevated in cases of GDM, reflecting changes in metabolic and oxidative stress pathways. A meta-analysis showed that pregnant women with GDM had significantly elevated plasma afamin levels during the first trimester (SMD = 0.481, 95% CI: 0.280–0.682), but this difference was not observed in the later stages of pregnancy. In women with preeclampsia, afamin levels were elevated across all trimesters, with the highest levels observed in the second/third trimesters (SMD = 0.904, 95% CI: 0.570–1.239).<sup>122</sup> Fetuin-A, another glycoprotein, is involved in insulin resistance and inflammation, making it a

relevant marker in pregnancies affected by diabetes.<sup>123</sup> A study found that women who developed GDM had significantly reduced levels of maternal fetuin-A during the first trimester compared to those who did not (AUC = 0.337,  $p = 0.013$ ). The optimal cutoff value for fetuin-A in predicting GDM was identified as <166 mg/dL. Additionally, a significant inverse correlation was found between fetuin-A and hs-CRP levels ( $r = -0.21$ ,  $p = 0.047$ ).<sup>124</sup> Moreover, fibroblast growth factors-21 (FGF-21) and FGF-23 are involved in metabolic processes and have been linked to altered placental function.<sup>125</sup> Ficolin-3, a protein involved in the innate immune response, has also been linked to the development of preeclampsia. In preeclamptic patients, plasma ficolin-2 and ficolin-3 levels were significantly lower compared to healthy pregnant (ficolin-2: 3.1  $\mu\text{g/mL}$ , ficolin-3: 17.6  $\mu\text{g/mL}$ ) and nonpregnant women (ficolin-2: 3.7  $\mu\text{g/mL}$ , ficolin-3: 18.2  $\mu\text{g/mL}$ ). Ficolin-2 levels showed a positive correlation with PlGF and an inverse correlation with sFlt-1, endothelial injury markers, and trophoblast debris,<sup>126</sup> highlighting the interplay between immune regulation and placental health. Collectively, these placenta-derived markers provide broad understanding of the multifaceted roles performed by the placenta in maintaining pregnancy and the potential disruptions caused by metabolic and vascular complications.

## EMERGING BIOMARKERS

### Genetic and Epigenetic Markers

#### Genetic Variants

Multiple genetic variants have been strongly linked to the development of GDM. Notably, polymorphisms in the TCF7L2 (transcription

factor 7-like 2) and PPARG (peroxisome proliferator-activated receptor gamma) genes are among the most studied (Fig. 1).<sup>127</sup> TCF7L2 is essential for regulating insulin secretion and maintaining glucose homeostasis, with gene variants frequently associated with decreased insulin secretion and an elevated risk of GDM.<sup>128</sup> PPARG is central to adipocyte differentiation and insulin sensitivity, and its variants have been associated with impaired insulin action, contributing to the metabolic dysregulation seen in pregnancy.<sup>129</sup> These findings underscore the genetic predisposition to GDM, particularly in individuals carrying risk alleles that impair beta-cell function and insulin signaling pathways. Beyond TCF7L2 and PPARG, other loci such as MTNR1B (melatonin receptor 1B), GCK (glucokinase), and IRS1 (insulin receptor substrate 1) have also been linked to GDM, further reinforcing the role of genetic predisposition in the disease.<sup>130</sup> Collectively, these genetic variants may interact with environmental factors such as nutrition, body mass, and physical activity levels, exacerbating the susceptibility to metabolic dysregulation during pregnancy. In three recent studies conducted by Kanthimathi et al.,<sup>131</sup> the genetic susceptibility to GDM was explored in a South Indian population, uncovering key associations with several gene variants. The initial study discovered two single nucleotide polymorphisms (SNPs) in the CDKAL1 gene, rs7754840 and rs7756992, which were associated with an elevated risk of developing GDM, with corresponding odds ratios of 1.34 and 1.45, respectively. The second study<sup>132</sup> focused on variations in the hexokinase domain containing 1 (HKDC1) gene, identifying that rs10762264 and rs4746822 were linked to a

1.24- and 1.34-fold increased risk of GDM. A third study<sup>133</sup> investigated gene variants associated with T2D and found that variants in HMG20A (rs7178572) and HNF4A (rs4812829), previously connected to T2D, also conferred significant risk for GDM, with risk alleles increasing susceptibility by 1.24 and 1.28 times, respectively, and up to 1.97 times when carrying two risk genotypes. These studies collectively highlight the shared genetic foundations of T2D and GDM in South Asians, providing valuable understanding into the genetic factors contributing to GDM susceptibility in this population.

In Russia, Popova et al.<sup>134</sup> conducted a study looking at the effect of gene-lifestyle interactions on GDM risk. They found that the association between certain lifestyle factors, such as sausage consumption, and GDM risk was influenced by genetic susceptibility loci. Specifically, they discovered an interaction between sausage consumption and the number of risk alleles in MTNR1B (rs10830963) and GCK (rs1799884), suggesting that dietary habits may differentially impact GDM risk based on genetic background. These results highlight the significance of taking both genetic and lifestyle factors into account when evaluating the risk of GDM. Identifying these genetic markers provides an opportunity for personalized risk evaluation and targeted interventions for those at elevated risk of developing GDM. Apart from studying associations of SNPs with GDM, several genome-wide association studies (GWAS) have focused specifically on exploring the genetic factors associated with GDM.<sup>135</sup> These studies have confirmed the associations with GDM of previously linked to T2D genes MTNR1B, TCF7L2, CDKAL1, and CDKN2A-CDKN2B, along with MTNR1B exhibiting the highest significance. Additionally, a recent study by Zhen et al. revealed 14 novel loci that were significantly associated with four commonly measured glycemic traits.<sup>136</sup>

#### Epigenetic Modifications

Epigenetic changes, especially DNA methylation and histone modifications, control the expression of crucial genes responsible for glucose metabolism, insulin sensitivity, and inflammation. DNA methylation refers to the addition of methyl groups to cytosine residues in the DNA sequence, leading to gene silencing or altered gene expression, while histone modifications affect chromatin structure and gene accessibility.<sup>137</sup> Environmental factors like maternal obesity, diet, and intrauterine exposures during pregnancy can trigger these epigenetic changes. Research has shown that pregnant women with GDM exhibit specific

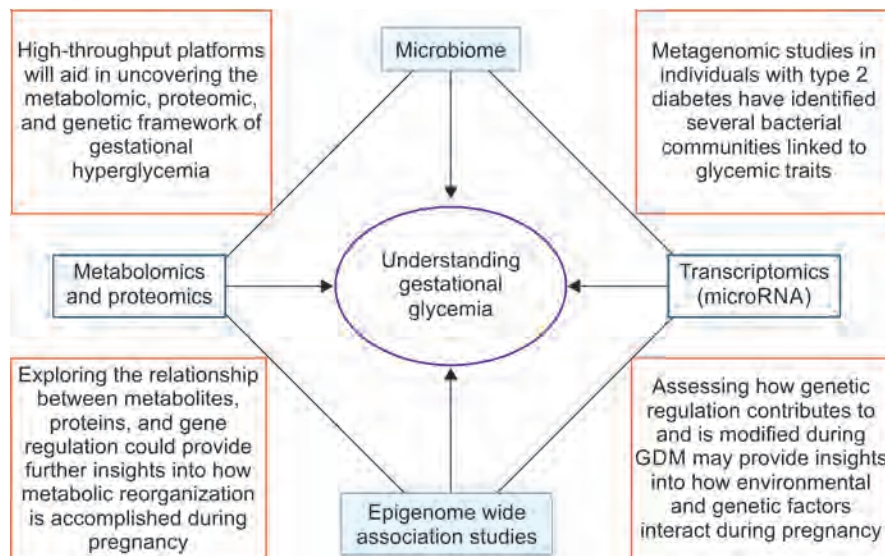


Fig. 1: Biomarkers to understand the disease biology of GDM

epigenetic profiles, with altered methylation patterns in genes associated with glucose transport (e.g., SLC2A4 encoding GLUT4) and insulin signaling (e.g., IGF2 and LEP).<sup>138</sup> Epigenetic changes resulting from GDM can extend well beyond pregnancy, potentially contributing to the higher likelihood of metabolic disorders in the offspring of affected mothers. This suggests that these epigenetic modifications may not only impact the mother's metabolism but also increase her children's susceptibility to conditions like insulin resistance and obesity in the future. According to a study conducted by Popova et al.,<sup>139</sup> examining genomic expression in the human umbilical vein endothelial cells (HUVECs) from newborns showed an elevated leptin-to-adiponectin ratio. Additionally, newborns of mothers with GDM show a reduced expression of angiopoietin-like protein 4 in their umbilical cord blood when compared to those from the control group. Achieving target glycemic levels was associated with the normalization of the elevated leptin-to-adiponectin ratio in the umbilical cord blood. In another study by the same authors,<sup>140</sup> a correlation between TRIB1 gene expression in HUVECs and the duration of intrauterine hyperglycemia exposure was observed. It is known that the TRIB1 gene affects plasma lipid concentrations and is associated with the risk of developing ischemic heart disease. Epigenetic alterations have the potential to function as biomarkers for the early detection of GDM and may also present novel targets for therapeutic intervention.<sup>141</sup> Gokulakrishnan et al.<sup>142</sup> presented their findings at the 60th Annual Meeting of the European Association for the Study of Diabetes (EASD), where they highlighted that DNA methylome profiling identified a set of seven CpG sites with strong predictive value for GDM in Indian women. The CpGs identified from first-trimester blood samples were found to be significantly hypermethylated in women who subsequently developed GDM compared to those who maintained normal glucose levels. The study employed machine learning classifiers to validate the predictive power of these CpGs, achieving high sensitivity (92%) and specificity (86%) in early GDM detection (unpublished data). The CpGs were also correlated with glucose levels and linked to pathways such as insulin resistance, AGE-RAGE signaling, and Th1/Th2 cell differentiation, highlighting their potential utility in GDM prevention and personalized treatment strategies. The reversibility of some epigenetic changes holds promise for interventions that could mitigate the risk of GDM and its long-term effects on offspring health, emphasizing the importance of understanding both

genetic and epigenetic contributions to GDM pathophysiology.<sup>143</sup>

#### MicroRNAs

MicroRNAs (miRNAs) are short, noncoding RNA molecules that control gene expression at the posttranscriptional level and have been increasingly recognized for their role in metabolic diseases, including GDM. MicroRNA profiling in women with GDM has identified dysregulated miRNAs involved in insulin sensitivity, glucose metabolism, and inflammatory pathways.<sup>144</sup> For example, miRNAs like miR-29a, miR-222, and miR-330 have been found to be dysregulated in GDM, possibly influencing insulin signaling and inflammatory pathways.<sup>145</sup> These miRNAs may serve as upstream regulators of gene expression changes that contribute to the metabolic derangements observed in GDM. Beyond their role in disease development, miRNAs show potential as biomarkers for GDM. Their stability in bodily fluids such as blood and urine makes them attractive candidates for noninvasive testing. Targeting specific miRNAs could also represent a novel therapeutic strategy for improving insulin sensitivity and reducing inflammation in GDM.

#### Metabolomics

Advances in metabolomics have provided new insights into the metabolic alterations associated with GDM. Metabolomic profiling allows for an in-depth examination of small molecules and metabolites present in biological samples, offering a snapshot of metabolic changes in response to physiological states such as pregnancy. In GDM, studies have revealed disruptions in amino acid metabolism. Elevated levels of branched-chain amino acids (BCAAs), such as leucine, isoleucine, and valine, have been observed in women diagnosed with GDM.<sup>146</sup> These elevated levels may reflect impaired insulin sensitivity, as BCAAs have been shown to influence insulin signaling pathways. Furthermore, alterations in lipid metabolism have also been observed, with elevated levels of specific ceramides and triglycerides indicating lipid dysregulation in GDM.<sup>147</sup>

These metabolomic signatures not only reflect the metabolic stress of pregnancy but also suggest potential early biomarkers for GDM risk. For example, a unique metabolic profile characterized by elevated levels of acylcarnitines, fatty acids, and amino acids has been linked to a higher risk of GDM, even before clinical diagnosis.<sup>148</sup> This suggests that metabolomic profiling could be used for early screening and risk stratification in pregnant women, enabling preventive strategies to mitigate the progression of GDM.

#### Proteomics

Proteomics has emerged as a powerful tool for understanding the molecular mechanisms underlying GDM by identifying protein dysregulation in plasma and placental tissues. Proteomic analyses have uncovered significant alterations in proteins involved in insulin signaling, inflammation, and oxidative stress. For instance, proteins associated with insulin resistance, such as insulin receptor substrate 1 (IRS1) and glucose transporter type 4 (GLUT4), exhibit altered expression in GDM, providing insights into the mechanisms driving hyperglycemia in pregnancy.<sup>149</sup> Placental proteomics has also revealed changes in proteins involved in nutrient transport, mitochondrial function, and cellular stress responses, shedding light on how GDM affects placental function and, consequently, fetal development.<sup>150</sup> These proteomic discoveries enhance our knowledge of GDM pathophysiology and present promising biomarkers for early diagnosis and potential therapeutic targets.

#### 1,5-Anhydroglucitol (1,5-AG) and Fructosamine

1,5-AG is a naturally occurring polyol that has gained recognition as a potential biomarker for short-term glycemic control.<sup>151,152</sup> 1,5-AG levels are typically lower due to hyperglycemia. Elevated glucose levels interfere with the renal reabsorption of 1,5-AG, resulting in greater urinary excretion,<sup>153</sup> particularly in the late stages of pregnancy when postprandial hyperglycemia becomes more pronounced.<sup>154</sup> Given its sensitivity to short-term fluctuations in glucose levels, 1,5-AG could complement traditional markers like HbA1c in providing glycemic control in women with GDM. Additionally, 1,5-AG may aid in the early detection of GDM and offer a useful tool for monitoring the effectiveness of interventions aimed at improving glycemic control during pregnancy.

Fructosamine, which reflects the nonenzymatic glycation of circulating proteins such as albumin, globulins, and lipoproteins, has become a viable alternative to HbA1c testing in cases where HbA1c may be unreliable.<sup>155</sup> Fructosamine levels measured in the second trimester have been shown to be an unreliable indicator of gestational glucose tolerance and postpartum glycemic outcomes.<sup>156</sup>

#### Microbiome

Dysbiosis during early pregnancy, in conjunction with the host's immune system, can impact the development of GDM later on. Numerous studies have identified differences in gut microorganisms

between pregnant women with GDM and those with normal glucose levels.<sup>62,157,158</sup> In women with GDM, there was an observed increase in the abundance of microbial species such as *Ruminococcus*, *Klebsiella variicola*, *Prevotella*, *Rothia*, *Desulfovibrio*, *Fusobacterium*, the *Eubacterium hallii* group, and *Blautia*. Conversely, there was a decrease in populations of *Eubacterium* spp., *Bifidobacterium* spp., *Akkermansia*, *Bacteroides*, *Parabacteroides*, *Dialister*, *Marvinbryantia*, *Faecalibacterium*, and *Anaerospore*.<sup>62,157,158</sup> A recent study by Pinto et al. identified an altered gut microbiome and elevated levels of proinflammatory cytokines in women who later developed GDM. The researchers further validated that changes in microbial composition linked to GDM during the first trimester contributed to inflammation and insulin resistance >10 weeks prior to the GDM diagnosis. This was demonstrated through fecal microbiota transplantation (FMT) experiments. They later implemented a machine learning method to accurately predict GDM by using clinical data, microbial profiles, and inflammatory markers from the first trimester.<sup>159</sup> Thus, the gut microbiome seems to play a role in the development of GDM by promoting inflammation, with interleukin-6 possibly playing a role in this process. Potential markers for GDM, such as specific microbiota, could be used for early diagnosis and targeted therapy, which may help in preventing the condition.

## OTHER MARKERS

In GDM, several biomarkers are crucial for diagnosis and management. Vitamin D levels are considered important as deficiencies are associated with greater risk of GDM and may impact insulin sensitivity.<sup>160</sup> Although, some studies provide conflicting results concerning the role of Vitamin D in GDM.<sup>161-163</sup> Glycosylated fibronectin helps assess placental function, with elevated levels indicating potential complications such as preterm birth or restricted fetal growth.<sup>164</sup> The soluble (pro) renin receptor, a component of the renin-angiotensin system, could potentially act as an early marker for gestational GDM due to its link with insulin resistance.<sup>165,166</sup> Ferritin levels, reflecting iron status, are crucial as both deficiency and overload can affect glucose metabolism and increase GDM risk.<sup>167</sup> While not primary markers, glucagon levels can influence glucose regulation, and elevated PAI-1 levels indicate insulin resistance and increased thrombotic risk.<sup>168</sup> Adipocyte fatty acid-binding protein (AFABP) levels can highlight metabolic stress associated with GDM.<sup>169</sup>

## BIOMARKERS TO DISTINGUISH “EARLY GESTATIONAL DIABETES MELLITUS” FROM “LATE GESTATIONAL DIABETES MELLITUS”

FPG combined with other markers in machine learning models shows some limitations in accurately identifying early GDM, as adding more predictors did not significantly improve the model's discriminant power.<sup>170</sup> GDM has traditionally been diagnosed between 24 and 28 weeks of pregnancy, and this is referred to as “Late GDM.”

More recently, GDM is being diagnosed before 20 weeks and even before 14 weeks of gestation. This is referred to as “Early GDM.”<sup>171,172</sup> It would be useful if biomarkers for Early GDM and Late GDM are developed, as this will lead to better identification of the two forms of GDM.

## FUTURE PERSPECTIVES

It is important to note that all of the aforementioned studies have used glucose levels from OGTT conducted after 24 weeks of gestation. A key direction for future research would be to investigate the genetic factors influencing glycemic traits in early pregnancy (before 20 weeks) and to compare the genetic profiles of early-onset GDM with those of late-onset GDM. Additionally, maternal ethnicity may contribute to heterogeneity, leading to both phenotypic and genotypic differences among women with GDM.<sup>173</sup> Existing GWAS studies did not include Indian and Russian women. Polygenic scores (PGSs) predominantly developed from European populations have demonstrated significantly higher accuracy in White Europeans than in South Asians.<sup>174</sup> Consequently, multi-ancestry GWAS data are crucial for creating ancestry-specific PGSs to help mitigate health disparities. The most impactful biomarkers should be integrated into mathematical models predicting GDM and validated on independent cohorts.

## CLINICAL IMPLICATIONS

In the realm of clinical implications, there is growing potential for the practical application of specific biomarkers to detect high-risk GDM at an early stage. Biomarkers with enhanced specificity and sensitivity can significantly improve day-to-day clinical practice by empowering clinicians to make more informed decisions and implement timely interventions. Emerging biomarkers have shown promise in enhancing early risk stratification. Incorporating these biomarkers into routine screening could greatly improve personalized patient management, potentially

reducing the complications associated with GDM.

Looking ahead, the development of a clinical predictive model that integrates these biomarkers with traditional risk factors—which include family history, advanced age, and higher BMI—offers a promising avenue. Such a predictive algorithm, tailored for early GDM detection, could help clinicians identify high-risk individuals well before traditional screening methods indicate abnormalities. This approach has the potential to form the basis for future research, informing new clinical guidelines and fostering more precise and effective strategies for GDM management. By integrating biomarker research into clinical practice, we can improve the early detection of GDM and tailor interventions. Biomarkers also hold potential for monitoring postpartum progression to T2D, providing a continuum of care for women at risk.

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# Association of Physicians of India Consensus Recommendations for Vonoprazan in Management of Acid Peptic Disorders



Rajesh Upadhyay<sup>1</sup>, N K Soni<sup>2</sup>, Agam Vora<sup>3</sup>, Amit Saraf<sup>4</sup>, Deepak Haldipur<sup>5</sup>, Dwaipayan Mukherjee<sup>6</sup>, Dwijen Das<sup>7</sup>, Mangesh Tiwaskar<sup>8\*</sup>, Milind Nadkar<sup>9</sup>, N Arun<sup>10</sup>, Rajesh B Kumar<sup>11</sup>, Rakesh Bhadade<sup>12</sup>, Ramesh R Rai<sup>13</sup>, Samir Bhargava<sup>14</sup>, Samir Parikh<sup>15</sup>, Shiran Shetty<sup>16</sup>, Surya Kant<sup>17</sup>, Umesh Jalihal<sup>18</sup>, VG Mohan Prasad<sup>19</sup>, Ashwin Kotamkar<sup>20</sup>, Shailesh Pallewar<sup>21</sup>, Amit Qamra<sup>22</sup>

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## ABSTRACT

The suppression of gastric acid secretion has long been the cornerstone of treatment for acid peptic disorders (APDs). Proton pump inhibitors (PPIs) have played a central role in managing these conditions, but their effectiveness can be hindered by notable limitations such as refractoriness or treatment failure due to inadequate acid suppression in some gastroesophageal reflux disease (GERD) patients, nonadherence to prescribed regimens due to the complexity of dosing, variability of response, and nocturnal acid breakthrough, etc. Vonoprazan is a first-in-class potassium-competitive acid blocker (P-CAB), recently introduced in India and also approved in several countries such as Japan, South Korea, and the USA. Extensive clinical evidence suggests that vonoprazan offers more potent acid suppression than PPIs. This consensus from the Association of Physicians of India (API) has been developed with the objective of providing key recommendations for the appropriate clinical usage of vonoprazan across various subsets of APDs, thereby optimizing the existing therapeutic options and improving the care and management of APD patients.

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## INTRODUCTION

India is a land of multiple cultural practices with strong differences in foods consumed across different states and regions. Despite the lifestyle differences, acid peptic disorders (APDs) are widely prevalent across India.<sup>1,2</sup> Among various APDs, gastroesophageal reflux disease (GERD) is one of the most common gastrointestinal disorders globally and in India.<sup>3</sup> Consensus guidelines from the Association of Physicians of India (API) and the Indian Society of Gastroenterology (ISG) identified GERD prevalence as being around <10% in most population studies.<sup>4</sup> Besides GERD, peptic ulcer disease (PUD) has been a significant contributor to morbidity and mortality.<sup>5</sup> Amidst multiple risk factors, infection with *Helicobacter pylori* has been identified as a significant contributor to APDs and gastric malignancy.<sup>6</sup> Among all these APDs, inhibition of gastric acid secretion has been the mainstay of treatment. The self-medication of antacids in the setting of heartburn or similar symptoms is often insufficient, as individuals tend to stop drugs with some symptomatic relief. This leads to massive under-treatment of APDs. Over the last few decades, H<sub>2</sub> receptor antagonists (H<sub>2</sub>RAs) and proton pump inhibitors (PPIs) have been the principal acid suppressants.<sup>7</sup> Despite their efficacy in APDs, nonresponsiveness to PPIs in GERD and *H. pylori* infection is

not uncommon.<sup>8-10</sup> Vonoprazan is a novel potassium-competitive acid blocker (P-CAB) recently approved in India and may represent a real breakthrough in acid suppression. Considering the pharmacological limitations of PPIs, there is a strong need to understand the pharmacokinetics, pharmacodynamics, and clinical evidence pertaining to the use of vonoprazan. It is necessary, on the part of the treating physicians, to adequately and safely use this new therapeutic entity in different subsets of APD patients. At present, there is no guidance document from India available regarding the appropriate clinical usage of vonoprazan.

## NEED FOR THE CONSENSUS

In India, primary care physicians or family physicians are the first point of contact for patients with complaints related to APDs. However, inappropriate use of PPIs is widely prevalent in the Indian setting.<sup>11,12</sup> This is of great concern considering the suboptimal dosing, insufficient acid suppression, adverse effects, and drug interactions associated with PPIs. Owing to such practices and concerns, Indian experts have published recommendations for the rational use of PPIs.<sup>13</sup> Another important aspect is the under-dosing of PPIs in indications requiring twice-daily dosing of PPIs. However, this has not been observed in routine clinical

practices, probably because of physician unawareness, clinical inertia in prescribing

<sup>1</sup>Senior Director and Head, Department of Gastroenterology and Hepatology, Max Super Specialty Hospital, Delhi; <sup>2</sup>Director Academic and Head, Department of Internal Medicine, Yatharth Super Speciality Hospital, Greater Noida, Uttar Pradesh; <sup>3</sup>Medical Director, Vora Clinic; <sup>4</sup>Director, Department of Internal Medicine, Jupiter Hospital, Mumbai, Maharashtra; <sup>5</sup>Consultant ENT Surgeon and Director, Trustwell Hospitals, Bengaluru, Karnataka; <sup>6</sup>Consultant ENT Surgeon and Director, Spiral ENT Clinic, Kolkata, West Bengal; <sup>7</sup>Professor and Head, Department of Medicine, Tezpur Medical College and Hospital, Tezpur, Assam; <sup>8</sup>Consultant, Shilpa Medical Research Centre; <sup>9</sup>Professor and Head, Department of Medicine, KEM Hospital and GS Medical College, Mumbai, Maharashtra; <sup>10</sup>Consultant Gastroenterologist, Apollo Hospitals, Chennai, Tamil Nadu; <sup>11</sup>Nephrologist and Transplant Physician, Dr L H Hiranandani Hospital; <sup>12</sup>Professor, Topiwala National Medical College and BYL Nair Charitable Hospital, Mumbai, Maharashtra; <sup>13</sup>Professor and Head (Former), Department of Gastroenterology, S M S Medical College, Jaipur, Rajasthan; <sup>14</sup>Professor HBT Medical College and ENT Consultant, PD Hinduja Hospital; <sup>15</sup>Consultant Gastroenterologist, Lilavati Hospital and Research Center, Mumbai, Maharashtra; <sup>16</sup>Professor and Head, Department of Gastroenterology and Hepatology, Kasturba Medical College, Manipal, Karnataka; <sup>17</sup>Professor and Head, Department of Respiratory Medicine, King George's Medical University, Lucknow, Uttar Pradesh; <sup>18</sup>Professor, Head of Gastroenterology, Saphthagiri Institute of Medical Sciences and Research Centre, Karnataka Gastro Centre, Bengaluru, Karnataka; <sup>19</sup>Professor and Founder Chairman, VGM Institute of Gastroenterology, Coimbatore, Tamil Nadu; <sup>20-22</sup>Department of Medical Affairs, Macleods Pharmaceuticals Ltd, Mumbai, Maharashtra, India; \*Corresponding Author

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twice-daily dosing of PPIs, or possible fear of adverse effects.

Vonoprazan, a first-in-class P-CAB, was first approved in Japan in 2015 and subsequently approved in more than 15 countries, including Russia, South Korea, and the USA.<sup>14</sup> Over the period of 8 years, vonoprazan has accumulated considerable clinical evidence globally in several acid-related disorders. In 2024, regulatory approval of vonoprazan was further expanded in India.<sup>15</sup> In clinical studies, vonoprazan has shown effectiveness in PPI-resistant erosive esophagitis (EE), nonerosive reflux esophagitis (NERD), as well as in *H. pylori* regimens.<sup>16-18</sup> Although substantial clinical studies on vonoprazan have been published globally, no guidance document on its usage in Indian clinical practice has been published or is available to date. Therefore, to ensure vonoprazan's appropriate clinical usage across various subsets of APDs and to optimize the existing therapeutic options, this consensus document has been developed to provide relevant guidance to Indian physicians on the use of vonoprazan in Indian clinical settings. In this context, the expert working group of API recognized an urgent need to develop this consensus to guide physicians across India in the appropriate use of vonoprazan for managing various APDs.

**APPROACH TO THE CONSENSUS DEVELOPMENT**

**Expert Panel**

For this consensus development, a multi-disciplinary consensus working group was formulated by the lead expert from the API. Each expert involved in the consensus had vast clinical experience in the management of APDs in India. They also had experience with prescribing Vonoprazan in different patient profiles. The experts belonged to specialties such as internal medicine, gastroenterology, otorhinolaryngology, pulmonology, cardiology, rheumatology, and nephrology.

**Consensus Statements**

The lead expert, in discussion with others, developed the consensus statements. While

deriving the consensus statements, the criteria of answerability, effectiveness, potential for translation to clinical practice, novelty, and potential impact on the healthcare burden were considered. A total of 13 statements were initially framed for presentation in the consensus working group meeting.

**Arriving at a Consensus**

We utilized the Delphi method for arriving at a consensus. The Delphi method is a scientifically proven technique that assists in the organization and management of structured group discussions. Often, it aims to generate insights on situations wherein there is limited information or there is a need to address current or future challenges in given situations. It has been frequently used in medicine.<sup>19</sup> In this consensus development, there were two rounds of consensus working group experts. In the initial round of discussion, the lead expert presented the clinical evidence pertaining to each consensus statement, followed by the discussion among the experts. After the discussion, each expert was asked to rate their opinion on a 5-point Likert scale as strongly disagree, disagree, neutral, agree, strongly agree.<sup>20</sup> The voted opinion was collated from each expert and was analyzed descriptively.

**Acceptance Criteria**

We used a 5-point Likert scale (strongly agree, agree, neutral, disagree, and strongly disagree) for expert voting.<sup>21</sup> The expert voting for agree/strongly agree for a given consensus statement was considered as acceptable. To finally accept or refute the consensus, a voting percentage of 85% was considered as the cutoff for accepting the consensus statement. Figure 1 provides the key steps in developing the consensus. Table 1 summarizes all the finally accepted consensus statements.

**PREVALENCE OF ACID PEPTIC DISORDERS IN INDIA**

Acid peptic disorders are widely prevalent in India. A meta-analysis of nine studies with 20,614 subjects reported a pooled GERD prevalence of 15.6%.<sup>22</sup> The ISG consensus

on GERD in adults identified that GERD prevalence ranges between 7.6 and 30%, with most studies reporting it to be <10%.<sup>23</sup> In relation to GERD, EE and NERD are important contributors to symptomatology and morbidity. In EE diagnosed by upper GI (UGI) endoscopy, India ranks second in the world with a prevalence of 52% and is preceded by Indonesia (55%).<sup>24</sup> A multiethnic study from Malaysia involving 1000 patients reported NERD prevalence of 28.2, 32.1, and 16.8% in Malay, Indian, and Chinese ethnicities, respectively. The Indian race was also the strongest risk factor for NERD.<sup>25</sup> Based on the UGI endoscopy, EE is classified as grade A–D based on the size and extent of mucosal breaks (Table 2).<sup>26</sup> A recent study from South India involving 100 refractory GERD patients identified NERD in 33% and EE in 67% of cases. LA grade B was the most frequent EE (43%).<sup>27</sup> GERD has also been linked to the development of Barrett's esophagus (BE). A study from West India observed 278 patients with GERD over 2 years and reported BE in 16.54% of cases.<sup>28</sup> The API-ISG consensus also identifies BE prevalence in India to range from 2.6 to 9%.<sup>4</sup> Another important acid reflux disorder is laryngopharyngeal reflux disease (LPRD). A recent survey of 2300 individuals from India was performed to detect LPRD using the reflux symptom index (RSI). In 253 responders, RSI was >13, amounting to an 11% prevalence of LPRD with no difference in males and females (11.2 vs 10.6%, respectively).<sup>29</sup> The burden of *H. pylori* disease is enormous in India.<sup>30,31</sup> Recent global estimates indicate that the crude prevalence of *H. pylori* is 35.1%. In India, the pooled prevalence of *H. pylori* from 12 studies in adults was observed to be 59.5%.<sup>32</sup> *H. pylori* has been linked to the development of PUD. Over three decades (1990–2019), there has been a substantial reduction in PUD-related age-standardized mortality in India.<sup>33</sup> Nonetheless, the PUD burden still remains an important issue in the Indian setting.

- Consensus 1: There is a substantial presence of APDs in India [agree/strongly agree: 100%].

**LIMITATIONS OF PROTON PUMP INHIBITORS**

Since their introduction, PPIs have been used extensively across the globe and in India as well. Although proven effective in APDs, PPIs have notable limitations. One important limitation is the relative ineffectiveness of PPIs in GERD. Nearly 40% of patients with GERD do not respond to 8-week therapy of PPIs and are labeled as refractory GERD.<sup>34,35</sup> One possible reason for such inefficacy could be suboptimal

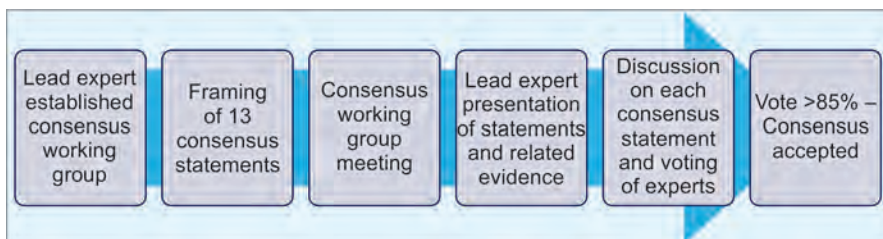


Fig. 1: Approach to the consensus development

**Table 1:** Consensus statements

**PREVALENCE OF APDs IN INDIA**

Consensus 1: There is a substantial presence of APDs in India [agree/strongly agree: 100%]

**LIMITATIONS OF PPIs**

Consensus 2: Currently available PPIs have certain limitations in management of GERD [agree/strongly agree: 100%]

**FOOD AND PPIs ADMINISTRATION TIMING**

Consensus 3: In real-world clinical practice, majority of GERD patients on PPI therapy do not comply with the advice of taking PPIs at least 30–45 minutes before meal that may lead to diminished treatment effectiveness and/or increased treatment failures [agree/strongly agree: 100%]

**VONOPRAZAN PHARMACOLOGY**

Consensus 4.1: In managing APDs, vonoprazan potentially overcomes the clinically relevant limitations of PPIs [agree/strongly agree: 100%]

Consensus 4.2: In managing GERD patients with predominant nocturnal acid breakthrough, vonoprazan may be considered as an alternative treatment approach to PPIs [agree/strongly agree: 100%]

Consensus 4.3: Vonoprazan can be administered irrespective of the meal timing [agree/strongly agree: 100%]

**VONOPRAZAN AND REFRACTORY GERD**

Consensus 5: In refractory GERD patients, switching from PPIs to vonoprazan (20 mg, once daily) is considered as the most suitable treatment approach [agree/strongly agree: 100%]

**VONOPRAZAN & EROSIIVE ESOPHAGITIS (EE)**

Consensus 6.1: In mild EE (Los Angeles grade A and B), vonoprazan (20 mg, once daily) may be considered as an alternative to PPIs as it reduces the treatment duration by 4 weeks [agree: 91.7%/neutral:8.3%]

Consensus 6.2: Vonoprazan (20 mg, once daily) may be considered as an alternative to PPIs in mild EE (LA grade A/B) patients who are noncompliant to PPI dosing schedule [agree/strongly agree: 100%]

Consensus 7: Vonoprazan is recommended as the initial treatment approach for severe EE (LA grades C/D) [agree/strongly agree: 100%]

**VONOPRAZAN & NON-EROSIVE REFLUX DISEASE (NERD)**

Consensus 8.1: Vonoprazan may be considered as an alternative to PPIs in the treatment of NERD with excessive esophageal acid exposure [agree/strongly agree: 100%]

Consensus 8.2: In the long-term management of NERD, vonoprazan may be considered as an on-demand treatment approach [agree/strongly agree: 100%]

**VONOPRAZAN & H. PYLORI ERADICATION REGIMENS**

Consensus 9: In eradication regimens for *H. pylori* infection, vonoprazan is recommended in place of PPIs [agree/strongly agree: 100%]

**VONOPRAZAN AND NSAID INDUCED PEPTIC ULCERS**

Consensus 10: Vonoprazan can be an alternative to PPIs as a concomitant therapy in patients at a high risk of peptic ulcer with chronic use of NSAIDs [agree/strongly agree: 100%]

**VONOPRAZAN & LARYNGOPHARYNGEAL REFLUX DISEASE (LPRD)**

Consensus 11: Vonoprazan may be considered as an alternative to PPIs in the treatment of LPRD [agree: 100%]

**VONOPRAZAN LONG TERM SAFETY**

Consensus 12.1: In the maintenance therapy of GERD, vonoprazan is found to be safe (clinical evidence is up to 5 years—as per Japanese VISION trial) [agree/strongly agree: 100%]

Consensus 12.2: In patients with cardiovascular comorbidity receiving antiplatelet therapy, vonoprazan may be considered as a treatment option with careful monitoring [agree/strongly agree: 100%]

**VONOPRAZAN IN HEPATIC AND RENAL IMPAIRMENT**

Consensus 13.1: Vonoprazan can be considered in patients with renal impairment with careful monitoring (as per dosages suggested in Table 5) [agree/strongly agree: 100%]

Consensus 13.2 Vonoprazan can be considered in patients with hepatic impairment with careful monitoring (as per dosages suggested in Table 5) [agree/strongly agree: 100%]

**Table 2:** Los Angeles (LA) Grading of Erosive Esophagitis (EE)

LA grade	UGI endoscopy finding
A	≥1 mucosal breaks, <5 mm long, no extension between tops of 2 mucosal folds
B	≥1 mucosal breaks, >5 mm long, no extension between tops of 2 mucosal folds
C	≥1 mucosal breaks, continuous between tops of 2 or more mucosal folds, involves <75% of the esophageal circumference
D	≥1 mucosal breaks, continuous between tops of 2 or more mucosal folds, involves at least 75% of the esophageal circumference

adherence and inappropriate use of PPIs.<sup>36</sup> PPIs are prodrugs and provide effective acid suppression only after protonation to form disulfide bonds with cysteines of the H<sup>+</sup>, K<sup>+</sup>-ATPase pump. As PPIs irreversibly inhibit the active proton pump, it is necessary to

administer PPIs 30–45 minutes before a meal to achieve peak concentration at gastric canaliculi.<sup>37</sup> This limits the PPIs administration in relation to food. In addition, the stability of the binding of PPIs to the proton pump determines the duration of pump inhibition.<sup>37</sup> Additionally, the short half-life of PPIs is a concern, as once- or twice-daily administration may not achieve complete acid suppression. Nearly 20% of pumps are synthesized in 24 hours, and it takes 48–73 hours for PPIs to reach the steady-state phase of acid inhibition.<sup>37</sup> Another limitation is nocturnal acid-breakthrough (NAB), which occurs in

40–70% of GERD patients receiving PPIs.<sup>38,39</sup> This can contribute to esophageal mucosal damage. Another important limitation with PPIs is drug-drug interactions involving CYP2C19 and CYP3A4 metabolism. Liver function impairment and older age impact the clearance of PPIs. Mutations in CYP2C19 also affect the metabolism of PPIs, and 15–20% of Asians are known to be rapid metabolizers, increasing the risk of side effects.<sup>40</sup>

- Consensus 2: Currently available PPIs have certain limitations in the management of GERD [agree/strongly agree: 100%].

to the persistence of GERD symptoms.<sup>27</sup> Further, studies identify a strong need for patient education and reinforcement of dosing instructions by treating physicians in relation to PPI dosing.<sup>43</sup>

- Consensus 3: In real-world clinical practice, the majority of GERD patients on PPI therapy do not comply with the advice of taking PPI at least 30–45 minutes before a meal, which may lead to diminished treatment effectiveness and/or increased treatment failures [agree/strongly agree: 100%].

### FOOD AND PROTON PUMP INHIBITORS ADMINISTRATION TIMING

PPIs need to be administered 30–45 minutes before a meal to achieve the inhibition of active proton pumps. A survey of 100 GERD patients who had persistent symptoms despite PPIs was conducted to identify suboptimal dosing of PPIs. Suboptimal dosing was considered when PPIs were taken >60 minutes prior to meals, after meals, as needed, and at bedtime before sleeping. About 54% of respondents were dosed suboptimally, whereas only 12% were taking PPIs in a manner that maximized acid suppression.<sup>41</sup> The OSCAR trial reported that the administration of PPIs 30 minutes before breakfast, compared to any other time of administration, is associated with improvement in GERD symptoms.<sup>42</sup> In India, studies have identified that incorrect timing of PPI administration contributes significantly

### VONOPRAZAN: A POTASSIUM-COMPETITIVE ACID BLOCKER

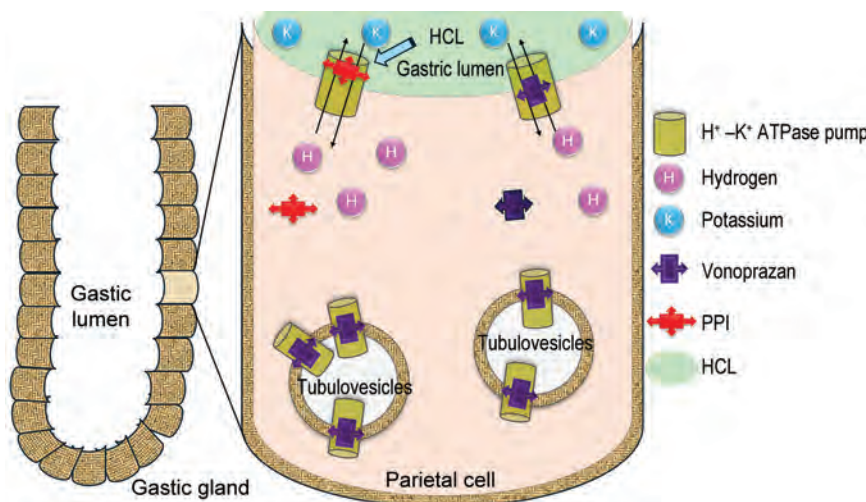
P-CABs are a new class of drugs that target the potassium binding sites of proton pumps to exhibit acid suppression. Vonoprazan is the first P-CAB with initial global approval in Japan (2015)<sup>44</sup> and has been approved in the USA.<sup>45</sup> In the gastric parietal cells, the H<sup>+</sup>-K<sup>+</sup> ATPase pumps (proton pumps) are stored in tubulovesicles and are inactive. Once pumps are inserted in the canalicular membrane at luminal borders, the proton pumps are activated. Part of the pump that protrudes in the lumen is the extra-cytoplasmic secretory canalculus. This section is acidic as it is exposed to the gastric lumen. PPIs, being prodrugs, are converted to sulfenamide, a step necessary for the drug to bind to cysteines on active proton pumps. The site of accumulation and activation of PPIs is therefore the extra-cytoplasmic secretory canalculus. The binding of PPIs to cysteine

residues is by covalent disulfide bonds and is irreversible. Vonoprazan, a P-CAB, is not a prodrug, and there is no need for its activation or acid dependence for proton pump binding. Vonoprazan binds to both active pumps present at the membrane of parietal cells as well as the pumps that are inactive in the tubulovesicles. The binding of vonoprazan is ionic and is thus reversible. Vonoprazan blocks the access of potassium (K<sup>+</sup>) ions to the potassium-binding site of the pump that is necessary for the exchange of H<sup>+</sup> into the lumen. This results in reduced entry of H<sup>+</sup> ions into the gastric lumen and helps to reduce both basal and stimulated gastric acid secretion (Fig. 2).<sup>46,47</sup>

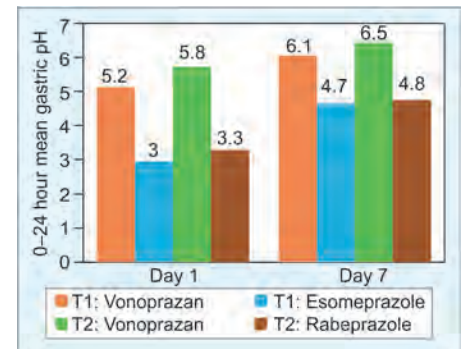
### Pharmacodynamic Effects

Compared to PPIs, vonoprazan is shown to have rapid and potent antacid activity as measured by 24-hour gastric pH measurements. Better gastric acid suppression than lansoprazole has been reported in the US study.<sup>47</sup> In another randomized, cross-over study from Japan, a comparatively better acid suppressive effect of vonoprazan than either esomeprazole or rabeprazole was reported (Fig. 3). The likelihood of NAB with vonoprazan was lower as the duration of vonoprazan was 4 hours longer to maintain pH >4.<sup>48</sup> These studies prove that vonoprazan has a significantly rapid impact on intragastric pH and the effect is sustained for 24 hours. Based on the results of the US study, vonoprazan can be taken irrespective of fed or fasted conditions.<sup>49</sup> Table 3 provides a comparative assessment of PPIs vis-à-vis vonoprazan.<sup>50</sup>

- Consensus 4.1: In managing APDs, vonoprazan potentially overcomes the clinically relevant limitations of PPIs [agree/strongly agree: 100%].
- Consensus 4.2: In managing GERD patients with predominant NAB P, vonoprazan may be considered as an alternative treatment



**Fig. 2:** Mechanism of action of vonoprazan: In the gastric parietal cells, PPI block the H<sup>+</sup>-K<sup>+</sup> ATPase pump from the luminal side after their activation in the gastric acid. PPIs do not affect the resting or inactive pumps in the tubulovesicles. Vonoprazan blocks both active and inactive pumps in the parietal cells at the active site of potassium. Entry of potassium inside the cell is inhibited and hydrogen exchange fails leading to lesser hydrogen concentration in the lumen and thereby decreased acid output.



**Fig. 3:** 0–24 hour mean gastric pH in vonoprazan, esomeprazole and rabeprazole groups: T1—first time period of the study; T2—second time period of the study

approach to PPIs [agree/strongly agree: 100%].

- Consensus 4.3: Vonoprazan can be administered irrespective of the meal timing [agree/strongly agree: 100%].

### VONOPRAZAN AND REFRACTORY GERD

Gastroesophageal reflux disease (GERD) is termed refractory when there are typical or atypical symptoms of GERD with no response to twice-daily PPI therapy for a minimum of 8 weeks.<sup>27</sup> Besides noncompliance and improper or underdosing of PPIs, residual reflux, esophageal hypersensitivity to weakly acidic reflux, chronic and persistent breach in the mucosal integrity of the esophagus, and concomitant psychological distress are the major factors identified to be contributory to refractory GERD.<sup>35</sup> Management of such refractory GERD is difficult. Possible approaches for the management of refractory GERD are summarized in Figure 4.

Multiple studies have shown the short-term (4–12 weeks) as well as long-term (1 year) efficacy of vonoprazan in PPI-refractory GERD.<sup>51,52</sup> A systematic review and meta-analysis of three observational studies reported that vonoprazan 20 mg for 4–8 weeks was associated with symptom improvement in a significant proportion of patients (86.3%) with PPI-resistant GERD.<sup>16</sup> These studies are further supported by the international recommendations. Guidelines from the Japanese Society of Gastroenterology (2021) advised that vonoprazan can be considered for PPI-refractory GERD. In addition, guidelines indicate that the use of prokinetics and Japanese herbal medicine can be considered.<sup>53</sup> The American Gastroenterology Association (AGA) clinical practice update also recommends that vonoprazan can be used in patients who have acid reflux despite twice-daily therapy with PPIs.<sup>50</sup>

- Consensus 5: In refractory GERD patients, switching from PPIs to vonoprazan is

considered the most suitable treatment approach [agree/strongly agree: 100%].

### VONOPRAZAN IN EROSIVE ESOPHAGITIS

#### Mild Erosive Esophagitis (Los Angeles Grade A and B)

The 2021 Japanese Society of Gastroenterology guidelines have recommended the use of either PPI for 8 weeks or vonoprazan for 4 weeks. This suggests that vonoprazan can help reduce the treatment duration by 4 weeks. After 4 weeks, maintenance therapy with vonoprazan can be considered to prevent recurrences. Patients who do not respond to 8 weeks of standard-dose PPI therapy can be switched to vonoprazan 20 mg daily.<sup>53</sup> The AGA practice update also recommends that clinicians may use P-CABs in selected patients who fail therapy with twice-daily PPIs.<sup>50</sup> Thus, vonoprazan can be an alternative to PPIs.

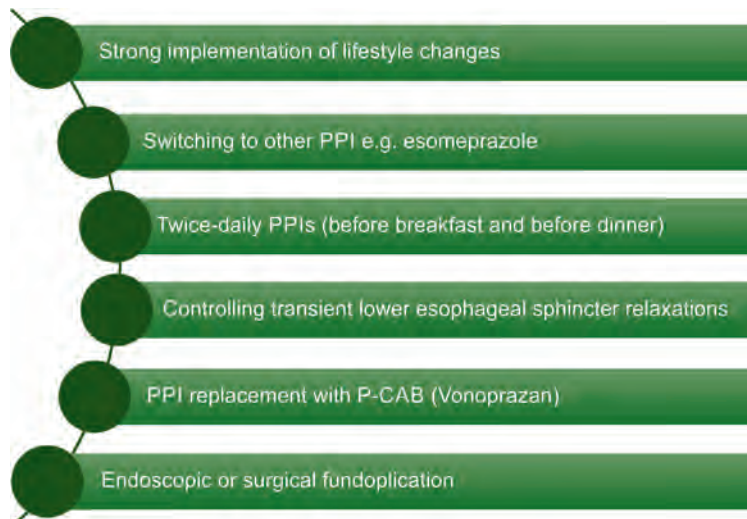
- Consensus 6.1: In mild EE (Los Angeles grade A and B), vonoprazan 20 mg, once daily, may be considered an alternative to PPIs as it reduces the treatment duration by 4 weeks [agree: 91.7%/neutral: 8.3%].
- Consensus 6.2: Vonoprazan (20 mg once daily) may be considered an alternative to PPIs in mild EE (LA grade A/B) patients who are noncompliant with the PPI dosing schedule [agree/strongly agree: 100%].

#### Severe Erosive Esophagitis (Los Angeles Grade C and D)

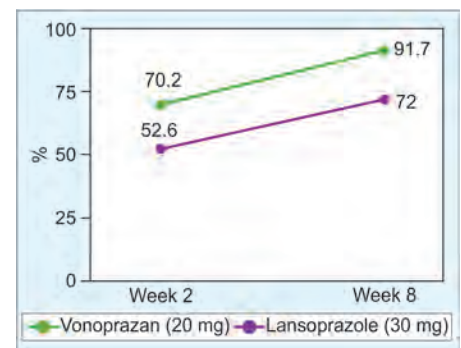
In severe EE, PPIs have been standard treatment for a long time. However, nearly 15% of EE patients do not achieve complete healing even after 8 weeks of PPIs. Even with continuation of PPIs, relapse within 6 months is seen in 24–41% of EE patients with LA grade C and D.<sup>54</sup> With severe EE, failure of PPI calls for effective therapy. Vonoprazan has been shown to be effective in both healing and maintenance of healing in severe EE grades.<sup>55</sup> In another double-blind trial from

**Table 3:** Pharmacokinetic and pharmacodynamic comparison between PPIs and vonoprazan

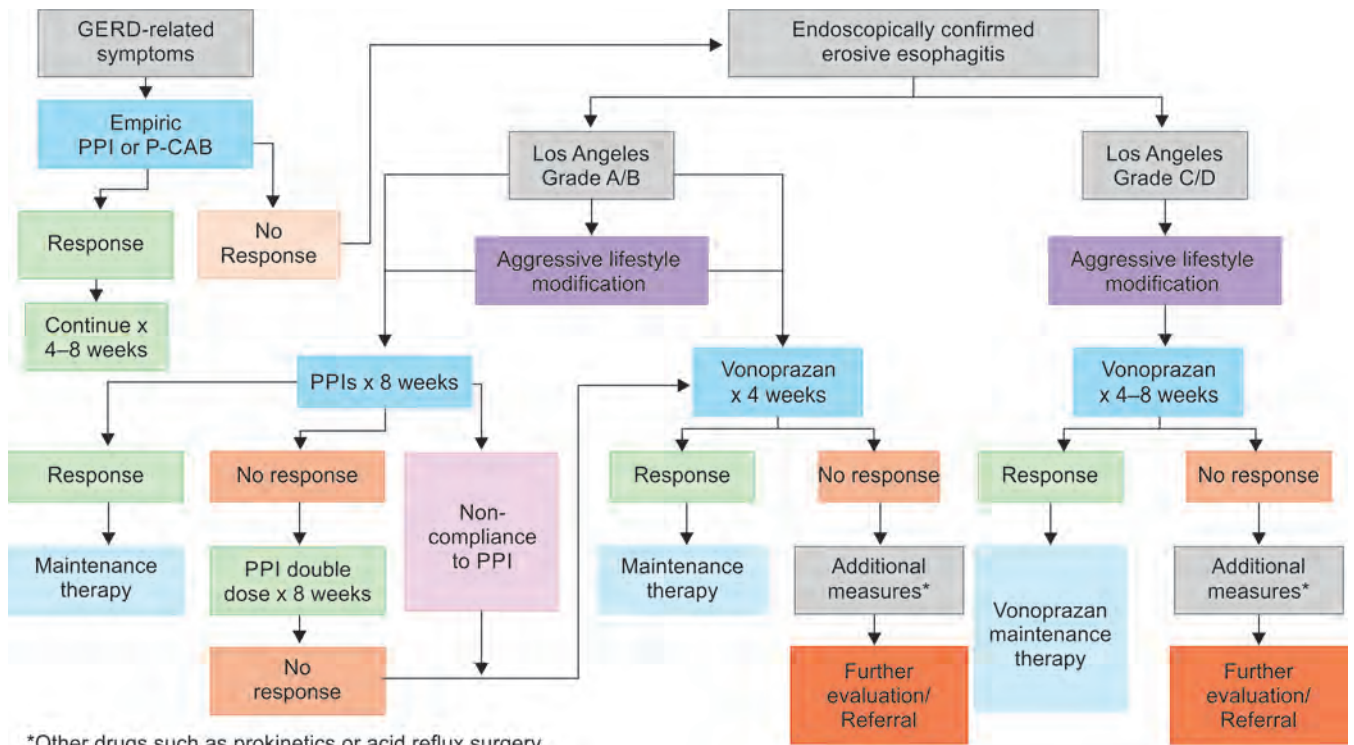
Parameter	PPIs	Vonoprazan (P-CAB)
Acid stability	Labile (enteric coating must)	Stable
Prodrugs	Yes	No
Activation in acid environment	Required	Not required
Binding to H <sup>+</sup> -K <sup>+</sup> ATPase pump	Covalent, irreversible	Ionic, reversible
Proton pump inhibition	Only active	Both active and inactive
Time of administration	30–60 minutes before meal	Food independent
Half life	1–2 hours	6–9 hours
Onset of action	Delayed	Rapid
Time to maximal acid suppression	3–5 days	1 day
24-hour acid suppression	Not achieved	Achieved
Nocturnal acid breakthrough	More likely	Less likely
Duration of acid suppression	Shorter	Longer
CYP2C19 related interactions	Yes	No



**Fig. 4:** Possible approaches to manage refractory GERD



**Fig. 5:** Healing rates in Los Angeles grade C/D EE with vonoprazan and lansoprazole



\*Other drugs such as prokinetics or acid reflux surgery  
**Fig. 6:** Approach to the management Erosive Esophagitis (EE)

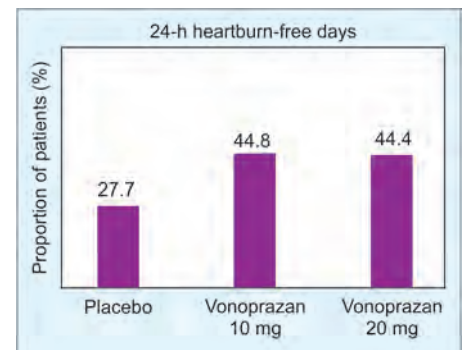
the US, Laine et al. reported greater overall EE healing rates (all grades A–D) at 8 weeks with vonoprazan than lansoprazole (92.9 vs 84.6%). **Figure 5** provides healing rates for grade C/D EE in two groups. During the maintenance phase, healing rates of grade C/D EE at 24 weeks were significantly better with vonoprazan 20 mg (77.2%) and 10 mg (74.7%) than lansoprazole 15 mg (61.5%). Also, the heartburn-free days were substantially higher with both doses of vonoprazan.<sup>56</sup> These findings indicate that vonoprazan has substantial promise as starting therapy for severe EE. This is confirmed by the Japanese Gastroenterology Guidelines<sup>53</sup> as well as the AGA practice update recommendations.<sup>50</sup> Also, vonoprazan has been approved for this indication by the United States Food and Drug Administration (USFDA).<sup>45</sup> **Figure 6** provides the approach to the management of EE.

- Consensus 7: Vonoprazan is recommended as the initial treatment approach for severe EE (Los Angeles grades C/D) [agree/strongly agree: 100%].

### VONOPRAZAN IN NONEROSIVE REFLUX DISEASE

NERD does not involve the acidic erosion of the esophageal lining, and thus the absence of erosion on endoscopy is classified as NERD. It commonly occurs because of nonacid or weak acid reflux, causing symptoms such as heartburn. PPIs have long been used in

heartburn due to NERD.<sup>57</sup> Vonoprazan has been evaluated in phase 2 and phase 3 trials for heartburn in NERD. A phase 2 trial involved 458 patients with NERD having heartburn for ≥6 months or during any ≥4 days of consecutive seven days in the screening period with normal endoscopic features. In a 4-week run-in period, all patients received vonoprazan 20 mg daily and were randomized at the end of 4 weeks to vonoprazan 10, 20, and 40 mg groups and placebo as “on-demand” therapy (to be taken only when heartburn occurs). After 6 weeks, complete and sustained relief was reported by 56, 60.6, and 70% of patients from the three vonoprazan dose groups, respectively. Compared to the response rate of 27.3% in the placebo group, all vonoprazan doses provided significantly better results. There were no serious adverse events related to the treatments.<sup>58</sup> The PHALCON-NERD-301 trial confirmed the effectiveness of vonoprazan in NERD. The trial included patients similar to the phase 2 study. Patients were randomized to vonoprazan 20 mg, 10 mg, and placebo. Patients in the placebo arm were rerandomized to either vonoprazan 10 or 20 mg. All patients continued treatment for 20 more weeks. Vonoprazan significantly improved the primary efficacy results of 24-hour heartburn-free days (**Fig. 7**) in NERD patients. The effect on reducing 24-hour heartburn was evident on day 1. In the extension phase, patients shifted from placebo to vonoprazan rapidly responded and joined



**Fig. 7:** Vonoprazan effect on 24-hour heartburn-free days in NERD patients in 4-week

the previously continued vonoprazan group in terms of the efficacy endpoint. The study concluded that NERD patients derived benefit in terms of relief from heartburn as early as day 1, and benefits persisted over a period of 24 weeks. Both doses of vonoprazan were effective.<sup>59</sup> This led to the indication approval for vonoprazan by the USFDA.<sup>45</sup> The Japanese guidelines also indicated that P-CABs like vonoprazan may also be effective in NERD with excessive esophageal acid exposure.<sup>53</sup>

- Consensus 8.1: Vonoprazan may be considered as an alternative to PPIs in the treatment of NERD with excessive esophageal acid exposure [agree/strongly agree: 100%].
- Consensus 8.2: In the long-term management of NERD, vonoprazan may

be considered as an on-demand treatment approach [agree/strongly agree: 100%].

### VONOPRAZAN IN *H. PYLORI* INFECTION

As discussed previously, the *H. pylori* burden is substantial in India. Current management of *H. pylori* is dependent on the use of PPIs and antibiotics. It has been shown that acid suppression is of prime importance in *H. pylori* eradication. *H. pylori* has the ability to survive in an acidic environment. It has acid acclimation activity by which the bacteria are able to maintain vitality but not growth. The bacteria raise the cytoplasmic pH moderately against external acidic pH. With the rise in pH after the use of PPIs it grows in the less acidic pH in the stomach with a doubling time of 4–6 hours.<sup>60</sup> It is at this phase that antibiotics such as amoxicillin and clarithromycin effectively exert antibacterial activity. The current 2024 regimens recommended by the American College of Gastroenterology (ACG) are shown in Table 4.<sup>61</sup> The American Gastroenterological Association recommends that P-CAB (vonoprazan) should be used in place of PPIs for most patients with *H. pylori*.<sup>50</sup> This has also been supported by the US FDA approval of vonoprazan use in combination with clarithromycin and amoxicillin as triple therapy or with amoxicillin as double therapy for adults with *H. pylori*.<sup>45</sup>

One of the key problems with *H. pylori* is the recurrence of disease. Multiple recurrences are common in previously treated individuals. To differentiate reinfection and recrudescence, a duration of 1 year has been considered. Recurrence of *H. pylori* after 1 year of initial eradication should be labeled as reinfection.<sup>62</sup> In India, there is significant recurrence of *H. pylori*, and rates may go as high as 60%.<sup>63</sup> It should be noted that asymptomatic *H. pylori* infection does not demand treatment. It is only symptomatic infection, with or without complications, that necessitates *H. pylori* treatment. It is recommended that after therapy of *H. pylori*, the test of cure (e.g., urea breath test, fecal antigen test, etc.) should

be performed after 4 weeks of completion of treatment.<sup>61</sup> With respect to the use of vonoprazan in *H. pylori*, Indian studies are required. Nonetheless, it can be considered over PPIs in *H. pylori* management.

- Consensus 9: In eradication regimens for *H. pylori* infection, vonoprazan is recommended in place of PPIs [agree/strongly agree: 100%].

### VONOPRAZAN AND NSAID INDUCED PEPTIC ULCERS

Chronic use of painkillers such as nonsteroidal anti-inflammatory drugs (NSAIDs) or even low-dose aspirin carries a substantial risk of PUDs. Vonoprazan has been evaluated in this indication in multiple randomized studies. In one study from Japan by Mizokami et al., 642 patients on long-term NSAIDs who were at risk of PUD recurrence were enrolled. Initially, patients were randomized to vonoprazan (10–20 mg) or lansoprazole (15 mg daily) for a 24-week double-blind period, followed by an extension study. During the 24 weeks, both doses of vonoprazan were noninferior to lansoprazole. Endoscopically confirmed ulcers were observed in 3.3, 3.4, and 5.5% of the three groups, respectively. During the extension period, also, vonoprazan was effective and safe.<sup>64</sup> A postmarketing surveillance study of 1 year with the use of vonoprazan in patients with a history of PUD who were receiving NSAIDs also reported an ulcer recurrence rate of 1.04% and a better safety profile. The rate of adverse drug reactions was 0.71%.<sup>65</sup> These data are further supported by a systematic review of 10 articles demonstrating vonoprazan as an effective and safe initial and maintenance therapy for PUD related to chronic use of aspirin or NSAIDs.<sup>66</sup> The AGA practice update guidelines recommend that vonoprazan may be useful in PUD patients who fail to respond to PPIs.<sup>50</sup>

- Consensus 10: Vonoprazan can be an alternative to PPIs as a concomitant therapy in patients at high risk of peptic

ulcer with chronic use of NSAIDs [agree/strongly agree: 100%].

### VONOPRAZAN AND LARYNGOPHARYNGEAL REFLUX DISEASE

As discussed previously, LPRD is not an uncommon entity, and adequate treatment of reflux is necessary to provide symptomatic relief. LPRD should be diagnosed adequately in a clinical setting. Primary care physicians should consider the referral of such patients to the otorhinolaryngologist. An interesting observation from Humayun et al. is that most otorhinolaryngologists had prescribed suboptimal PPI dosing in LPRD patients. In their study, PPIs were used once or twice daily in 63 and 31% of patients, respectively.<sup>67</sup> In LPRD, vonoprazan has been evaluated in a small number of studies. In 89 Chinese patients with LPRD, vonoprazan 20 mg once daily was compared to esomeprazole 20 mg twice daily. After 8 weeks, symptom relief, as indicated by the RSI and reflux finding score (RFS), was significant with both therapies. The effective rate of the two treatments was 86.7 and 77.3%, respectively. Thus, vonoprazan once daily was considered noninferior to esomeprazole twice daily for the treatment of LPRD.<sup>68</sup> Another study compared similar treatments for the relief of gastroesophageal reflux-related cough (GERC). By the end of 2 months, vonoprazan was similar in efficacy to esomeprazole in terms of cough symptoms score. However, the reflux symptoms and quality of life were better with vonoprazan.<sup>69</sup> Considering this, there is a need to further explore vonoprazan in Indian patients with LPRD.

- Consensus 11: Vonoprazan may be considered as an alternative to PPIs in the treatment of LPRD [agree: 100%].

### VONOPRAZAN: LONG-TERM SAFETY

In the randomized clinical trials of vonoprazan for EE, NERD, and *H. pylori*, no major safety

**Table 4:** *H. pylori* treatment approaches recommended by ACG 2024 clinical guideline

Regimen	Treatment naïve	Empiric therapy	Previously treated
Bismuth Quadruple*	Recommended (14 days)		Antibiotic sensitivity proven
Rifabutin triple <sup>#</sup>	Suggested (14 days)		Suggested (14 days)
Vonoprazan triple**	–	–	Suggested (14 days)
Vonoprazan + amoxicillin	Suggested (14 days)	May be considered if other treatments are not available	
Levofloxacin triple <sup>1</sup>		–	Suggested <sup>a</sup> (14 days)

\*Bismuth salt + nitroimidazole (e.g. metronidazole, tinidazole) + tetracycline (e.g. tetracycline, doxycycline) + PPI; <sup>#</sup>rifabutin + amoxicillin + PPI; \*\*vonoprazan (20 mg) + clarithromycin (500 mg) + amoxicillin (1000 mg) (proven clarithromycin sensitivity); <sup>1</sup>levofloxacin/moxifloxacin (500/400 once) + amoxicillin (500 mg, twice daily)/nitroimidazole + PPI (proven levofloxacin sensitivity); <sup>a</sup>only when Bismuth quadruple or rifabutin therapies have failed or unavailable

**Table 5:** Indication-wise vonoprazan dosing recommendation in hepatic and renal impairment

Disease	Indication	
	EE	<i>H. pylori</i>
Hepatic impairment		
Child-Pugh class A	20 mg OD	20 mg BD
Child-Pugh class B	10 mg OD	Not recommended
Child-Pugh class C	10 mg OD	Not recommended
Renal impairment		
eGFR ≥30 mL/minute	20 mg OD	20 mg BD
eGFR <30 mL/minute	10 mg OD	Not recommended

eGFR: estimated glomerular filtration rate

**Table 6:** Salient features of vonoprazan

- \* Rapid onset of action (within 30 minutes)
- \* Acid stable, no need of enteric coating; PPIs are acid labile
- \* No impact of food, administered with or without food
- \* Inhibits both active and inactive proton pumps; PPIs inhibit only the active pumps
- \* Longer lasting acid suppression (durable 24-hour acid control)
- \* Effective control of nocturnal acid breakthrough
- \* More rapid healing of EE than PPIs
- \* First choice (over PPIs) in patients with more severe EE (Los Angeles Grade C & D)
- \* Improved GERD symptom relief after switching from PPIs
- \* Effective alternative to high-dose IV PPIs for the prevention of re-bleeding after endoscopic haemostasis in high-risk PU bleeding
- \* Clinical use in Japan for more than 8 years, approved in 15+ countries including the USA and India
- \* 5 years safety (Japanese VISION trial), no increased risk of malignant alterations
- \* No CYP2C19 related drugs interactions, can be administered with antithrombotic drugs like clopidogrel

concerns were reported with its use for up to 1 year. A recent VISION trial was a 5-year open-label randomized trial of vonoprazan for maintenance of EE. Adult patients who had healed EE on endoscopy entered the maintenance phase and were randomized to vonoprazan 10 mg or lansoprazole 15 mg once daily. At the end of 5 years, cumulative recurrence of EE was significantly lower with vonoprazan. Adverse events leading to treatment discontinuation were 4.4 and 1.5% in the two groups, respectively. Compared to lansoprazole, a higher proportion of vonoprazan patients had parietal cell hyperplasia and foveolar hyperplasia, with similar rates of enterochromaffin-like cell hyperplasia and G-cell hyperplasia. Though median serum gastrin levels were significantly higher, there was no increased risk of malignant transformations or gastric neuroendocrine tumors.<sup>70</sup> Another randomized trial assessed vonoprazan compared to PPI for prevention of high-risk PU rebleeding after endoscopic hemostasis. The population included elderly, hemodynamically unstable patients, indicating severe profiles. All patients had endoscopically confirmed high-risk bleeding PU and were randomized to vonoprazan

(20 mg twice daily for 3 days followed by 10 mg once daily for 28 days) or pantoprazole (8 mg/hour intravenous for 3 days followed by 20 mg twice daily for 28 days). Patient profiles included some with coronary artery disease, cerebrovascular disease, and other comorbidities. Few patients were receiving aspirin, NSAIDs, warfarin, and direct oral anticoagulants. Thirty-day rebleeding rates were similar in the two groups (7.1 vs 10.4%, respectively), and vonoprazan was considered noninferior to pantoprazole.<sup>71</sup> Another study evaluated vonoprazan for bleeding after endoscopic submucosal dissection (ESD)-induced gastric ulcers in patients who were receiving antithrombotic therapy. Data synthesis from a randomized trial and observational study reported post-ESD bleeding in 8/86 patients in the vonoprazan group and 18/86 patients in the PPI group. This indicated better efficacy of vonoprazan than PPIs in preventing post-ESD bleeding among patients who are receiving antithrombotic medications.<sup>72</sup> Another nationwide database study from Japan identified that in 16,145 patients with ischemic heart disease who were receiving >2 antithrombotic agents (clopidogrel, ticagrelor, ticlopidine, prasugrel,

or a low-dose aspirin), vonoprazan was noninferior to PPI in terms of UGI bleed occurrence at 6 months (3.14 vs 4.17%, respectively).<sup>73</sup> These data indicate that there is a less likely possibility of drug-drug interactions with vonoprazan, especially with antithrombotic drugs such as clopidogrel. Given the minimal activity of CYP2C19 in metabolizing vonoprazan, interaction based on CYP2C19-metabolizing medications is minimal. Also, the substantial inclusion of patients with established cardiovascular disease in these studies indicated no likely increased risk of cardiovascular abnormalities. However, further long-term studies are necessary with vonoprazan.

- Consensus 12.1: In the maintenance therapy of GERD, vonoprazan is found to be safe (clinical evidence is up to 5 years— as per Japanese VISION trial) [agree/strongly agree: 100%].
- Consensus 12.2: In patients with cardiovascular comorbidity receiving antiplatelet therapy, vonoprazan may be considered as a treatment option with careful monitoring [agree/strongly agree: 100%].

## VONOPRAZAN IN HEPATIC AND RENAL IMPAIRMENT

As per the approved USFDA label,<sup>45</sup> dosing recommendations for different indications are shown in Table 5.

- Consensus 13.1: Vonoprazan can be considered in patients with renal impairment with careful monitoring (as per dosages suggested in Table 5) [agree/strongly agree: 100%].
- Consensus 13.2: Vonoprazan can be considered in patients with hepatic impairment with careful monitoring (as per dosages suggested in Table 5) [agree/strongly agree: 100%].

## SUMMARY

Vonoprazan is a first-in-class P-CAB that is now clinically being used in India. Based on its pharmacology and currently available clinical evidence in different APDs, Table 6 brings some salient features of vonoprazan in comparison to PPIs.

## CONCLUSION

This is the first consensus from India that provides a unified approach for the use of vonoprazan, a novel P-CAB, in the management of different APDs. The unique action of vonoprazan inhibiting active and

inactive proton pumps at gastric parietal cells provides effective acid suppression. Combined with its improved efficacy and safety in mild to severe APDs like GERD, EE, NERD, and *H. pylori* infection, vonoprazan holds promise to be a frontline therapy in APD management. Increasing evidence from the studies for de novo PUD and NSAID-induced ulcers shows substantial promise of this molecule in these indications as well. With a comparable safety profile to those of PPIs and better efficacy, vonoprazan has emerged as an excellent alternative to PPIs in mild to severe forms of APDs. In the Indian context, physicians should ensure the appropriate use of vonoprazan to gain maximum therapeutic benefits in APD patients.

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**DISCLOSURE**

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**AUTHOR CONTRIBUTIONS**

All authors contributed to the development of this consensus. All authors read and approved the final manuscript.

**CONFLICT OF INTEREST**

Ashwin Kotamkar, Shailesh Pallear and Amit Qamra are full-time employees of Macleods Pharmaceuticals Ltd.

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# Real-world Evidence Simplified for Clinicians

Abhijit Anil Trailokya<sup>1\*</sup>, Ambrish Srivastava<sup>2</sup>, Qayum Mukaddam<sup>3</sup>, Sachin Suryawanshi<sup>4</sup>, Sunil Chaudhry<sup>5</sup>, Sadanand Kulkarni<sup>6</sup>

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## ABSTRACT

Real-world evidence (RWE) is rapidly becoming a crucial component in healthcare decision-making, complementing traditional clinical trial data. Randomized controlled trials (RCTs) are widely regarded as the most reliable method for assessing the efficacy and safety of medical treatments. RWE can enhance and support the results obtained from traditional RCTs. RWE is derived from real-world data (RWD). Understanding RWE is essential for clinicians as it helps in guiding treatment decisions, assessing the effectiveness of therapies, and monitoring drug safety in broader patient populations. This article explores what clinicians need to know about RWE, its sources, applications, challenges, and future implications in healthcare.

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## INTRODUCTION

Real-world evidence (RWE) is generated from real-world data (RWD) and provides clinical insights into the usage, benefits, and potential risks of medical therapies. It is collected through various research methods, including observational studies (prospective or retrospective), randomized trials, large simple trials, and pragmatic trials.<sup>1,2</sup>

## WHAT IS THE DIFFERENCE BETWEEN REAL-WORLD DATA AND REAL-WORLD EVIDENCE

Real-world data refers to information collected outside the structure of traditional randomized controlled trials (RCTs).<sup>1</sup> It encompasses data on patient health, treatment practices, outcomes, and healthcare delivery, sourced from electronic health records (EHRs), claims and billing databases, patient registries, and wearable devices (Fig. 1).

The utility of RWD in clinical practice is becoming increasingly recognized for its potential to provide insights into the effectiveness, safety, and cost-effectiveness of treatments in diverse and routine clinical settings.<sup>3</sup>

Real-world evidence has emerged as a valuable complement to RCTs in clinical practice. Derived from RWD, RWE offers a broader and more practical perspective on how treatments perform in routine healthcare settings (Fig. 2).

## IMPORTANCE OF REAL-WORLD EVIDENCE

Real-world evidence has emerged as a valuable complement to RCTs in clinical practice.<sup>4,5</sup> Derived from RWD, RWE offers a broader and more practical perspective on how treatments perform in routine healthcare settings.

- Real-world data often provides a broader perspective on how a new treatment

works in actual clinical practice compared to relying exclusively on traditional RCTs.

- Real-world evidence can deepen our knowledge of what is effective for various types of patients within a wider context.
- RWE enables researchers to assess the effectiveness of medications and other interventions while considering additional variables and influencing factors.
- The generation of RWE is typically more cost-efficient and faster compared to traditional RCTs.
- RWE offers valuable insights into how treatments perform within specific patient subgroups that may not have been included in RCTs.
- It evaluates the real-world effectiveness of a treatment or intervention, examining how it performs in everyday settings.
- RWE can also help researchers track a patient's outcomes over a lifetime, beyond the limited duration of RCTs.

Due to these advantages, the FDA also utilizes RWD and RWE to track postmarket safety, monitor adverse events, and assist in regulatory decisions in specific cases.<sup>4</sup>

## HOW REAL-WORLD EVIDENCE DIFFER FROM RANDOMIZED CONTROLLED TRIAL

Real-world evidence and RCTs are two fundamental approaches used in clinical research to evaluate the safety, efficacy, and effectiveness of medical treatments.<sup>5</sup> While both methods provide valuable insights, they differ in their design, application, and the type of evidence they generate.

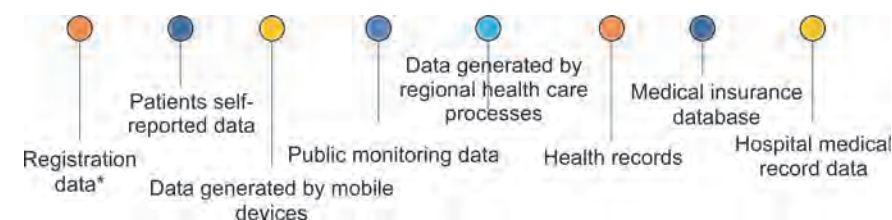


Fig. 1: Sources of RWD

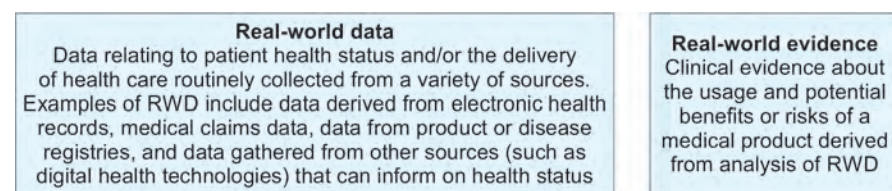


Fig. 2: RWD vs RWE

<sup>1</sup>Head, Department of Medical Affairs, Indoco Remedies Limited, Mumbai, Maharashtra; <sup>2</sup>Pharma Consultant, Ahmedabad, Gujarat; <sup>3</sup>Director-Business Strategy, CLINICA Research Solutions, Navi Mumbai; <sup>4</sup>Director Medical Services, Emcure Pharmaceuticals Ltd., Pune; <sup>5</sup>Director Solutions Thane and Consultant Edenwell Therapeutics, Thane; <sup>6</sup>Head—Medical, Regulatory, Vigilance and Quality (South Asia), Department of Medical Affairs, Fresenius Kabi India Private Ltd., Pune, Maharashtra, India; \*Corresponding Author

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**Table 1:** Comparison of RCTs vs RWE with examples<sup>5</sup>

	<i>RCTs</i>	<i>RWE</i>
Study design and methodology	<p>RCTs are considered the “gold standard.” Participants are randomly assigned to receive either the intervention being studied or a control (e.g., placebo or standard treatment). This randomization minimizes bias and confounding variables, allowing for a clear attribution of outcomes to the intervention</p> <p>RCTs typically use strict inclusion and exclusion criteria to create a homogeneous study population, which enhances internal validity but may limit generalizability to broader patient populations</p>	<p>RWE is derived from RWD collected outside of the controlled environment of RCTs. This data comes from various sources such as electronic health records (EHRs), claims databases, registries, and wearable devices</p> <p>RWE studies are observational and often include diverse patient populations in real-world clinical settings. While this enhances the generalizability of findings, it also introduces potential biases and confounding factors due to the lack of randomization</p>
Population characteristics	<p>RCTs often include highly selected patient populations based on strict eligibility criteria. This ensures that the participants are relatively homogeneous, which helps isolate the effects of the intervention but may not reflect the broader, more diverse patient populations encountered in everyday clinical practice</p> <p>Example: Many diabetes RCTs exclude patients with significant comorbidities, which limits the applicability of the findings to real-world patients who often have multiple health conditions</p>	<p>RWE studies include a broader range of patients, reflecting the diversity seen in routine clinical practice. This includes patients with various comorbidities, different demographic characteristics, and diverse treatment histories, which enhances external validity and applicability to everyday clinical settings</p> <p>Example: Real-world studies have shown how diabetes medications perform in patients with multiple comorbidities, providing a more comprehensive understanding of their effectiveness across diverse patient groups</p>
Outcome measures	<p>RCTs primarily focus on specific, predefined clinical endpoints (e.g., reduction in HbA1c levels, reduction in cardiovascular events). These endpoints are carefully selected to demonstrate the efficacy of an intervention under ideal conditions</p> <p>Example: The DECLARE-TIMI 58 trial evaluated the cardiovascular safety of dapagliflozin in patients with type 2 diabetes by measuring major adverse cardiovascular events (MACE) as the primary endpoint<sup>6</sup></p>	<p>RWE studies often assess a broader range of outcomes, including effectiveness in real-world settings, safety, patient-reported outcomes, adherence to therapy, and healthcare utilization. This provides a more comprehensive picture of how interventions are performed in routine clinical practice</p> <p>Example: The DISCOVER study used RWD to examine the real-world effectiveness, safety, and patterns of use of second-line diabetes treatments across diverse healthcare settings and patient populations<sup>7</sup></p>
Study environment	<p>Conducted under tightly controlled conditions to ensure consistency in the administration of the intervention and monitoring of patients. This controlled environment minimizes confounding factors but may not reflect real-world clinical practice</p> <p>Example: In RCTs, patients often receive more frequent monitoring and follow-up than they would in routine clinical care, which can impact adherence and outcomes</p>	<p>Conducted in real-world settings, such as hospitals, clinics, and community health centers, where variations in practice patterns, patient behaviors, and adherence can influence outcomes. This setting reflects the complexity and variability of everyday clinical practice</p> <p>Example: Real-world studies of SGLT2 inhibitors have shown different adherence rates and outcomes compared to RCTs, influenced by factors such as medication cost, patient preferences, and local healthcare practices</p>
Regulatory and policy impact	<p>RCTs are the primary source of evidence for regulatory approvals of new drugs and interventions. Regulatory agencies, such as the FDA and EMA, require high-quality RCT data to demonstrate the efficacy and safety of new treatments before granting approval</p> <p>Example: The FDA used RCT data from the EMPA-REG OUTCOME trial to approve empagliflozin for reducing cardiovascular risk in patients with type 2 diabetes</p>	<p>RWE is increasingly being used by regulatory agencies to support decision-making, especially for postmarketing surveillance, label expansions, and comparative effectiveness research. RWE can provide insights into the long-term safety and effectiveness of interventions in broader patient populations</p> <p>Example: The FDA has utilized RWE to support the expanded use of certain medications based on RWD demonstrating additional benefits or safety profiles in diverse patient populations</p>
Strengths	<p>Regulatory acceptance as the gold standard for clinical evidence</p> <p>High internal validity due to randomization and controlled conditions</p> <p>Clear attribution of outcomes to the intervention being studied</p>	<p>Ability to study a broader range of patient populations and outcomes</p> <p>Provides insights into long-term safety, effectiveness, and healthcare utilization</p> <p>High external validity and generalizability to routine clinical practice</p>
Limitations	<p>Often costly and time-consuming to conduct</p> <p>Limited generalizability to real-world populations due to strict inclusion/exclusion criteria</p> <p>May not capture long-term safety and effectiveness in diverse patient populations</p>	<p>Susceptible to biases and confounding factors due to lack of randomization</p> <p>Requires robust statistical methods to address potential biases</p> <p>Variability in data quality and completeness</p>
Cost	Costly	Less costly

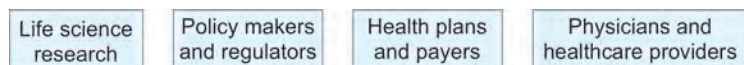
**Table 2:** List of recent use of RWE in different stages of drug approval cycle<sup>5</sup>

Name of the drug	Source of RWE	Regulatory agency involved in decision-making	Date	Regulatory action supported
Palbociclib	Electronic health record data, claims data, postmarketing safety reports to support clinical efficacy, safety in new patient population	USFDA	April 2019	Supplemental indication approval
Tacrolimus	Retrospective observational study of data from the US SRTR (Scientific Registry of Transplant Recipient)	European Medicines Agency	July 2021	Supplemental NDA approval

Understanding these differences is crucial for healthcare professionals (Table 1).

## USAGE OF REAL-WORLD EVIDENCE

Real-world evidence can be widely used throughout the healthcare system.



Real-world evidence studies have been applied to investigate various health and disease aspects, including epidemiology, disease burden, treatment trends, safety, treatment effectiveness, long-term outcomes, and patient-reported measures like satisfaction, quality of life, medication adherence, and overall patient experience. Additionally, it can significantly enhance the evidence obtained from RCTs, addressing gaps in current clinical understanding.<sup>8</sup> RWE can be used for monitoring drug safety and pharmacovigilance, informing clinical guidelines and best practices, supporting comparative effectiveness research, enhancing personalized medicine, and supporting regulatory decision-making.

## LATEST EXAMPLES OF THE UTILIZATION OF REAL-WORLD EVIDENCE IN DRUG APPROVALS

In March 2017, the US FDA utilized RWE in the form of a historical control group to approve avelumab for the treatment of Merkel cell carcinoma, marking the first time RWE was used in an original drug approval (Table 2).

## REAL-WORLD EVIDENCE FOR PHYSICIANS AND HEALTHCARE PROVIDERS

Real-world evidence is increasingly important in clinical practice as it provides insights

that are more reflective of everyday patient care, compared to the highly controlled environment of RCTs. From a clinician's perspective, RWE helps improve patient outcomes, supports personalized medicine, informs clinical decision-making, fills evidence

gaps, and aids in policy and reimbursement decisions. For example, the Michigan Bariatric Surgery Collaborative uses a tool that predicts, based on individual characteristics, how a patient might respond to different types of bariatric surgery.

## CHALLENGES AND CONSIDERATIONS

Although RWE offers many benefits and is gaining broader acceptance among different stakeholders, its primary challenge lies in the variability and inconsistent quality of RWD sources, making data organization and integration crucial. Data quality and completeness: RWD can be incomplete or contain errors, making it crucial to ensure data quality and reliability. Bias and confounding: Observational data are prone to bias and confounding, which must be carefully managed through robust study designs and statistical methods. Privacy and ethical considerations: The use of patient data for RWD must comply with ethical standards and regulations, including patient consent and data privacy.<sup>9</sup>

## CONCLUSION

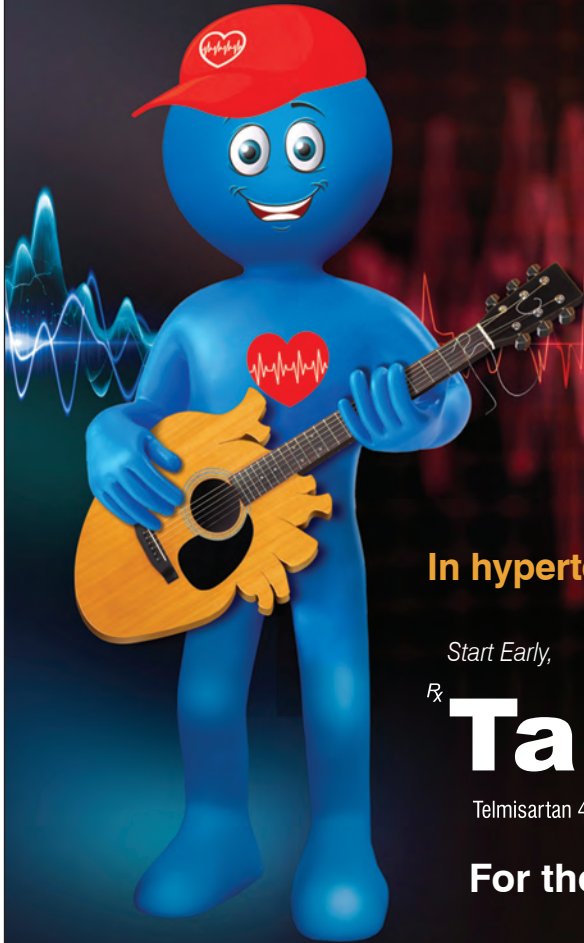
Multiple factors are contributing to the increased interest in RWE, like changes in technology and advancing analytical methods, novel types and variety of RWD,

acceptance of RWE from regulatory agencies, and payers. RWE provides an opportunity to evaluate broader populations, generate more evidence, and ask/answer more questions. RWE complements RCTs, but when combined, they may depict a more complete picture of a therapy. Understanding RWE is essential for clinicians as it helps in guiding treatment decisions, assessing the effectiveness of therapies, and monitoring drug safety in broader patient populations.

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# High Altitude De-acclimatization Syndrome: A Case Report

Rajesh Mishra<sup>1\*</sup>, Saurabh Debnath<sup>2</sup>, Ahsina Jahan<sup>3</sup>, Gaurav Mishra<sup>4</sup>

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## ABSTRACT

High altitude de-acclimatization syndrome (HADAS) is a clinical entity that arises when individuals return abruptly from high-altitude environments to lower altitudes without undergoing the necessary acclimatization processes. While altitude-related illnesses have been extensively studied, HADAS remains relatively underreported and may pose diagnostic challenges due to its varied and nonspecific clinical presentation. This case report aims to shed light on the importance of recognizing HADAS as a potential consequence of inadequate acclimatization, emphasizing the need for a thorough understanding of altitude-related disorders in individuals with a history of rapid altitude changes.

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## CASE DESCRIPTION

A 38-year-old male presented with a chief complaint of headache and insomnia persisting for the last 3 days. Notably, the patient had recently undertaken a high-altitude journey, visiting locations such as Badrinath, Kedarnath, and Nepal, where he ascended rapidly *via* helicopter without allowing for proper acclimatization. His self-monitoring revealed fluctuating oxygen saturation levels (SpO<sub>2</sub>) between 86 and 96%. Despite being hemodynamically stable with a clear chest and cardiovascular examination, the patient's symptoms prompted an extensive diagnostic workup.

Investigations, including echocardiography, pulmonary function tests, and diffusion capacity (DLCO) assessment, yielded normal results, ruling out common causes of hypoxemia. Arterial blood gas analysis demonstrated a pH of 7.42, PaO<sub>2</sub> of 120 mm Hg, and PaCO<sub>2</sub> of 32 mm Hg on room air, indicative of normoxemia. A detailed travel history brought to light that the patient went up by helicopter, did not take acetazolamide, stayed there for 2–3 days, and came down by helicopter, leading to insufficient de-acclimatization to high altitude, raising suspicion for high altitude de-acclimatization syndrome (HADAS).

## Diagnostic Assessment

The diagnostic journey involved a systematic approach to exclude potential causes of hypoxemia. The absence of pathological hypoxemia on arterial blood gas analysis, coupled with the patient's travel history, led to the consideration of HADAS as the primary etiology. This diagnosis was further supported by the patient's symptoms and the rapid ascent and descent without adequate acclimatization.

## Treatment and Outcome

The patient received a therapeutic intervention consisting of acetazolamide, a diuretic with carbonic anhydrase (CA) inhibitory properties known to aid in acclimatization. Concurrently, hydration and electrolyte supplementation were initiated to address potential imbalances. Remarkably, the patient's symptoms improved rapidly following the administration of acetazolamide, and his SpO<sub>2</sub> levels stabilized at 95%.

## DISCUSSION

High altitude de-acclimatization syndrome represents a unique challenge in the realm of altitude-related disorders. The mechanism underlying HADAS is rooted in the intricate interplay between environmental changes and the body's physiological response to high altitudes. Unlike more widely recognized conditions such as acute mountain sickness (AMS) or high-altitude pulmonary edema (HAPE), HADAS may not present with overt symptoms immediately upon descent.<sup>1</sup> Instead, its manifestations, as illustrated in this case, may include headache, insomnia, and fluctuating oxygen saturation levels.<sup>2</sup> The diagnostic journey underscores the importance of a detailed travel history in patients presenting with such symptoms, especially in those with recent high-altitude exposure.

One key aspect involves the process of acclimatization, wherein the body gradually adjusts to lower oxygen levels at higher altitudes. This adaptation includes increased ventilation, changes in blood flow distribution, and alterations in red blood cell production. Prolonged exposure to high altitudes allows for these adjustments to occur, optimizing oxygen delivery to tissues.<sup>3</sup>

However, when individuals abruptly return to lower altitudes without affording the body

sufficient time to undergo this acclimatization process, a state of de-acclimatization ensues. The abrupt descent hampers the body's ability to cope with the sudden shift in oxygen levels, leading to a mismatch between the physiological adaptations acquired at high altitudes and the oxygen-rich environment at lower altitudes.<sup>4</sup>

Furthermore, the role of hypoxia-inducible factor-1 (HIF-1) in HADAS cannot be understated. HIF-1 is a transcription factor that orchestrates cellular responses to low oxygen levels. In high-altitude environments, sustained hypoxia triggers HIF-1 activation, promoting adaptive changes. However, when individuals rapidly descend to lower altitudes, HIF-1 continues to be upregulated, contributing to an array of symptoms associated with HADAS.<sup>5</sup>

The pathophysiological cascade also involves alterations in cerebral blood flow and vasoreactivity. Rapid descent disrupts the delicate balance achieved at high altitudes, potentially leading to cerebral vasodilation and increased permeability of the blood-brain barrier. This, in turn, may contribute to the development of symptoms such as headache and insomnia.

The prompt resolution of symptoms observed in our patient following acetazolamide administration aligns with the medication's mechanism of action. Acetazolamide, a CA inhibitor, induces a metabolic acidosis that mimics the acid-base changes associated with acclimatization.<sup>6</sup> This pharmacological intervention aids in the restoration of acid-base balance and

<sup>1</sup>Honorary Consultant Intensivist and Internist, Department of Critical Care Medicine, Shaibya Comprehensive Care Clinic, Ahmedabad, Gujarat; <sup>2</sup>Senior Consultant Critical Care Medicine, Department of Critical Care Medicine, B P Poddar Hospital and Medical Research Ltd., Kolkata, West Bengal, India; <sup>3</sup>Consultant Intensivist and Internist, Department of Critical Care Medicine, Brahmanbaria ICU Specialized Hospital, Brahmanbaria, Bangladesh; <sup>4</sup>MBBS Student Final Year, Smt Nathiba Hargovandas Lakhmichand Municipal Medical College, Ahmedabad, Gujarat, India; \*Corresponding Author

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facilitates the correction of respiratory alkalosis induced by hyperventilation at high altitudes.

Recognition of HADAS is essential for timely intervention and prevention of potential complications. Acetazolamide, by promoting renal excretion of bicarbonate, facilitates metabolic acidosis, thereby aiding in acclimatization and symptom relief. This case emphasizes the need for healthcare providers to remain vigilant to altitude-related disorders, even in the absence of severe hypoxemia or overt clinical signs, particularly in individuals with suboptimal acclimatization practices. Further research is warranted to enhance our understanding of HADAS and refine its management strategies.

## CONCLUSION

In conclusion, the presented case illuminates the significance of considering HADAS in individuals

returning from high-altitude environments without adequate acclimatization. This clinical entity, though less recognized, can manifest with subtle yet impactful symptoms, such as headache, insomnia, and fluctuating oxygen saturation levels.

The successful management of our patient underscores the importance of a comprehensive diagnostic approach, encompassing a detailed travel history and exclusion of other potential causes of hypoxemia. Recognition of HADAS allowed for timely intervention with acetazolamide, resulting in a rapid resolution of symptoms and the restoration of oxygen saturation levels to normalcy.

As our understanding of altitude-related illnesses continues to evolve, further research is warranted to refine diagnostic criteria and optimize treatment strategies for HADAS. Sharing such cases contributes to the medical community's collective knowledge and enhances our ability to provide effective care

for individuals navigating the challenges of high-altitude environments.

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# Ischemic Stroke in a Young Individual as an Atypical Presentation of Polycythemia Vera

Muskan Raina<sup>1\*</sup>, Sahil Choudhary<sup>2</sup>

Received: 17 January 2024; Accepted: 07 February 2024

## ABSTRACT

Polycythemia vera represents a neoplastic proliferative disorder characterized by an abnormal overproduction of cells of myeloid lineage, especially erythrocytes. It can infrequently present as an acute ischemic stroke as the initial manifestation. This presentation is rarer in young patients; hence, it signifies the essence of a complete evaluation to elicit an accurate diagnosis to help prevent morbidity and mortality. In this report, we present a young male with acute onset hemiparesis who, on imaging, was found to have an ischemic infarct in the posterior limb of the internal capsule. On further investigation, the patient had a markedly elevated hematocrit and hemoglobin, and a mutation in JAK2 on genetic analysis. After confirming the diagnosis, phlebotomy was performed, which brought hemoglobin levels closer to the reference range and provided symptomatic relief.

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## INTRODUCTION

While strokes in the elderly primarily stem from cardiovascular origins such as atherosclerosis and cardioembolism, the causes in younger individuals exhibit a broader spectrum. Among the various contributors to stroke in young patients, hematological disorders represent a smaller yet significant subset. Hematological diseases account for <1% of stroke cases.<sup>1</sup> Polycythemia vera (PV), a neoplastic erythrocytic disorder typically linked to a JAK2 mutation, induces heightened red blood cell mass, resulting in increased blood viscosity and a prothrombotic state. This elevated propensity for vaso-occlusive events elevates the risk of ischemic stroke, which presents as the initial manifestation in approximately 15% of PV cases.<sup>2</sup> We present this case report to promote understanding of the significance of hematological evaluation in young patients with stroke. When encountering a young patient with acute stroke, a comprehensive evaluation should be done to identify the underlying cause. Pinpointing the cause is essential for optimizing the patient's quality of life and treatment outcome, and reducing the economic burden caused by such disability in young patients.

## CASE DESCRIPTION

A 32-year-old male presented to the medicine emergency with a chief complaint of left-sided hemiparesis for 4 hours. The onset was acute and nonprogressive. The patient denied any history of headache, trauma, loss of consciousness, blurry vision, or weakness on the right side of the body or face. He

denied a history of smoking and alcoholism, had no known comorbidities, no family history of similar illness, and never had similar complaints in the past. Physical examination yielded the following findings: (1) Blood pressure—130/90 mm Hg, (2) Pulse rate—90 beats per minute, (3) Temperature—37.4°C, (4) Respiration—18 times per minute. The patient's cognitive function was intact. Stiffness in the neck was noted. Power in the left upper and lower limb was grade I, and in the right upper and lower limb was grade V. Plantar reflex was mute on the left side and downward on the right. Magnetic resonance imaging (MRI) showed an infarct in the posterior limb of internal capsule. Initial treatment was given.

Hematological investigations were done and yielded the following results (Table 1). The renal and liver function tests were within reference values. Based on the investigations, a diagnosis of PV was strongly suspected, which was confirmed by a positive JAK2 mutation on genetic study.

## DISCUSSION

Stroke is one of the leading causes of mortality worldwide and the third most common in Western countries.<sup>3</sup> Patients under 45 years of age make up about 12% of total cases of stroke,<sup>3</sup> the incidence of which is on the rise. Risk factors for stroke in young patients include cardiovascular events such as cardioembolism, premature atherosclerosis, dissection of extracranial arteries; migraine; lifestyle factors such as tobacco use and obesity; use of estrogen-containing contraceptives and pregnancy in women; vasculopathies; inherited

thrombophilia and hypercoagulable states; and cryptogenic stroke.<sup>3,4</sup> The etiology for stroke in a young patient is highly variable, with a substantial portion of it being "undetermined" and "other" etiology according to the TOAST criteria.<sup>5</sup> Compared to earlier times, identifying the cause of stroke in young adults has significantly improved due to advances in imaging and diagnostics.

Hematological diseases constitute <1% of stroke cases.<sup>1</sup> PV is a myeloid neoplasm with a mutation in JAK2 V617F in >95% cases.<sup>6</sup> The incidence of PV is quite rare, occurring in 0.6–1.6 persons per million population.<sup>7</sup> PV causes an increased risk of cerebrovascular events such as ischemic stroke and transient ischemic attacks. The mechanism by which PV leads to stroke is briefly explained by several theories that have been put forward. PV occurs due to the uncontrolled proliferation of multipotent hematopoietic stem cells, which leads to a large mass of erythropoietic cells along with granulocytes and platelets. This accumulation of various cells leads to a high hematocrit, which further causes an increase in blood viscosity. This leads to a decrease in cerebral blood flow and creation of a prothrombotic state.<sup>7</sup> The decrease in cerebral blood flow can also lead to common neurological symptoms such as dizziness and headache. Endothelial dysfunction and platelet adhesion leading to thrombus formation is another theory.<sup>6</sup> The risk of thrombosis in a PV patient increases with age and elevation in hematocrit. Embolic infarcts in the brain have also been deemed as the cause of stroke in various patients with PV.<sup>6</sup> PV is usually diagnosed incidentally when a complete blood count reveals raised hematocrit and hemoglobin. Some patients complain of headache, dizziness, pruritus, facial plethora, visual disturbance, or present

<sup>1</sup>Intern; <sup>2</sup>PG Resident, Department of Internal Medicine, Government Medical College, Jammu, Jammu and Kashmir, India; <sup>\*</sup>Corresponding Author

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**Table 1:** Hematological and biochemistry analysis

Parameter	Patient values	Reference values
Hemoglobin	23.9 g/dL	11.0–16.0 g/dL
Hematocrit	72.9%	40.0–54.0%
White blood cell count	$7.57 \times 10^3/\text{mm}^3$	$4.00\text{--}10.00 \times 10^3/\text{mm}^3$
Red blood cell count	$7.07 \times 10^6/\text{mm}^3$	$4.50\text{--}6.20 \times 10^6/\text{mm}^3$
Mean corpuscular volume	106.3 fL	80–100 fL
Platelet count	$1.5 \times 10^5/\text{mm}^3$	$1.5\text{--}4.5 \times 10^5/\text{mm}^3$
Platelet distribution width	22.3%	11.0–19.0%
Serum lactate dehydrogenase (LDH)	569.1 U/L	135.0–225.0 U/L
Serum bilirubin	2.0 mg%	0.2–1.0 mg%

with complications of PV such as thrombosis or hemorrhage. According to some studies, ischemic stroke is the first presenting symptom in about 15% of PV cases,<sup>6</sup> with the higher incidence of stroke coinciding with an older age group at presentation, peak incidence being 50–70 years.

Our patient was a seemingly healthy young adult with no apparent risk factors who presented with symptoms of stroke. MRI of the brain showed an ischemic infarct in the posterior limb of the internal capsule. An indication toward the diagnosis could only be inferred from the abnormalities detected in the hematological assay with hemoglobin at

23.9 g/dL and hematocrit at 72.9% as well as a raised red blood cell count and high lactate dehydrogenase. On further investigation, a JAK2 mutation was found on genetic analysis, confirming the diagnosis of PV.

We hope this case report can shed light on the importance of screening for hematological disorders in the event of a stroke of uncertain etiology, especially in the younger age group. In up to 4% of young adults affected by stroke, a significant contributor to brain ischemia arises from an underlying hematologic disorder or coagulopathy that increases susceptibility to thrombosis.<sup>1</sup> The contribution of a prothrombotic state to cerebrovascular

disease is unclear in the elderly, probably due to the masking of any other etiology by the more prevalent effects of atherosclerosis.

## CONCLUSION

Our case report addresses the incidence of ischemic stroke in a young patient as a rare presentation of PV. It also highlights the importance of hematological screening of young patients presenting with stroke of uncertain etiology.

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# Typhoid Fever Complicated by Sepsis and ARDS in Pregnancy: A Rare Case

Jayanta Datta<sup>1\*</sup>, Dip Kumar Chowdhury<sup>2</sup>

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## ABSTRACT

A woman in her thirties and in her second trimester of pregnancy presented with fever, shaking chills, and shortness of breath of 5–6 days duration. She developed progressive hypoxemia and tachypnea, leading to the development of acute respiratory distress syndrome (ARDS). An aerobic blood culture showed positivity for *Salmonella typhi*. Her worsening respiratory distress was treated with oxygenation through a high-flow nasal cannula. She was stabilized with intravenous (IV) antibiotics and other supportive measures and was discharged home with a live fetus in utero.

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## BACKGROUND

Acute respiratory failure is very uncommon in pregnancy but is associated with high risk of maternal and fetal morbidity and mortality. It may occur due to a variety of medical conditions of both infectious and noninfectious etiology.<sup>1</sup> Several immediate precipitants such as pneumonia, cardiogenic pulmonary edema, acute respiratory distress syndrome (ARDS), asthma, pulmonary embolism, and amniotic fluid embolism have been implicated to cause acute respiratory failure in pregnant women.<sup>2</sup> Early diagnosis of underlying conditions is of utmost importance as it is helpful in directing management approach.

Typhoid fever is one of the most common infectious diseases in the tropical region. It can lead to various systemic complications if left untreated. However, involvement of the respiratory system is limited to mild respiratory symptoms like a dry cough. ARDS is rarely reported in adult individuals with typhoid fever and has not been reported so far in pregnant women with typhoid fever. ARDS is ubiquitous among pregnant women in intensive care unit, contributing directly and indirectly to maternal death. However, a literature review revealed hardly any case report of ARDS as a complication of typhoid fever in pregnancy.<sup>3</sup>

## CASE DESCRIPTION

A woman in her thirties, multigravida and 26 weeks pregnant, visited a tertiary healthcare hospital with a history of high-grade fever with shivering, chills, and occasional shortness of breath and cough for the last 10 days. Her significant past medical history included the termination of a 6-month-old pregnancy 2 years ago due to fetal anomaly.

Her vital parameters at emergency were: Glasgow Coma Scale (GCS)—15/15, blood pressure (BP)—90/60 mm Hg, tachycardia, heart rate (HR)—142/min with 95–96% saturation in room air. There were no skin rashes, petechiae, or ecchymosis without any engorged neck veins or palpable lymph nodes. Her chest, cardiac, and abdominal examinations were unremarkable. On day 2 in hospital, she developed episodes of hypoxia with intermittent high-grade fever and gradually worsening respiratory distress with orthopnea and increasing oxygen demand. On examination, she was conscious but appeared toxic and tachypneic. Her BP was 90/60 mm Hg. Auscultation revealed decreased air entry on both sides and scattered crepitations with expiratory wheeze. Her saturation was 82% with 4 L of oxygen via nasal cannula, respiratory rate was 46/min, and temperature was 102°F. Fetal Doppler was done with fetal heart sound (FHS) 157 bpm. Echocardiography showed good biventricular systolic function with adequate diastolic compliance with an ejection fraction of 62%. As chest X-ray could not be performed, lung assessment was done using serial lung ultrasonogram, which initially revealed well-defined spacing lines starting from the pleural line and reaching the edge of the screen. These B1 lines reflect thickening of interlobular septa. Later, lung consolidations with significant aeration loss revealed hypoechoic areas. On the day of admission, her arterial blood gas (ABG) showed: pH—7.490, pCO<sub>2</sub>—27.4, pO<sub>2</sub>—68.8 with P/f ratio of 328 mm Hg, which deteriorated in spite of supplemental oxygen therapy on day 2 of admission as follows: pH—7.500, pCO<sub>2</sub>—28.3, pO<sub>2</sub>—50.1 with P/f ratio of 135. Based on these data, she was shifted to medical intensive care

unit (MICU) from the general ward. Despite initial oxygen therapy with a nasal cannula and high-flow mask, her saturation did not improve, so she was put on high-flow nasal cannula (HFNC) with the highest settings as follows: temperature—37°F, flow—60 L/min, SpO<sub>2</sub>—90%. Initially, intravenous (IV) 2 gm of ceftriaxone was started empirically, which was escalated to 1 gm of Inj meropenem in view of critical condition of the patient with a precious pregnancy. Subsequently, the blood culture report showed growth of *Salmonella typhi* sensitive to meropenem. Hence, no change in antimicrobial therapy was done. Opinions of a pulmonologist and obstetrician were taken into account.

## INVESTIGATIONS

- The lab reports revealed a hemoglobin level of 7.7 g/dL, total leukocyte count (TLC)—4,300/mm<sup>3</sup> (neutrophils—90) and presence of toxic granules, platelet count—1,65,000/mm<sup>3</sup>, C-reactive protein (CRP)—191.6 on the day of admission, which changed with time on day 3 as Hb—7.8 g/dL, TLC—9,500/mm<sup>3</sup> (neutrophils—75), platelet count—2,20,000/mm<sup>3</sup>, and CRP—25.3 mg/dL. Blood electrolytes, kidney function test (KFT), and liver function test (LFT) were within normal limits. Blood and urine cultures were sent, which showed no microbial growth.
- No radiological investigations were performed to preserve her pregnancy from radiation exposure.
- Procalcitonin—9.76 ng/mL, D-dimer—7.89 mg/L, prothrombin time—international normalized ratio (PT-INR)—0.95, and NT-proBNP—1,830 pg/mL.

<sup>1</sup>Senior Consultant and Head of the Department, Department of Internal Medicine;

<sup>2</sup>Senior Registrar, Department of Internal Medicine, Charnock Hospital, Kolkata, West Bengal, India; \*Corresponding Author

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## TREATMENT

The patient was treated in MICU with initial empirical therapy of IV ceftriaxone 2 gm twice daily. Once the patient developed hypoxic respiratory failure, antimicrobials were escalated to IV meropenem 1 gm thrice daily in view of the precious pregnancy and critical condition of the patient. After getting the blood culture and sensitivity report showing presence of *S. typhi*, sensitive to meropenem, no change in antimicrobial therapy was carried out.<sup>3</sup>

## OUTCOME AND FOLLOW-UP

The patient was initially kept on nasal cannula oxygen therapy but due to progressive hypoxia, she was put on HFNC oxygen along with escalated antimicrobial therapy. Eventually, she responded well to IV antimicrobials and HFNC oxygenation, and her oxygen support was slowly tapered off. Daily FHS checking was done with hourly evaluation of the mother. With progression of the disease, her overall condition improved, her oxygen demand decreased with time, and she was discharged in hemodynamically stable condition with a viable single live fetus. She is now under regular follow-up at medicine and gynecology outpatient department.

## DISCUSSION

Acute respiratory distress syndrome is a rare and potentially fatal complication of typhoid fever. In this case, this fatal condition occurred at 26 weeks of pregnancy with sepsis. ARDS-related maternal mortality ranges from 9 to 44%, and perinatal mortality ranges from 20 to 30%.<sup>4</sup> The pathophysiology of extraintestinal complications of typhoid fever depends on the ingested inoculum size, virulence of the strain, immune response of the host, history of previous exposure, and locally present protective factors. Usually *S. typhi* enters the bloodstream resulting in transient bacteremia. The organisms are rapidly phagocytosed via macrophages and monocytes of the reticuloendothelial system. However, the viable remnant bacilli multiply in the reticuloendothelial system and re-enter the bloodstream causing secondary bacteremia.<sup>5</sup>

Pulmonary manifestations in typhoid fever are less commonly reported in forms of bronchitis, pneumonia, lung abscess, empyema, and rarely ARDS mainly found in an immunocompromised patient with acquired immunodeficiency syndrome (AIDS), leukemia, Hodgkin's disease, sarcoidosis, and kidney transplant recipients. The extremities of ages are generally involved. Nevertheless, this case of a pregnant woman with a rare complication of typhoid fever is rare and unusual. The literature about its pathophysiology is scarce. It has been noted that *Salmonella* causes lung tissue damage by activating the complement system leading to blood corpuscle infiltrations leading to pulmonary fibrosis. Others have proposed that endotoxemia induces an increased alveolar capillary permeability leading to alveolar edema and proteinosis. Regardless of underlying pathology and proposed mechanism, ARDS has its stages of disease progression which include: damage of the alveolar-capillary membrane, a proliferative phase of improved lung function with healing, and a final fibrotic stage ending the acute phase of the disease.<sup>6</sup>

Evidence shows that blood cultures have 40–80% positivity in the diagnosis of *S. typhi* and others including stool cultures around 30–40%. Bone marrow cultures show sensitivity of nearly 90%, which signifies that bone marrow culture is the most sensitive diagnostic tool especially in complicated cases or in those cases where antimicrobial therapy has already been started or diagnosis remains uncertain. In this case, without any delay or waiting for the culture sensitivity report, prophylactic antibiotics was started with cephalosporins and moxifloxacin. Then on day 2, it was escalated to IV meropenem keeping in mind the criticality of the situation and continuation of the precious pregnancy.<sup>7</sup>

Acute respiratory distress syndrome is a rare complication of typhoid fever in the adult population; only a handful of cases have been reported in literature review but none has been reported in pregnancy so far. Most of the cases of typhoid fever with ARDS resulted in fatalities in spite of mechanical ventilation. This case was different because of the association of pregnancy with typhoid fever, which limited the scope for imaging and use of

appropriate medications. She was treated with HFNC oxygenation along with initial empirical antibiotic therapy to which she responded well. She survived this fatal complication of typhoid fever and was discharged home with a live healthy fetus. This case highlights the importance of early antibiotic therapy and oxygenation through HFNC with good clinical outcome. The patient is currently on regular follow-up in antenatal clinic.

## LEARNING POINTS/TAKE HOME MESSAGES

- Typhoid fever is one of the most common infectious diseases in the tropical region.
- It is sometimes complicated by the involvement of various organ systems including the respiratory system, which is manifested commonly by bronchitis, pneumonia, etc. However, ARDS is an extremely rare occurrence. Most of the cases of ARDS in typhoid were reported in the pediatric age group. Only a handful of cases were reported in adult patients. However, none of the cases was found in association with pregnancy.
- This case could be managed well with HFNC oxygenation due to our recent experience handling COVID-19 ARDS patients.
- Though the outcome of ARDS in typhoid fever is universally grim as reported in literature, our case made an excellent recovery with a preserved healthy fetus.

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# PLA2G6-associated Neurodegeneration: A Rare Case Report of Dystonia–Parkinsonism Phenotype with a Novel Genotypic Variant

Surya Prakash<sup>1\*</sup>, Srilekha D<sup>2</sup>, Naga Srinivas PS<sup>3</sup>, Lakshmanan Sankaranarayanan<sup>4</sup>, Sowmini PR<sup>5</sup>, Sakthi Velayudham<sup>6</sup>, Malcolm Jeyaraj<sup>7</sup>, Kannan V<sup>8</sup>, Viveka Saravanan R<sup>9</sup>, Mugundhan Krishnan<sup>10</sup>

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## ABSTRACT

PLA2G6-associated neurodegeneration (PLAN) is a complex heterogeneous group of neurodegenerative diseases that results from mutations in a gene known as PLA2G6. PLAN comprises three phenotypes with overlapping clinical and radiologic features: (1) Infantile neuroaxonal dystrophy (INAD), (2) Atypical neuroaxonal dystrophy (ANAD), and (3) PLA2G6-related dystonia–parkinsonism complex (PLAN-DPC). The onset of PLA2G6-related DPC occurs in adulthood, and patients often have normal birth and development. These patients show clinical manifestations of Parkinsonian syndrome, characterized by bradykinesia and tremors with dystonia, in addition to cognitive regression as well as gait instability. Here, we report a case of PLAN-DPC phenotype in a 20-year-old girl. This case report highlights the detection of a novel variant of PLA2G6 gene mutation, c.757G>A, which has an allelic frequency of 0.001% in the gene database.

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## INTRODUCTION

PLA2G6-associated neurodegeneration (PLAN) is a hereditary degenerative condition due to mutations in the gene known as PLA2G6, causing complex and heterogeneous disease presentations.

PLAN consists of a broad clinical spectrum with distinguished radiological features that could be categorized into three main phenotypes:

1. Infantile neuroaxonal dystrophy (INAD)
2. Atypical neuroaxonal dystrophy (ANAD)
3. PLA2G6-related dystonia–parkinsonism complex (PLAN-DPC)

INAD and ANAD, with onset in childhood, present with extrapyramidal features in the form of stiffness, dystonia (predominantly axial), truncal ataxia, optic atrophy, and progressive psychomotor deterioration in some children.

PLA2G6-related dystonia–parkinsonism complex often manifests in the adult age group with clinical features of Parkinsonian syndrome in the form of tremors, bradykinesia, and dystonia, along with gait imbalance and cognitive regression.

Magnetic resonance imaging (MRI) in patients with this condition often reveals iron deposition in the basal ganglia, especially globus pallidus and substantia nigra, and cerebellar atrophy, depending on disease severity and duration.<sup>1</sup>

These phenotypes often present with diverse clinical variability, though the age of disease onset and clinical manifestations are the main criteria used to make distinctions among the PLAN subtypes.

PLA2G6 gene (phospholipase A2 group 6) encodes a protein, phospholipase A2 enzyme (iPLA2), which is expressed in various tissues in our body and catalyzes various intracellular metabolic events. The association of PLA2G6 mutations with INAD and ANAD phenotypes is often established in various case reports. The same mutation causing PLAN-DPC phenotype is being increasingly recognized.<sup>2</sup>

Here, we report a case of PLAN-DPC phenotype in a 20-year-old girl. This case report highlights the detection of a novel variant of PLA2G6 gene mutation, c.757G>A, which has an allelic frequency of 0.001% in the gene database.

## CASE DESCRIPTION

A 20-year-old female, born out of third-degree consanguineous parentage with no birth or neonatal complications, had normal growth and development. She studied up to grade 10 with average scholastic performance. She was asymptomatic up to 12 years of age when she developed deterioration of handwriting followed by a low-pitched voice. Over the next 4 years, she developed slowness of gait with stiffness of all limbs and jaw-opening difficulty. By

19 years of age, she had marked slowness in activities of daily living with a mask-like face and voice tremor.

Now, at the age of 20 years, she needs support to walk due to stiffness of limbs, gait imbalance, and foot dystonia. There is no history of seizures or cognitive decline.

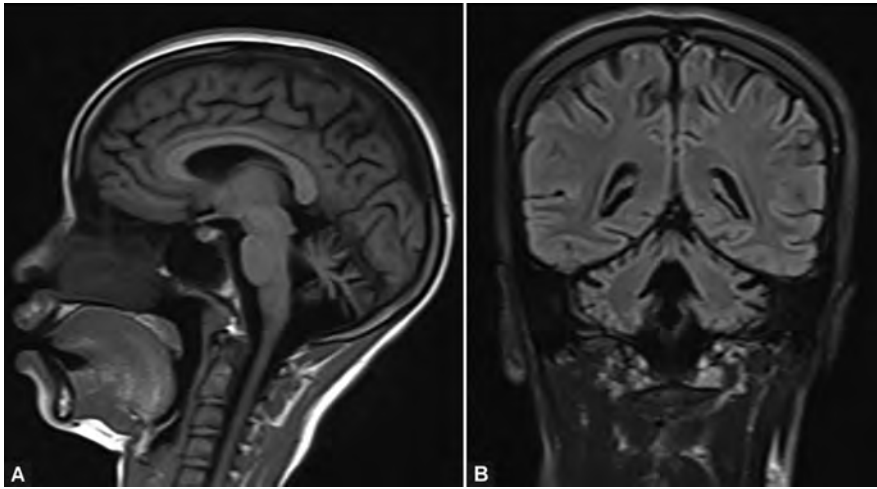
A history of similar illness was observed in family members. The patient's mother, aged 41 years, has spasticity of both lower limbs with predominant pyramidal signs in all limbs, with the onset of symptoms at the age of 35 years. Her maternal uncle, aged 36 years, has had a similar illness since the age of 20 years and is bedridden at present.

General examination revealed Parkinsonian features in the form of hypomimia, bradykinesia, and tremors of both hands. Vital signs were normal. Ocular motility and examination of other cranial nerves were unremarkable. Spinomotor examination revealed increased muscle tone throughout with preserved power and brisk deep tendon reflexes, except for ankle jerks, which were absent bilaterally. Plantar reflexes were downgoing with normal sensory and cerebellar examination. Gait was spastic and shuffling with turning en bloc, needing support.

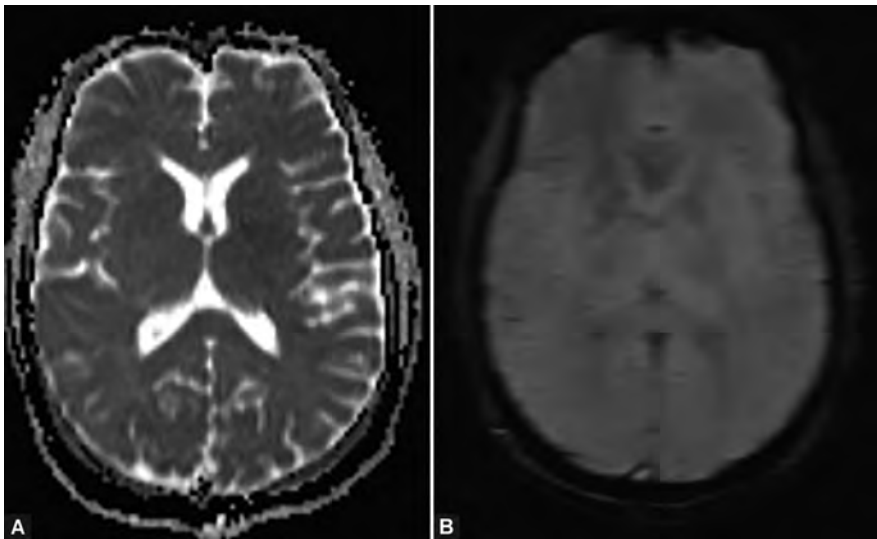
Biochemical tests including thyroid and liver function tests and other metabolic parameters, serum copper, and ceruloplasmin levels were within normal range. Cerebrospinal fluid (CSF) analysis showed no abnormality. Brain MRI showed marked cerebellar atrophy (Figs 1A and B). T2 sequence did not show any iron deposition (Figs 2A

<sup>1,2</sup>Neurology Resident; <sup>3</sup>Senior Resident; <sup>4,8</sup>Assistant Professor; <sup>10</sup>Professor of Neurology and Head; <sup>5-7</sup>Assistant Professor; <sup>9</sup>Professor, Department of Neurology, Stanley Medical College, Chennai, Tamil Nadu, India; \*Corresponding Author

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**Figs 1A and B:** Magnetic resonance imaging of brain T1 sagittal (A) and coronal FLAIR (B) sequences show prominent bilateral cerebellar folia with reduced volume of cerebellar hemispheres, smaller vermis, widening of fourth ventricle and retrocerebellar cistern—suggestive of diffuse cerebellar atrophy



**Figs 2A and B:** Magnetic resonance imaging of brain T2 axial (A) and axial SWI (B) sequences revealed absence of hypointensities and absence of signal loss in bilateral basal ganglia respectively—suggesting the absence of iron accumulation

and B). Electroencephalography (EEG) was normal.

Genetic testing reported a homozygous c.757G>A missense mutation of PLA2G6 gene resulting in p.Gly253Ser transition, which is likely a pathogenic variant. This is a novel variant that has not been reported so far in the 1,000 genomes and gnomAD databases.

## DISCUSSION

PLA2G6-associated neurodegeneration is a rare disease with a prevalence of approximately 1:1,000,000,<sup>3</sup> inherited in an autosomal recessive manner. As molecular genetic studies have been increasingly used

in clinical practice, many cases of PLAN are being reported.

The PLA2G6 gene is located on 22q13.11,<sup>4</sup> with 17 exons, and encodes the protein, group VI calcium-independent phospholipase A2 (iPLA2  $\beta$ ). This pathogenic protein, encoded by the mutated PLA2G6 gene, is implicated in pathophysiologic mechanisms causing neurodegeneration. It deranges intracellular metabolism by affecting various processes regulating oxidative stress, free radical injury, fatty acid oxidation, and apoptosis. The metabolism of docosahexaenoic acid (DHA) involved in neural development and neuroprotectin D1 (NPD1), an anti-inflammatory protein, are also affected by mutated iPLA2  $\beta$ .<sup>5</sup>

The genetic modifications of abnormal PLA2G6 gene include deletions, missense mutations, truncated mutants, and copy number variants (CNVs). This case reports a homozygous missense variation in exon 5 of the PLA2G6 gene (chr22) that results in the substitution of amino acid serine for glycine at codon 253 (p.Gly253Ser). This genotypic variant and its association with PLAN-DPC phenotype have not been reported so far.

This young female diagnosed to have PLAN with dystonia and parkinsonism had onset of symptoms at the age of 12 years. Clinical features of Parkinsonism in the form of stiffness and slowness progressed during the course of the illness. In addition to motor disturbances, behavioral changes and seizures are seen in some cases, though not observed in our case.

Radiological abnormalities in the form of various degrees of iron accumulation and cerebellar atrophy are considered only minor criteria in detecting DP phenotype, as there is wide variation ranging from gross abnormalities to subtle or no changes, as in our patient.<sup>6</sup>

Important differentials for the current phenotype include other forms of neurodegeneration with brain iron accumulation, atypical PKAN (pantothenate kinase-associated neurodegeneration), Kufor-Rakeb syndrome, MPAN (mitochondrial membrane protein-associated neurodegeneration), and BPAN (beta-propeller protein-associated neurodegeneration).<sup>3</sup>

Research is being carried out to discern various genes implicated in NBIA causation, their physiopathologic mechanisms, and targeted therapies. Up to this point of time, treatment is primarily symptomatic as there are no disease modification therapies. Our patient is being treated with levodopa and has some improvement in stiffness and dystonia. Addressing secondary complications, diet and nutrition, physical and occupational therapy are vital in the treatment of these patients.<sup>7</sup>

It is important to emphasize genetic counseling in the management protocol of these patients, especially those belonging to reproductive age group. Prenatal testing is advised in pregnancies with high risk of developing this disease.<sup>8</sup>

## CONCLUSION

As cases of PLAN-DPC are increasingly being reported, the heterogeneity in their clinical characteristics and disease course is witnessed. With more publications and knowledge sharing about this entity, our

understanding regarding typical and atypical presentations of PLAN will continue to improve. To conclude, this disorder should be one of the important differential diagnoses when approaching a case of young-onset neurodegenerative disorders.

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## ANNOUNCEMENT

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# Nonislet Cell Tumor Hypoglycemia: A Rare Paraneoplastic Syndrome

Aishwarya Gaur<sup>1</sup>, Shyam Sunder<sup>2</sup>, Prabhat Narain Sharma<sup>3\*</sup>

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## ABSTRACT

Nonislet cell tumor hypoglycemia (NICTH) is a rare and underreported cause of hypoglycemia due to excessive production of insulin-like growth factor 2 (IGF-2) and its intermediate forms, which activate the insulin receptor. Typically, certain malignancies can cause NICTH, usually as a paraneoplastic syndrome. Diagnosis requires a raised IGF-2/IGF-1 ratio. Surgery forms the cornerstone of management, while glucocorticoids are an alternative when surgery is not possible. We present a unique case of a 27-year-old male, who was a follow-up case of chronic hepatitis B infection and presented with a gall bladder fossa mass and recurrent, severe episodes of hypoglycemia. Workup revealed low insulin and C-peptide and suppressed IGF-1 with normal pituitary function. Clinicians should consider the possible diagnosis of NICTH when laboratory and immunohistochemical (IHC) data do not support more common causes, such as insulinoma.

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## INTRODUCTION

Nonislet cell tumor hypoglycemia (NICTH) is one of the rarest causes of hypoglycemia. NICTH has been linked with several epithelial and mesenchymal tumors. It may be misdiagnosed due to its rarity, nonconforming presentation, and ambiguous lab findings. It can cause potentially fatal hypoglycemia until definite management is done. We report one such case of NICTH, secondary to hepatocellular carcinoma.

## CASE DESCRIPTION

A 27-year-old male presented in the outpatient department with upper abdominal dull aching, mild pain for 2 weeks. There were no associated complaints of vomiting, heartburn, altered bowel habits, or melena. He was a known case of chronic hepatitis B, diagnosed 9 months ago, and was on tenofovir disoproxil for the same. On physical examination, there was no pallor, icterus, or lymphadenopathy. Abdominal examination revealed soft, non-tender, mild hepatomegaly.

Laboratory workup (Table 1) showed mild anemia with liver and renal function tests within the normal range. Hepatitis B virus DNA levels were undetectable. Ultrasonography was suggestive of a mixed echogenic mass measuring 8 × 9 cm in the gallbladder fossa with ascites. Triple phase CT abdomen showed a multilobulated lesion in segment V with a large exophytic component in the subhepatic region. The lesion was hypoenhancing in all phases of contrast. The liver was studded with multiple hypoenhancing lesions in both lobes, the largest measuring 6 × 6 cm in segment IVA/VIII. Serum AFP levels were grossly elevated at 1627.93 ng/mL (0–8.5 ng/mL). Whole body positron emission tomography-computed tomography (PET-CT) revealed a heterogeneously enhancing FDG-avid mass in the gallbladder fossa infiltrating segment IV/V of the liver with metastatic deposits in the liver, omentum, and pelvic mesentery (Fig. 1).

Trucut biopsy from the mass and liver lesions was suggestive of a low-grade epithelial lesion with extensive desmoplasia without any evidence of cirrhosis. Immunohistochemical (IHC) studies showed

immunopositivity for PanCK and glypican-3 and were negative for synaptophysin, chromogranin, neuron-specific enolase (NSE), thyroglobulin, CA19.9, CK5/6, CK7, CK20, P40, CD56, CD30, and SALL-4, suggesting the possibility of well-differentiated hepatocellular carcinoma or metastatic germ cell tumor.

Despite an equivocal radiologic picture, on the basis of histopathologic evidence and raised AFP and protein induced by vitamin K absence or antagonist-II (PIVKA) levels, we kept the working diagnosis of hepatocellular carcinoma and started him on sorafenib. However, after 40 days of therapy, he presented with sudden onset loss of consciousness, vomiting, and profuse diaphoresis. Spot blood sugar was 25 mg/dL, and he recovered completely with dextrose infusion. The patient was kept on continuous 10% dextrose IV infusion due to recurrent episodes of hypoglycemia upon withdrawal.

Glucose fasting test (72 hours), performed to rule out insulinoma, showed normal C-peptide (0.153 ng/mL) and insulin (<0.4 mIU/mL) levels. With suspicion of NICTH, we assessed IGF-1 levels, which were suppressed (17.30 ng/mL; 27-year-old male: 94–259 ng/mL); insulin-like growth factor 2 (IGF-2) levels couldn't be assessed due to nonavailability. Tests for diagnosis of diabetes and pituitary function were within normal reference limits for the patient's age (Table 2).

Further, to localize the lesion, DOTA NOC PET scan was done, which showed multiple, variably sized DOTA NOC avid (SUVmax 8.3) lesions in both lobes of the liver, the largest measuring 5 × 4 cm in segment VIII, associated with mildly DOTA NOC avid diffuse, nodular omental thickening.

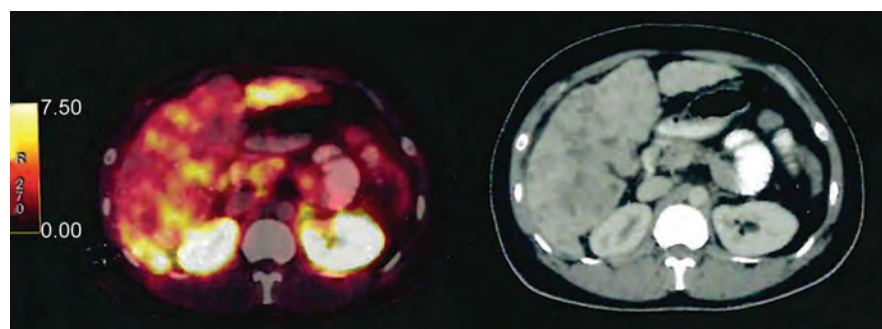


Fig. 1: PET and triple phase CT abdomen

<sup>1</sup>Resident, DNB Medicine; <sup>2</sup>Senior Specialist and Head, Department of General Medicine; <sup>3</sup>Senior Specialist and Head, Department of Gastroenterology, Employees' State Insurance Corporation Model Hospital, Jaipur, Rajasthan, India; \*Corresponding Author

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**Table 1:** Laboratory investigations

Tests	6/8/22	19/9/22	21/9/22	26/11/22	1/2/23	11/2/23	13/2/23
Hb	10.9 gm/dL		10.9		14.5	13.3	15
TLC	10800/mm <sup>3</sup>		10900		19000	19300	22000
Platelets	2,63,000/uL		2,80,000		4,00,000	5,21,000	4,61,000
AST/ALT	27.2/28.7 U/L		39/21		23.87/164.3	23.22/204.94	
T. bilirubin	0.39 mg/dL		0.55		0.48	0.62	
Albumin	3.66 gm/dL		4.38		2.76	2.88	
PT/INR					18.6/1.432 sec	18.6/1.432	18.1/1.46
Creatinine					0.35 mg/dL		
Na <sup>+</sup>					134.4 mEq/L		
K <sup>+</sup>					4.063 mEq/L		
HbSAg			Reactive	Reactive	Reactive		
AFP	1627.93 ng/mL (0–8.5)			3460			
PIVKA-II				6023.63 mAU/mL (1–40)			
HBV DNA				1.34 × 10 <sup>2</sup> IU/mL			
CA 19.9		19.3 U/mL (0–37)					
LSM	7.6 kPa (no fibrosis)	7.6					

**Table 2:** Endocrinology investigations

Date	Endocrinology tests	Results
9/2/23	C-peptide (fasting)	0.153 ng/mL (1.1–5)
	Insulin (fasting)	<0.4 microU/mL (2–25)
13/2/23	Fasting blood sugar	19.52 mg/dL (60–140)
	PP blood sugar	138 mg/dL (140–190)
	HbA1c	5.4 (<5.8 nondiabetic)
19/2/23	IGF-1	17.3 ng/mL (94–259)
	Cortisol (8 am)	12.5 ug/dL (10–20)
	ft3	3.3 pg/mL (2.2–4.3)
	TSH	2.587 uIU/mL (0.38–5.3)
	ft4	0.9 ng/mL (0.8–1.6)
	PRL	38.76 ng/mL (0–20)
	LH	9.04 mIU/mL (1–12)
	FSH	2.27 mIU/mL (1–12)

**Table 3:** Tumors causing NICTH

Hepatocellular carcinoma
Fibrosarcoma
Mesothelioma
Adrenocortical carcinoma
Hemangiopericytoma
Stomach carcinoma
Pancreatic carcinoma
Medullary carcinoma thyroid
Lymphoma/leukemia
Carcinoid syndrome

The patient was started on long-acting octreotide infusion and glucocorticoids. However, his hypoglycemia persisted, and he opted for palliative care at home.

## DISCUSSION

Hypoglycemia can be caused by an assortment of factors. It is commonly seen in diabetic

patients on insulin or oral hypoglycemic drug therapy (especially sulfonylureas). NICTH is associated with mesenchymal and epithelial tumors. Two subtypes of NICTH have been described so far. Type A is characterized by excessive malignant utilization of glucose in cachectic patients with depleted glycogen stores and malfunctioning gluconeogenesis. This type is seen during advanced malignancies when tumor burden is high. In type B NICTH, there is excessive ectopic secretion of immature IGF-2 (pro-IGF-2).<sup>1</sup>

Nonislet cell tumor hypoglycemia was first recognized >90 years ago in a case of hepatic malignancy.<sup>2</sup> The most common malignancy associated with NICTH is assumed to be hepatocellular carcinoma. However, the list of tumors implicated in NICTH is rising (Table 3). With the increasing occurrence of hepatocellular carcinoma, the occurrence of NICTH should increase but is similar so far, likely due to under/misdiagnosis.<sup>3</sup>

In the past, the mechanism of IGF-2 induced hypoglycemia remained elusive, until the recognition of immature pro-IGF-2 (or big IGF-2) in the 1980s.<sup>4</sup>

Hypoglycemia can be the presenting symptom in 50% of patients with IGF-2 associated malignancies. Alternatively, the diagnosis of cancer may herald hypoglycemia.<sup>5,6</sup> Typically, fasting hypoglycemia is observed. Due to the persistent episodes of hypoglycemia, neuroglycopenic symptoms such as confusion, psychosis, amnesia, and seizures may be the predominant clinical features of NICTH.

Insulin-like growth factor 2 has pleiotropic actions which lead to hypoglycemia. The main mechanism is cessation of hepatic glucose output. IGF-2, via activation of the insulin receptor, can inhibit gluconeogenesis, glycogenolysis, and ketogenesis (Fig. 2).

In order to narrow down the possible cause of hypoglycemia, plasma insulin, C-peptide,

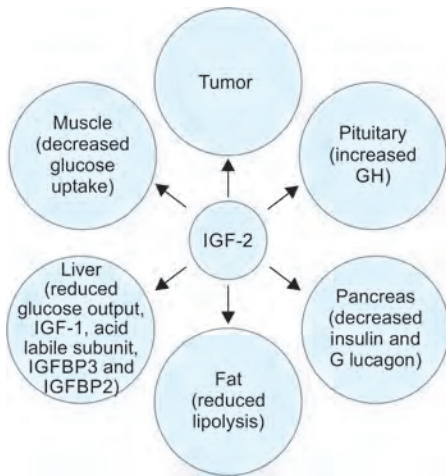


Fig. 2: Effects of excess IGF-2

proinsulin, and beta-hydroxybutyrate must be measured during an episode of hypoglycemia. NICTH will present with reduced levels of insulin, C-peptide, proinsulin, and beta-hydroxybutyrate.<sup>6</sup> Further workup of NICTH includes quantification of IGF-1 and IGF-2 levels. IGF-1 is suppressed, while the levels of IGF-2 may be normal or raised. An IGF-2/IGF-1 molar ratio of >10 confirms NICTH. Hypoinsulinemic hypoglycemia with reduced IGF-1 is robust biochemical proof for NICTH. IGF-2: IGF-1 ratio of >10 is a corresponding investigation in the absence of availability of assays for IGF-2 (which is of importance in the Indian scenario).<sup>1</sup>

Surgical excision of the offending tumor forms the cornerstone of treatment of NICTH. It results in an instantaneous solution for hypoglycemia.<sup>7,8</sup> Debulking should be an option when complete surgical excision is not feasible. Glucagon and glucocorticoids

may be used for immediate resolution of hypoglycemia; however, the effect is short-term only.<sup>8</sup>

Our case highlights multiple learning points for clinical practice. Our patient with chronic hepatitis B developing hepatocellular carcinoma without underlying cirrhosis (about 20% of total incidence) and decreased HBV DNA levels is a rare scenario.<sup>9,10</sup>

Despite the typically raised AFP and PIVKA levels, the hepatocellular carcinoma was nonenhancing on CT scan (30% of total incidence of HCC), as opposed to the classical presentation of early enhancement on the arterial phase and rapid washout on the portal venous phase.<sup>11</sup>

It had a large exophytic component, extending into the gall bladder fossa region, which is unusual for hepatocellular carcinoma, as they tend to remain within the confines of the liver.<sup>12</sup>

The hypoglycemia presented much later into the course of illness, after the diagnosis of hepatocellular carcinoma, clinically presenting as type A NICTH but was actually type B NICTH. This highlights the overlap in the clinical presentation of the two types.

### CONCLUSION

Nonislet cell tumor hypoglycemia is an underreported cause of hypoglycemia that can easily be underdiagnosed. Physicians need to have a high index of suspicion, as the incidence is on the rise, given the increasing lifespan of patients with hepatitis and hepatocellular carcinoma. It is also being diagnosed in association with an increasing number of different types of malignancies.

NICTH can cause severe hypoglycemia presenting with neuroglycopenic symptoms and should be considered in a cancer patient presenting with altered sensorium.

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# Concomitant Fat Embolism Syndrome and Pulmonary Embolism in an Orthopedic Trauma Patient

Arun Agarwal<sup>1\*</sup>, Madhawi Sharma<sup>2</sup>, Rahul Gahlot<sup>3</sup>, Shubham Agarwal<sup>4</sup>, Mala Airun<sup>5</sup>

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## ABSTRACT

Simultaneous acute pulmonary embolism (PE) and fat embolism syndrome (FES) in a trauma patient is very rare. Acute PE is a frequent complication and, at times, a fatal disease. Its most common presenting symptom is dyspnea, followed by chest pain and cough. FES is a rare clinical syndrome defined by the presence of fat globules in the pulmonary circulation. It can occur in a vast variety of clinical conditions, especially in those where fat is manipulated. Almost all cases of FES are due to long bone and pelvic fractures. It is essential to differentiate between FES and PE, as the management of both these conditions is altogether different. PE and FES are usually seen separately, and it is rare to have concomitant FES and PE in the same patient. There is a paucity of case reports in the literature wherein patients developed concomitant FES and PE. We present a case of a roadside traffic accident (RTA) with long bone fractures, who developed this unusual and rarer clinical entity of concomitant FES and PE in the perioperative period. Its presentation, diagnosis, and successful management are discussed, along with a literature review.

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## INTRODUCTION

Fat embolism (FE) and fat embolism syndrome (FES) are two different terms and are not interchangeable. Whereas FE refers to the presence of circulating fat particles in the circulation and pulmonary parenchyma, FES refers to the clinical symptoms of fat embolism. FES is seen in a variety of conditions, which could be trauma-related (orthopedic and nonorthopedic) and nontrauma-related. It is commonly seen in conditions where fat is manipulated, and almost all cases are seen following long bone and pelvic fractures, as their marrow has a high content of fat. Multiple fractures, open fractures, and delays in the time for reduction of the fracture are some of the factors associated with higher risks.<sup>1-3</sup> The prevalence of FES in trauma patients is about 1–2%, with a higher incidence in bilateral femur fractures (4.8–7.5%) and about 11% after intramedullary nail fixation of such fractures.<sup>4</sup> Pulmonary embolism (PE) is caused by obstruction of the pulmonary artery or its branches and is commonly seen in polytrauma patients. Its incidence varies between 10 and 42%.<sup>5</sup> Patients affected with FES develop a typical triad consisting of hypoxemia, neurologic abnormalities, and a petechial rash. However, none of these features are specific for FES. Patients with PE commonly develop dyspnea, cough, and pleuritic pain, besides other symptoms. Thus, in a patient with long bone fractures and hypoxemia and dyspnea, it is essential to differentiate between FES and PE, as the

management entirely differs. However, trauma patients presenting with long bone fractures, hypoxemia, and the coexistence of PE and FES can be a nightmare for any clinician. There are not too many case reports in the literature on concomitant FES and PE.

We present an unusual case of an orthopedic trauma patient with long bone fractures who developed hypoxemia and dyspnea during closed reduction and fixation of a femur shaft fracture. She was later diagnosed with concomitant FES and PE and was successfully managed and discharged. Such cases are rare in the literature.

## CASE DESCRIPTION

A 26-year-old lady sustained an RTA on 18<sup>th</sup> April 2024 at 11:30 AM and had mild epistaxis, a loss of consciousness for 30 minutes, and fractures of the right femur and tibial shaft (Fig. 1). She was admitted locally in a nursing home, and closed reduction with internal fixation was done on 19<sup>th</sup> April 2024 under spinal anesthesia. Intraoperatively, she developed breathing difficulty, hypoxemia, and fever. She was then shifted to Fortis Escorts Hospital, Jaipur (FEHJ), for further management. Her 2D echocardiogram done outside showed normal left ventricular function, right ventricular enlargement, and its dysfunction. In triage, her pulse rate was 130/minute, respiratory rate 22/minute, SpO<sub>2</sub> 98% on 10 L/minute oxygen support with a face mask, axillary temperature of 99.8°F, and blood pressure 96/60 mm Hg. Her arterial blood gas showed pH 7.37, pCO<sub>2</sub>

37.6 mm Hg, pO<sub>2</sub> 165.6 mm Hg, bicarbonate 21.3 mEq/L, and lactates 1.04 mmol/L, consistent with chronic respiratory alkalosis. Clinically, occasional scattered crepitations were present with vesicular breath sounds. She was operated on in the afternoon on 19<sup>th</sup> April 2024 for the right femur and had a slab applied to her right leg. Her D-dimer was very high—14,101 ng/mL. She was admitted for further management with a clinical possibility of FES vs PE. Her urine and plasma were sent to look for fat globules, and a 5000 IU unfractionated heparin IV bolus dose was given in triage. A computed tomography pulmonary angiogram (CTPA) was done on 19<sup>th</sup> May 2024, which showed a partial to near-complete lumen-occluding hypodense thrombus (HU = +23 to +54) in the right upper lobar pulmonary artery, extending into its segmental and subsegmental branches. Minimal lumen-occluding hypodense thrombus was seen in the anterior and superior lingular segmental and subsegmental branches of the left upper lobar pulmonary artery, and a partial lumen-occluding thrombus was seen in the subsegmental branches of the left lower lobar pulmonary artery—features suggesting bilateral pulmonary embolism (Fig. 2)—along with ground-glass opacities potentially indicative of FES (Fig. 3). Her hematology and biochemistry reports are mentioned in Table 1. She was given intravenous unfractionated heparin (UFH) in continuous infusion with aPTT monitoring as per protocol. Her noncontrast computed tomogram (NCCT) of the brain and nasal bone was normal. She had no cerebral symptoms, petechiae, or thrombocytopenia. A venous

<sup>1</sup>Director and Head, Department of Internal Medicine; <sup>2</sup>Lab Head, Department of Lab Medicine; <sup>3</sup>Resident, Department of Internal Medicine; <sup>4</sup>Medical Director, Department of Medical Administration, Fortis Escorts Hospital, Jaipur, Rajasthan, India; <sup>5</sup>Corresponding Author

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**Figs 1A and B:** X-ray of the right femur showing fracture of the right femur shaft with intramedullary nail fixation (A) and fracture shaft of the right tibial bone (B)

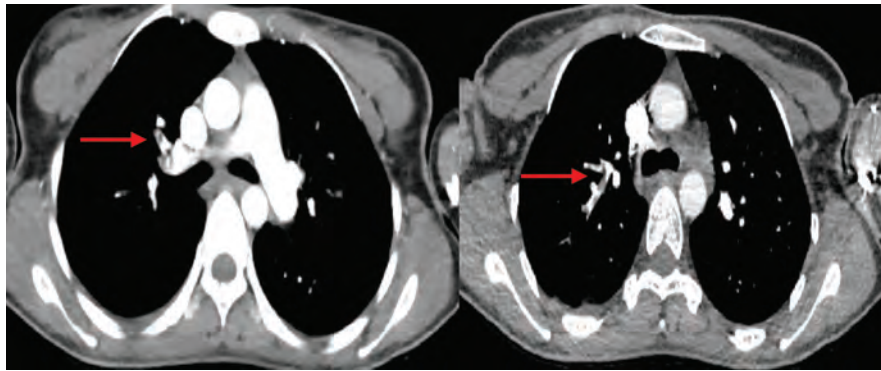


**Fig. 3:** Computerized tomogram of the chest showing ground-glass opacities potentially indicative of FES

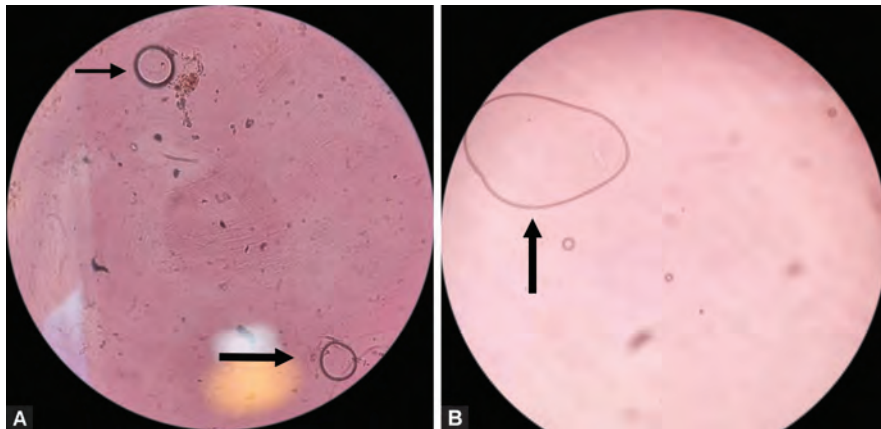
urine were reported showing the presence of fat globules (Fig. 4), confirming FES. Inj. Hydrocortisone 100 mg, intravenous, thrice a day, was added along with other supportive treatments. A fundoscopic examination was also done on 20<sup>th</sup> April 2024, and a few pale spots were seen over the macular area in the right eye. She also developed a sudden drop in hemoglobin, and 2 units of packed red blood cells were transfused. No source of obvious bleeding could be identified, and UFH was continued to treat PE. She was off NIV by the evening of 20<sup>th</sup> April 2024 and off oxygen support on 23<sup>rd</sup> April 2024. Closed reduction and internal fixation of the right tibia fracture shaft was done on 23<sup>rd</sup> April 2024 under spinal anesthesia. She was shifted to the ward on 24<sup>th</sup> April 2024. UFH was transitioned to apixaban, and she was discharged to her home on 25<sup>th</sup> April 2024.

## DISCUSSION

We have presented a case of orthopedic trauma with long bone fractures who developed concomitant PE and FES and was successfully managed and discharged. It is usually an unthinkable clinical scenario, and very few cases are reported in the literature. Precisely, fat embolism is the presence of fat globules within the circulation. In the majority of patients, it remains asymptomatic. However, if they do develop FES, it can be potentially fatal with a wide variety of symptoms, including end organ damage. FES, though originally described by the symptomatic triad of respiratory distress, neurological manifestations, and petechial rash, still has uncertainty regarding its diagnosis, prevention, and management.<sup>6,7</sup> Further, none of these symptoms are specific for FES, and its diagnosis is mainly clinical. The most common early findings are respiratory symptoms, with hypoxemia, dyspnea, and tachypnea being the most frequent. Hypoxemia was reported in up to 96% of cases in one series.<sup>8</sup> Neurological abnormalities are the next to manifest and range from acute confusional state, headaches, lethargy, irritability, delirium, stupor, seizures, focal deficits, to coma. Fortunately, these abnormalities are nearly always reversible and are reported in up to 59% of FES patients.<sup>8,9</sup> The last component of the triad to develop is the characteristic red-brown, small, bruise-like petechial rash due to the occlusion of dermal capillaries by fat emboli. It appears on the nondependent parts of the body and is seen in only 20–50% (on average, one-third) of cases.<sup>8,10</sup> The symptoms typically develop gradually over 24–72 hours.<sup>11</sup>



**Fig. 2:** CTPA showing pulmonary embolism (arrow)



**Figs 4A and B:** Fat globules seen under a microscope in plasma (A) and urine (B)

Doppler could not be done, as she had a slab on her right leg. A repeat 2D echocardiogram done on 20<sup>th</sup> April 2024 showed normal right-side heart function, mild to moderate tricuspid regurgitation with a right ventricular systolic pressure of 36 mm Hg + right atrial pressure, a dilated inferior vena cava (20 mm in width) with <25% collapsibility, and a left ventricular

ejection fraction of 55%. A bilateral lower limb CT venous angiogram was done, which was unremarkable, and no evidence of deep venous thrombosis in the lower limbs was found.

She required noninvasive ventilation (NIV) support and vasopressors for hypotension on 19<sup>th</sup> May 2024, soon after admission. On 20<sup>th</sup> April 2024, day 3, her plasma and

**Table 1:** Hematological and biochemical parameters

	19/04	20/04	21/04	22/04	23/04	24/04	25/04
CRP (0–5 mg/L)	103.8				67.4		
D-Dimer (<255 ng/mL DDU)	3100		908.6		906.4		
Creatinine (0.5–0.9 mg/dL)	0.66	0.61	0.44	0.55	0.48		
HB (gm/dL)	8.9	8.1	7.2	6.5	8.9	8.8	8.5
TLC ( $10^3/\text{mm}^3$ )	10.2	9.5	7.9	13.6	9.2	11	10.8
DLC (%)	P87L6	P89L7	P85L10	P70L14	P72L20	P87L08	P
Platelets ( $10^3/\text{mm}^3$ )	160	160	150	150	150	170	213
SGOT/SGPT (<32 U/L)	34/19						
S. Bilirubin T/D (<1.2 mg/dL)	0.74/0.34						
PT/INR	19.2/1.75						15.9/1.45
aPTT	28.2	122			43	33	23.5
Procalcitonin ng/mL (N <0.046)					12.79		
Lipase! 13–60 U/L)		7.1					
NT-proBNP (pg/mL)	1223						
CK MB (0.30–6.22 IU/L)	6.1						
CPK (39–308 U/L)	1226						
Trop T (<14 pg/mL)	7.8						

aPTT, Activated partial thromboplastin time; CK-MB, Creatine kinase–myocardial band; CPK, Creatine phosphokinase; CRP, C-reactive protein; DLC, Differential leukocyte count; Hb, hemoglobin; PT-INR, Prothrombin time–International ratio; SGOT, Serum glutamic oxaloacetic transaminase; SGPT, Serum glutamate pyruvate transaminase; TLC, Total leukocyte count; Trop T, Troponin T

The presenting features of PE are diverse, ranging from no symptoms to shock or sudden death. The most common presenting symptom is dyspnea (breathlessness), followed by chest pain (classically pleuritic but often dull and worse with breathing) and cough. As it could even be asymptomatic, it is critical to have a high level of suspicion in clinically relevant cases so that it is not missed.<sup>12</sup> The most authentic test for the diagnosis of PE is the CTPA, where the presence of intraluminal filling defects in the pulmonary artery confirms the diagnosis.<sup>12</sup>

FES and PE are the two most common differential diagnoses of respiratory distress in patients with orthopedic trauma, often presenting with hypoxemia and/or dyspnea. However, having both these conditions simultaneously or consecutively could be an ordeal for any clinician. Our patient developed hypoxemia, dyspnea, and tachypnea 24 hours after trauma and during CRIF surgery for a right femur shaft fracture. Her D-dimer was very high (14,101 ng/mL), and 2D echocardiography showed findings consistent with potential new right ventricular strain, suggesting possible PE. She also required NIV support. She was anticoagulated upon her arrival at FEHJ. Later, her CTPA showed findings consistent with PE, and she was given intravenous unfractionated heparin (UFH) as per protocol for PE. When to initiate anticoagulant therapy in trauma patients with pulmonary embolisms is also debated. In the present case, we initiated anticoagulant therapy in triage on strong clinical suspicion

and continued as per protocol once the diagnosis of pulmonary embolism was confirmed. We also did not find any active bleeding source prohibiting anticoagulation. Further, as in other case reports, we were not able to identify the source of the embolus, but we postulate that the thrombus was already present by the time of surgery, as the D-dimer was markedly raised, and it embolized during surgical manipulation. To prevent recurrence, the option of an inferior vena cava filter was discussed with the patient and family, but it was refused.

In a typical case of FES with a progressive clinical course, fracture of long bones or intramedullary instrumentation (such as nailing) is the actuating event. Following this instigating event, fat globules from bone marrow enter the venous circulation and embolize to the pulmonary capillary bed, thereby causing alveolar damage and dysfunction. This fat may also enter the systemic circulation *via* a patent foramen ovale, arteriovenous shunts, the pulmonary capillary bed, or all three, and afterward embolize and damage other organs.<sup>7</sup> Our patient had no neurological symptoms or petechial rash. However, on day 3, her plasma and urine were reported to be positive for fat globules, her hemoglobin dropped without any obvious source of bleeding, and CTPA showed evidence of ground-glass opacities suggesting possible concomitant FES. She fulfilled the Gurd and William diagnostic criteria (one major sign—respiratory symptoms plus bilateral

radiographic findings and 5 minor signs—tachycardia, pyrexia, urinary changes—fat globules, sudden drop in hemoglobin levels, and high erythrocyte sedimentation rate) for FES.<sup>13</sup> We could not demonstrate fat globules in sputum, as the patient did not have any expectoration. However, her overlapping symptoms (PE vs FES) and laboratory findings developing within 72 hours are typical of FES with concomitant PE. Patchy ground-glass opacities seen on the patient's CTPA, along with features of PE, are considered indicative of concomitant fat embolism with PE.<sup>14</sup> We could demonstrate the presence of fat globules in venous plasma, and this could be used as a potential test when other specimens, such as sputum, are not available. The management of FES is supportive in cases of orthopedic trauma. Early fixation of fractures may prevent FES. We did fixation of her right tibial fracture as soon as she stabilized. However, whether or not this strategy of early fixation of fractures works as a treatment for those with established FES is not known.

The role of systemic corticosteroids in FES is contended. The rationale for their use is based on their anti-inflammatory effects and some evidence that supports their role in preventing FES.<sup>15,16</sup> In view of her clinical condition, we considered it appropriate to give her systemic hydrocortisone, 100 mg three times daily for 3 days. However, the increased risk of steroid-associated infections should be considered with a balanced approach.

To conclude, in any polytrauma patient who presents with breathing difficulty or respiratory distress, FES and PE are the usual initial clinical diagnoses. The incidence of concomitant FES and PE is very rare, with only a handful of cases being reported. Whether it happens simultaneously, consecutively, or which occurs first is very difficult to diagnose and not of much importance in clinical practice. Nevertheless, FES and/or PE in any polytrauma patient with worsening respiratory distress are of concern due to their fatality and differing treatment modalities.

### KEY POINTS

- The highest risk patients for FES and PE are those with multiple long bone or pelvic fractures. Very rarely, they may present concomitantly.
- Clinicians dealing with such cases should maintain the highest level of clinical suspicion to avoid missing relevant cases.

- The gold standard to reduce risks of FES is early fixation of long bone fractures.
- The presence of fat globules in urine, sputum, and even plasma could be used to support clinical suspicion.

### ORCID

Arun Agarwal  <https://orcid.org/0000-0001-6449-3914>

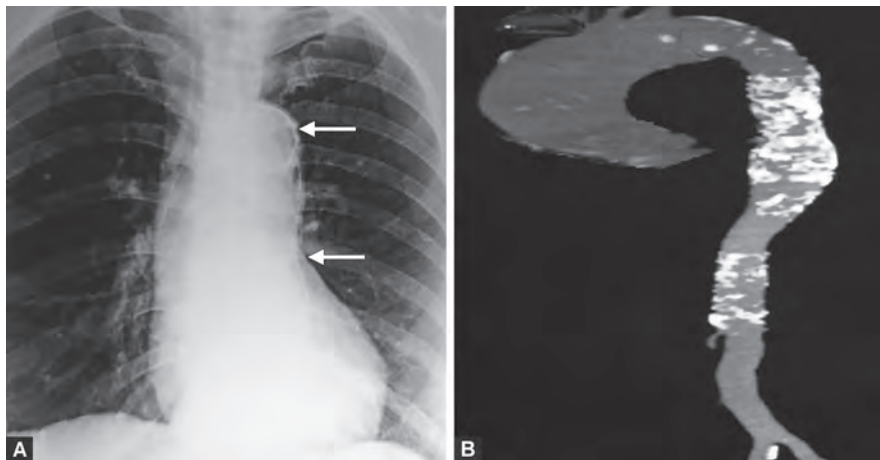
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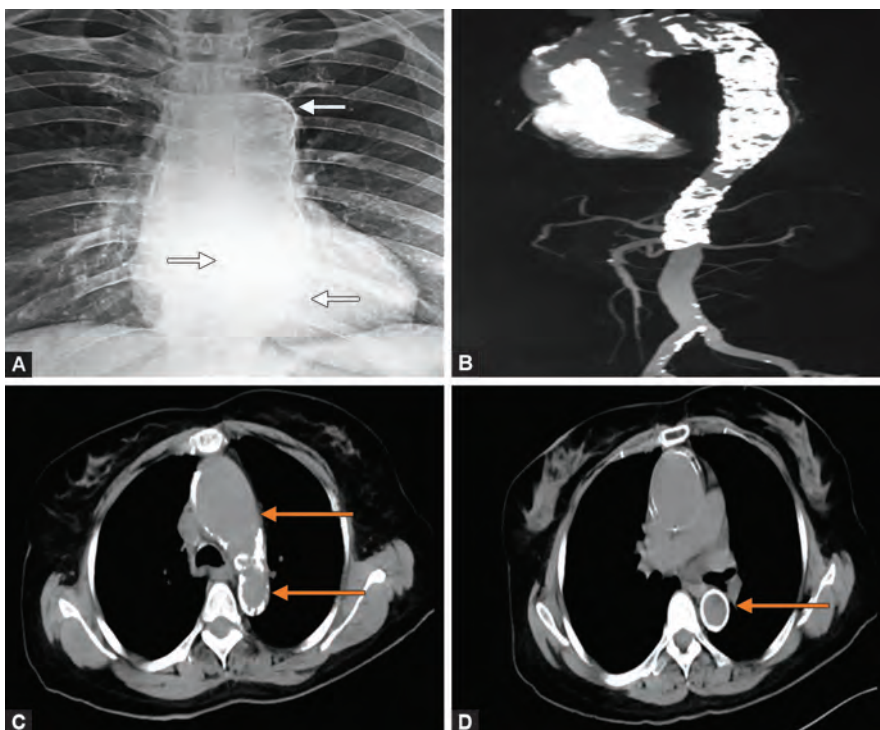
# Porcelain Aorta: Time to Recognize

Shankar Machigar<sup>1\*</sup>, Satyavan Sharma<sup>2</sup>

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**Figs 1A and B:** Chest X-ray PA view and CT aortogram in 2012. (A) Chest skiagram PA view shows a CTR of 0.5, clear lung fields, and extensive calcification outlining the dilated aortic knuckle, thoracic, and descending aorta; (B) CT aortogram shows asymmetric extensive calcification of the wall of dilated thoracic and descending aorta and mild calcification of the ascending aorta



**Figs 2A to D:** Chest X-ray PA view and CT aortogram in 2022. (A) Chest skiagram PA view shows a CTR of 0.6, further dilation of the ascending aorta, and extensive calcification of the ascending aorta, aortic arch, thoracic, and descending aorta; (B) CT aortogram reveals extension of calcification in the ascending aorta with its aneurysmal dilation as well as ectasia in the descending aorta and extension of calcification up to the origins of the renal arteries and celiac trunk; (C) CT aortogram (axial images) demonstrates nearly circumferential heavy calcification of the ascending aorta extending to the aortic arch; (D) CT aortogram (axial images) demonstrates extensive circumferential or nearly circumferential calcification of the thoracic aorta

A 54-year-old female was evaluated in 2012 for management of hypertension and dyslipidemia. Clinical examination was unremarkable except for blood pressure (BP) 160/90 mm Hg and a grade 2/6 ejection systolic murmur along the left sternal border. A skiagram of the chest (posterior–anterior view) revealed a cardiothoracic ratio (CTR) of 0.5, clear lung fields, and extensive calcification outlining the dilated ascending and thoracic aorta (arrows, Fig. 1A), prompting the diagnosis of porcelain aorta (PA). Two-dimensional transthoracic echocardiography (TTE) demonstrated concentric left ventricular hypertrophy (LVH), thickening of aortic valve, dilation of the ascending aorta without any aortic regurgitation (AR) or gradient across the valve, its outflow, and in the supravalvular region. Computed tomography (CT) aortogram showed asymmetric extensive calcification of the wall of dilated thoracic and descending aorta and mild calcification of the ascending aorta (Fig. 1B). Biochemical tests were normal except for deranged lipid profile. During the last 10-year follow-up, she has remained asymptomatic with well-controlled BP and lipids on amlodipine, ramipril, statins, and aspirin. Follow-up X-ray shows a CTR of 0.6, further dilation of the ascending aorta, and extensive calcification in ascending, aortic arch, thoracic, and descending aorta (arrow, Fig. 2A). CT aortogram revealed extension of calcification in the ascending aorta with its aneurysmal dilation as well as ectasia in descending aorta and extension of calcification up to the origins of the renal arteries and celiac trunk (Figs 2B to D). Serial TTE did not demonstrate any AR or hemodynamic alteration.

The recognition of PA has clinical implications for physicians, interventional cardiologists, and cardiac surgeons equally. Multiple definitions of PA are available in the literature. PA is a structural aortic wall disease characterized by extensive circumferential

<sup>1</sup>Senior Resident; <sup>2</sup>Honorary Cardiologist, Department of Cardiology, Bombay Hospital & Medical Research Center, Mumbai, Maharashtra, India; \*Corresponding Author

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or near-circumferential calcification of the thoracic, ascending aorta extending to the aortic arch<sup>1</sup> (Figs 2C and D). It is usually an incidental finding in patients being evaluated by a physician for a cardiovascular (CV) or pulmonary disorder. PA is often associated with coronary and valvular calcification, reflecting an underlying widespread atherosclerotic process. Ascending aorta or arch calcification has been correlated with a higher risk of CV events and mortality. The exact incidence is low in the general population but increases in the elderly and in patients being investigated for chronic kidney disease, radiation-induced CV disease, and systemic inflammatory disorders such as systemic lupus erythematosus and Takayasu's arteritis.

Two separate mechanisms lead to the formation of aortic calcification: atherosclerosis involving the intima and calcification of the medial layer in the absence of atheroma. Diagnosis can be made by fluoroscopy, chest X-ray, CT, TTE, transesophageal echo (TEE),

and intraoperative ultrasound. A CT-based calcification score has been proposed for assessing postoperative procedural risk of cerebrovascular accident following aortic, coronary surgery, or transcatheter aortic valve implantation (TAVI).<sup>2</sup>

These patients can remain stable for long periods as was our patient during 12 years of follow-up, without any major change on CT aortogram. Management involves aggressive control of risk factors to prevent aortic dilation and any adverse CV events.

The crucial implication is an increased risk of stroke during open-heart surgery caused by embolization of atheromatous material resulting from manipulation of the ascending aorta. Aortic calcification can interfere with aortic cannulation, clamping, aortotomy, coronary anastomosis, or intra-aortic balloon placement and needs modification of conventional techniques to avoid complications.<sup>3,4</sup> The current era is one of TAVI, which can be successfully and safely

performed in patients with severe aortic stenosis who may be ineligible for cardiac surgery.<sup>5</sup> All clinicians should be aware of the clinical implications of PA and diagnose this entity by chest X-ray, fluoroscopy, or CT.

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# Challenges Faced in a CAPD Patient with Hemoperitoneum



Divya Sundar<sup>1</sup>, Georgi Abraham<sup>2\*</sup>, Cherin Josi<sup>3</sup>, Milly Mathew<sup>4</sup>, Karthik Madhivanan<sup>5</sup>

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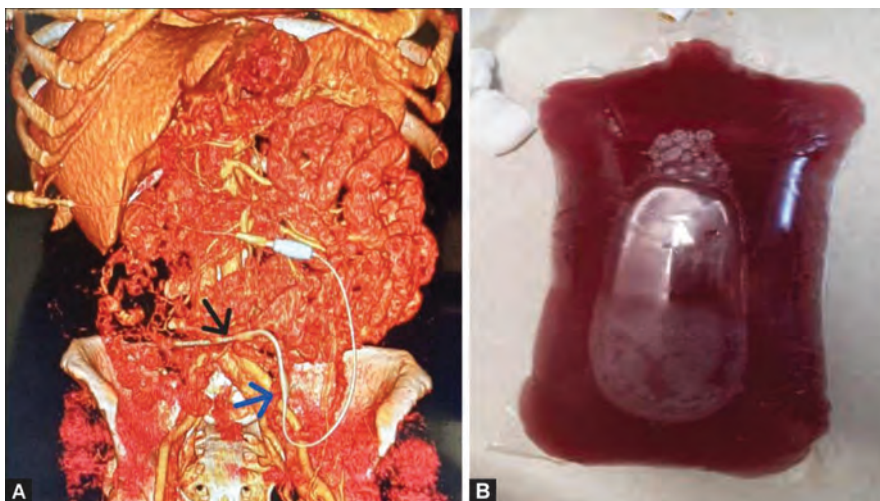
A 54-year-old, nondiabetic male who had an ABOi spousal kidney transplant in May 2023 underwent graft nephrectomy elsewhere due to dysfunction. He was initially on hemodialysis (HD) from July 2023 and was switched over to continuous ambulatory peritoneal dialysis (CAPD) in January 2024 due to poor hemodynamic status. He had a Swan neck Tenckhoff catheter (Fig. 1A). He noticed intermittent reddish effluent (Fig. 1B) since March 15, 2024. He denied trauma to the abdomen and was not on anticoagulant or antiplatelet drugs. His complete blood count (CBC) and electrolytes were: Hemoglobin (Hb)—7.8 g/dL, white blood cell (WBC) count— $10 \times 10^3 \mu\text{L}$ , platelet count— $186 \times 10^3 \mu\text{L}$ , creatinine—10.01 mg/dL, sodium—139 mmol/L, potassium—4.08 mmol/L, bicarbonate—23.7 mmol/L with normal coagulation profile [prothrombin time

(PT)—17 seconds, international normalized ratio (INR)—1.51 ratio] and normal liver function tests. Hepatitis B surface antigen (HBsAg) and anti-HCV Ab were negative.

As the patient was switched over to CAPD meeting adequacy parameters, the bleeding was not due to uremia. Initially, he was given two or three rapid exchanges with room temperature dialysate with 1,000 U of heparin per 2-L bag to cause peritoneal vasoconstriction, which decreased the bleeding temporarily. Computed tomography (CT) of the abdomen showed a large multilobulated mass lesion  $9.8 \times 9.6 \times 6.5 \text{ cm}$  in segment VI of the liver extending to segments VII and VIII, suggestive of hepatocellular carcinoma (HCC) (Fig. 2A). Serum alpha-fetoprotein (AFP) was 12.12 IU/mL (40% of HCC have normal AFP levels).<sup>1</sup> CEA was 2.96 ng/mL. Positron emission tomography-

computed tomography (PET-CT) scan showed no metastasis. The tumor was resected completely, and the rest of the liver was normal on histology. Histopathology showed moderately differentiated HCC with moderate cytologic atypia and scattered mitosis (Figs 2B and C). Figure 2C shows normal peritoneum without secondaries, unlikely to be metastasis. During the surgery, the peritoneal dialysis (PD) catheter was removed, and the patient was switched over to HD through his left forearm arteriovenous (AV) fistula. He had cardiac arrhythmia during the HD session. He developed peritoneal infection with multidrug resistant (MDR) *Klebsiella pneumoniae* and *Proteus mirabilis*, and he succumbed.

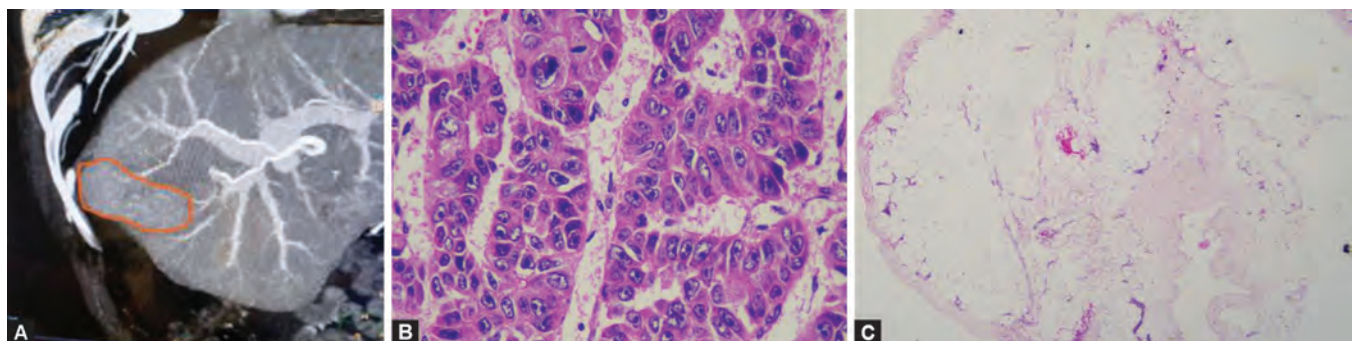
Common causes of hemoperitoneum in PD patients are due to trauma, catheter-related peritoneal infections, anticoagulant/antiplatelet drug use, peritoneal metastasis, and coagulopathy.<sup>2</sup> In our patient, HCC was directly bleeding into the peritoneum, probably due to microbleed. An alternative therapy in our patient would have been embolization of the tumor and probably continuation of PD.<sup>3</sup> Pretransplant CT imaging, which was done elsewhere, showed a small hypodense lesion (<1 cm) in the



**Figs 1A and B:** (A) PD catheter (black arrow—intraperitoneal segment, blue arrow—extraperitoneal segment); (B) Dialysis effluent showing hemoperitoneum

<sup>1</sup>Registrar; <sup>2</sup>Senior Consultant; <sup>3</sup>Associate Consultant, Department of Nephrology; <sup>4</sup>Senior Consultant, Department of Nephrology; <sup>5</sup>Associate Director Liver and Multiorgan Transplant, Department of Liver Transplant and HPB Surgery, MGM Healthcare, Chennai, Tamil Nadu, India; \*Corresponding Author

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**Figs 2A to C:** (A) Arterial phase hyperenhancement—HCC; (B) Moderately differentiated HCC with moderate cytologic atypia and scattered mitosis; (C) Peritoneal tissue with no metastatic deposits or focal lesion

posterior lobe of the liver. Immunosuppressive therapy after transplantation led to rapid growth of the tumor.<sup>4</sup> This case highlights how malignancy in an immunosuppressed patient can present as hemoperitoneum and the need to manage such cases with a multidisciplinary approach.

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# From Balding to Lustrous Locks: Levothyroxine Therapy's Transformative Impact on Hair Loss in Juvenile Hypothyroidism

Lekshmi Sudhakaran<sup>1</sup>, Rakesh Kumar Sahay<sup>2\*</sup>, Kudugunti Neelaveni<sup>3</sup>

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A 13-year-old girl was referred to the endocrinology outpatient clinic with chief complaints of significant hair loss leading to balding and poor height gain noted since the age of 5 years. She was born full term with a normal birth weight and had achieved all appropriate developmental milestones. Physical examination (Fig. 1) revealed a pulse of 56 beats per minute, height of 102 cm [ $<3$ rd percentile, height standard deviation score (Ht SDS) =  $-6.92$ ], and weight of 22 kg. She had dry skin, facial

puffiness, and a protuberant abdomen. There was diffuse hair loss involving the frontal, temporal, and occipital areas of the scalp with no scarring. Hair loss was noted in the lateral one-third of the eyebrows as well. Her thyroid function tests showed a thyroid stimulating hormone (TSH) level above 100 mIU/mL with low T4 and T3. The bone age was suggestive of 5 years. Antithyroid peroxidase (anti-TPO) testing was not done as it was not available at our center. She was diagnosed with juvenile hypothyroidism and was initially treated with

25 µg of levothyroxine, which was escalated to a full replacement dose after 2 weeks. Two months later, during the follow-up visit (Figs 2A and B), the child was more active and cheerful, facial puffiness had reduced, and there was substantial improvement in hair growth as well. Repeat thyroid function tests showed a TSH level of 1.51 mIU/mL with normal T4 and T3.

Hair growth occurs in four stages: the *anagen* phase (hair grows), the *catagen* phase (follicle shrinks and hair detaches), the *telogen* phase (new hair grows under old hair), and the *exogen* phase (old hair sheds and new hair replaces it). Thyroid hormones (THs) directly affect hair follicle function and pigmentation, promoting hair growth and regulating its cycle.<sup>1</sup> Hypothyroidism causes temporary hair loss by the phenomenon of *telogen effluvium*.<sup>2</sup> THs promote mitochondrial function, regulating energy metabolism in hair follicles, leading to an increase in specific mitochondrial genes and proteins. This directly affects hair follicle biology, and abnormal thyroid hormone production can cause hair loss. The exact mechanisms are still unclear. The thyrotropin-releasing hormone and its receptor are present in human scalp hair follicles, suggesting a possible hypothalamic–pituitary–thyroid pathway outside the hair follicles.<sup>3</sup>

Vincent et al.'s study<sup>4</sup> on hypothyroid patients showed various patterns of hair loss: Diffuse alopecia was the most common at 70%, followed by androgenetic alopecia at 14%, alopecia areata at 11%, alopecia universalis at 0.41%, diminished facial hair at 0.57%, cicatricial alopecia at 0.32%, alopecia totalis at 0.16%, and diminished body hair at 0.16%.



Fig. 1: Clinical photographs of the child at presentation showing apparent balding and madarosis



Figs 2A and B: Clinical photographs of the same child showing significant growth of scalp hair and eyebrows after receiving levothyroxine replacement

<sup>1</sup>Senior Resident; <sup>2</sup>Professor; <sup>3</sup>Professor and Head, Department of Endocrinology, Osmania Medical College, Hyderabad, Telangana, India; <sup>\*</sup>Corresponding Author

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Initially, levothyroxine treatment may cause a temporary increase in hair loss, which might be mistakenly blamed on the medication, leading to discontinued treatment and potentially worsening hair loss. This is due to the activation of resting hair follicles in the first month of therapy. However, the new hair is healthy, and over time, hair growth improves.

Levothyroxine therapy typically stops hair loss and promotes hair growth. However, in persistent cases of hypothyroidism, hair follicles might become atrophic. This could prevent hair growth, even with treatment.

Hair loss can be a significant presenting feature of juvenile hypothyroidism, along with poor growth, dry skin, and facial puffiness. Prompt initiation of levothyroxine therapy can lead to remarkable improvement in hair growth, as observed in this case. Hair loss associated with hypothyroidism is fully reversible with adequate thyroid hormone replacement.

#### ORCID

Rakesh Kumar Sahay  <https://orcid.org/0000-0002-5471-0695>

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# Barbara McClintock and Cytogenetics

JV Pai-Dhungat



Barbara McClintock with gene translocation. Stamp USA, 2003

Barbara McClintock (1902–1992) was born in Hartford, Connecticut. She completed her undergraduate course in genetics at Cornell's College of Agriculture in 1919. The botany department was then involved in the study of maize genetics work. She found she could identify individual maize chromosomes under the microscope, and the 10 chromosomes of maize led to plant breeding experiments with chromosomal analysis. Within a few weeks, Barbara applied new staining techniques, identified the part of the embryo most suitable for cytological studies, and launched the new science of cytogenetics. McClintock earned her PhD in 1927, and from 1928 to 1935, she continued working with a small committed group of researchers at various institutions.



Chromosome with details of material and centomere. Note map of Australia right upper corner. Stamp Australia, 2003

She made several discoveries in the field, establishing relations between chromosomes and genes. For the first time, she established that genetic recombination was a physical exchange of chromosomal segments and published it in 1931. McClintock also discovered that exposure to X-rays was responsible for altering the pattern and type of gene expression in descendant cells.

In 1948, she published her findings that the chromosome-breaking locus was something hitherto unknown for any genetic locus. It moved from one chromosomal location to another, a phenomenon she called translocation. Her seminal discovery published in PNAS (1950) attracted a lot of

attention with mostly negative comments. When she presented her work at the symposium in 1951, the significance and implications were not understood. She was discouraged and became resentful of the disparity between her prospects and those of her male counterparts.

As with Gregor Mendel's experiments, it took decades before the significance of McClintock's discovery of transposition gained acceptance. Thirty-five years after her publication of the first evidence of transposition, McClintock was finally awarded an unshared Nobel Prize for Physiology or Medicine in 1983. McClintock's work was fully recognized when, in the 1970s, a series of experiments by molecular biologists proved that pieces of bacterial DNA do indeed jump on the chromosomes. Barbara McClintock died in a New York hospital in 1992 at the age of 90 years.

Professor (Retired), Department of Medicine, Topiwala National Medical College and Bai Yamunabai Laxman Nair Charitable Hospital; Honorable Physician, Bhatia Hospital, Mumbai, Maharashtra, India

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## Steroid Induced Dilated Cardiomyopathy

Meenaxi Sharda<sup>1</sup>, Gokul B G<sup>2</sup>,  
Dheeraj Krishna<sup>3</sup>, Setu Jain<sup>4</sup>,  
Nisa Susan Thomas<sup>5</sup>

<sup>1</sup>Senior Professor; <sup>2</sup>Junior Resident; <sup>3-5</sup>Junior Resident;  
Department of General Medicine, Government Medical  
College, Kota, Rajasthan, India

Sir,

A 37-year-old male patient presents with complaints of progressively increased shortness of breath, pedal edema, puffiness of the face, distension of the abdomen, generalized swelling of the body, and oliguria for the last 15 days. There is no history of chest pain, palpitations, or cough. The patient gives a history of inhalational therapy prescribed at his local place and oral steroids (tab Betnesol) from a local medical store for the past 3 years for his attacks of breathlessness. The patient is a chronic smoker and alcoholic for the past 10 years.

On examination, the patient is conscious, cooperative, and oriented to time, place, and person. Pulse rate is 100 bpm, regular, and normal in volume. Blood pressure is 100/60 mm Hg, respiratory rate is 20 cpm, and SpO<sub>2</sub> is 99% on room air.

General examination reveals no pallor, icterus, or cyanosis. There is no clubbing, but neck veins are distended, and pedal edema is present. Moon facies and buffalo hump are also present.

On systemic examination, the abdomen is protuberant, with purple striae over the lower abdomen. The liver is palpable, firm

in consistency, with a smooth surface, round margins, and nontender. The liver span is 15 cm in the mid-clavicular line. The spleen is not palpable, and mild ascites are present. Breath sounds are equal on both sides, with wheeze and crepitations heard all over the lung fields. S1 and S2 are normal, with no murmur. Central nervous system (CNS) examination is within normal limits.

Laboratory investigations on the day of admission were as follows: Hb 15.4 gm/dL, WBC 10,072/ $\mu$ L (P 84.7%, L 13.1%, M 2.1%, E 0%), platelets 203,000/ $\mu$ L, blood urea 100 mg/dL, creatinine 1.3 mg/dL, serum Na 139 mmol/L, K 3.9 mmol/L, Cl 101 mmol/L. Total S. bilirubin 2.3 mg%, direct bilirubin 1.3 mg%, SGOT 297 IU/L, SGPT 744 IU/L, total protein 6.3 gm%, S. albumin 4 gm%, GGT 1,113.2, S. triglycerides 131 mg/dL, S. total cholesterol 151 mg/dL, S. HDL 33 mg/dL. CK-NAC 99 IU/L, CK-MB 47 IU/L, LDH 564 IU/L. Trop-I 0.02, HIV, HBsAg, and Anti-HCV are nonreactive.

Chest X-ray PA view shows cardiomegaly and features of pulmonary edema (Fig. 1A). Ultrasound (USG) abdomen shows mild hepatomegaly with heterogeneous echotexture and mild free fluid. Two-dimensional (2D) echocardiography showed dilatation of all four cardiac chambers, left ventricle (LV) ejection fraction 10%, grade II diastolic dysfunction, and mild MR.

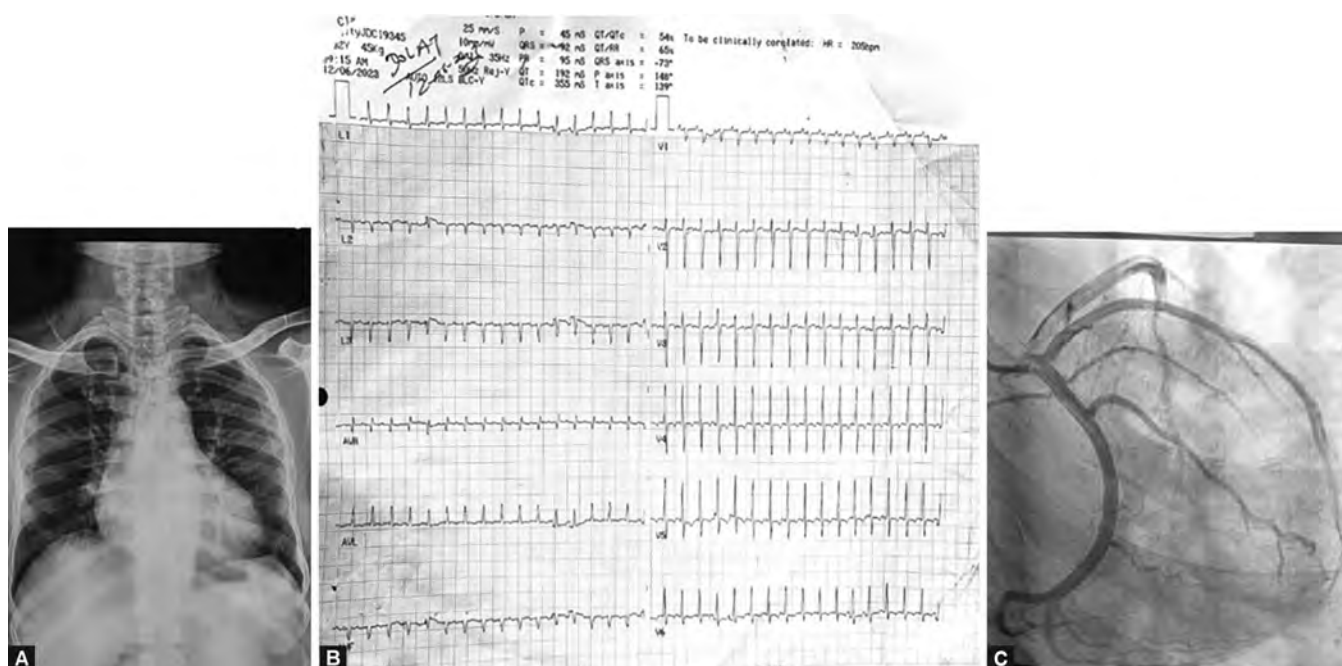
With the diagnosis of congestive heart failure (CHF) of unknown cause, the patient was put on decongestant therapy, dobutamine infusion, tab digoxin, and supportive treatment.

During the hospital stay, the patient developed three episodes of hypotension

(BP 70/40 mm Hg), tachycardia (HR 162 bpm), hypoxia (SpO<sub>2</sub> 88% on room air), and extensive wheeze bilaterally. The electrocardiogram (ECG) showed focal atrial tachycardia with a heart rate of 180/minute (Fig. 1B). In the first two episodes, the patient responded within a few hours with vasopressor, oxygen support, and nebulization therapy, resulting in stabilization of pulse, blood pressure, and oxygen saturation. Computed tomography (CT) pulmonary angiography did not show any evidence of pulmonary thromboembolism. The D-dimer was within normal limits (587.71).

The third episode of focal atrial tachycardia and hypotension was treated with amiodarone at 0.5 mg/minute, norepinephrine infusion at 0.3  $\mu$ g/kg/minute, and other supportive treatment. After 24 hours, the patient attained sinus rhythm (heart rate 88/minute). Renal function tests and serum electrolytes returned to baseline after 6 days of admission, and liver function tests returned to normal levels after 18 days of admission. Repeat USG abdomen on the 14th day of admission revealed normal size and texture of the liver and no ascites, ruling out alcohol-related chronic liver disease (CLD).

Coronary angiography showed normal coronary vessels (Fig. 1C). The morning 6 AM serum cortisol level (50 mcg/dL) showed a raised value. The diagnosis of steroid-induced dilated cardiomyopathy (DCMP), in view of CHF and the presence of cushingoid features, with hypercortisolism due to exogenous steroids, was highly suggestive after exclusion of other relevant possibilities due to normal coronary vasculature and no alcohol-related



Figs 1A to C: Cardiomegaly and pulmonary edema features

liver damage. Cardiac magnetic resonance imaging (MRI) and the procedure for cardiac muscle biopsy were not feasible at our institute.

Treatment with L-carnitine, coenzyme Q, and digoxin was initiated in addition to oral amiodarone. The patient was discharged in stable condition after 21 days of hospital stay.

After discharge, the patient was clinically followed up regularly every fortnight. Follow-up studies at 6-month intervals revealed a conscious, cooperative patient oriented to time, place, and person. The pulse rate was 78/minute, BP 110/70 mm Hg, SpO<sub>2</sub> 99% on room air, and RR 16/minute. General examination revealed normal JVP, no pedal edema, no signs of CHF, and no signs of cushingoid features. On systemic examination, the abdomen was soft, nontender, and without organomegaly. Breath sounds were equal on both sides, with bilateral wheeze heard all over the lung fields. S1 and S2 were normal, with no murmur. CNS examination was within normal limits. Chest X-ray (PA view) showed cardiomegaly. USG abdomen was within normal limits. 2D ECHO showed a dilated left atrium (LA) and LV, global hypokinesia of left ventricular ejection fraction (LVEF) 15–20%, mild mitral regurgitation (MR), mild tricuspid regurgitation (TR), and LV apical layering of clot.

## DISCUSSION

Cardiotoxicity is one of the major side effects of glucocorticoids when used in excess. Adverse cardiovascular risk from glucocorticoid use may be dose-dependent<sup>1</sup> or due to involvement of the renin-aldosterone system, which can induce myocardial remodeling.

Over a period of 1-year and 5-years cumulative risks of all-cause cardiovascular risks, such as acute myocardial infarction (MI), atrial fibrillation (AF), and CHF, increased from 1.4 and 7.1% to 8.9 and 28.0%, respectively, in no steroid vs steroid-dependent (prednisolone equivalent dose of >25 mg). Even the slightest dose of steroid (<5 mg) is also associated with the risk of cardiovascular disease.<sup>1</sup>

Synthetic glucocorticoids, like dexamethasone, alter the expression of ion channel genes that are important for calcium kinetics and contractile functions of the heart and are responsible for cardiac malfunctioning.<sup>2</sup>

A study on 19 cases of Cushing's syndrome with cardiomyopathy by Miao et al. identified DCMP in 15 cases (78.94%) and hypertrophic cardiomyopathy in four cases (21.05%).<sup>3</sup>

Steroid-induced cardiomyopathy due to an overdose of anabolic steroids is reported<sup>4</sup> and has also been described with the use of

prednisolone.<sup>5</sup> In both of these case reports, there was significant improvement in LVEF after 10 and 4 months of stoppage of steroids, respectively, whereas in our case, though fully recovered clinically, LVEF showed only marginal improvement.

## ORCID

Gokul B G  <https://orcid.org/0009-0008-3635-1650>

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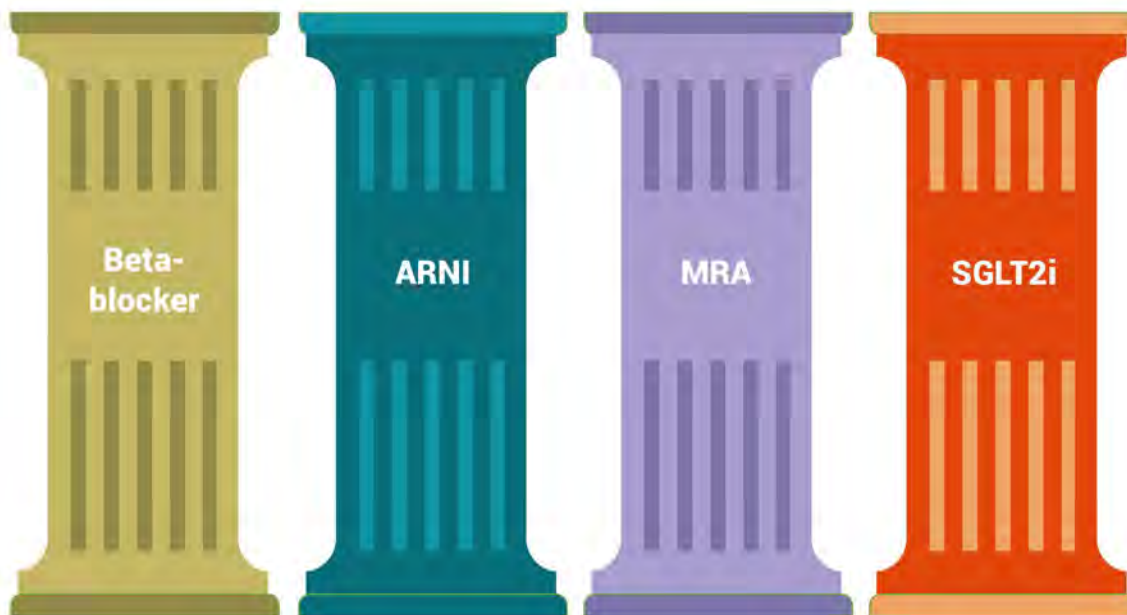
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1. McDonagh TA, et al.; ESC Scientific Document Group. 2021 ESC Guidelines for the diagnosis and treatment of acute and chronic heart failure. Eur Heart J. 2021 Sep 21;42(36):3599-3726.  
2. ACC/AHA Joint Committee Members. 2022 AHA/ACC/HFSA Guideline for the Management of Heart Failure. J Card Fail. 2022 May;28(5):e1-e167. doi: 10.1016/j.cardfail.2022.02.010. Epub 2022 Apr 1  
ARNI: Angiotensin Receptor-Neprilysin Inhibitor, MRA: Mineralocorticoid receptor antagonist, SGLT2i: Sodium/glucose cotransporter-2 inhibitors, ESC: European Society of Cardiology, AHA: American heart association, ACC: American College of Cardiology, HFSA: Heart Failure Society of America, HFrEF: Heart Failure with reduced Ejection Fraction

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